

On a classification of functions  
( preliminary version )

by

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**Introduction and preliminaries.** Let  $K$  be a compact, metrizable space.

The class of continuous functions on  $K$  is denoted by  $C(K)$  and the class of Baire-1 functions on  $K$  (i.e. the pointwise limits of uniformly bounded sequences of continuous functions on  $K$ ) by  $B_1(K)$ .  $DBSC(K)$  denotes the subclass of  $B_1(K)$  consisting of differences of bounded semi-continuous functions. It is easy to see that

$DBSC(K) = \{f \in B_1(K) : \text{there exists } (f_n) \subseteq C(K) \text{ such that } f = \sum f_n \text{ and } \sum |f_n| \text{ is bounded}\}$

The class  $DBSC(K)$  is a Banach space with respect to the norm

$$\|f\|_0 = \inf \{ \|\sum |f_n|\|_\infty : (f_n) \subseteq C(K) \text{ and } \sum f_n = f \}.$$

It is not hard to check that  $\|f\|_\infty \leq \|f\|_0$ , but the two norms are not equivalent in general. The norm-closure of  $DBSC(K)$  is denoted by  $B_{1/2}(K)$  in [H-O-R]. In the same paper the authors defined the subclass  $B_{1/4}(K)$ , by

$B_{1/4}(K) = \{f \in B_1(K) : \text{there exists } (f_n) \subseteq DBSC(K) \text{ such that } \|f_n - f\|_\infty \rightarrow 0 \text{ and } \sup_n \|f_n\|_0 < \infty\}$

The space  $B_{1/4}(K)$  is complete with respect to the norm

$$\|f\|_{1/4} = \inf\{ \sup_n \|f_n\|_0 : (f_n) \in \text{DBSC}(K) \text{ and } \|f_n - f\|_\infty \rightarrow 0 \}.$$

In [F] this definition was extended in the transfinite as follows:

$$V_1(K) = B_{1/4}(K) \text{ and } \| \cdot \|_1 = \| \cdot \|_{1/4}.$$

If the normed space  $(V_\xi(K), \| \cdot \|_\xi)$  has been defined, then

$$V_{\xi+1}(K) = \{ f \in B_1(K) : \text{there exists } (f_n) \in \text{DBSC}(K) \text{ with } \|f_n - f\|_\xi \rightarrow 0 \text{ and } \sup \|f_n\|_0 < \infty \}$$

and

$$\|f\|_{\xi+1} = \inf\{ \sup_n \|f_n\|_0 : (f_n) \in \text{DBSC}(K) \text{ and } \|f_n - f\|_\xi \rightarrow 0 \}.$$

For a limit ordinal  $\xi$

$$\|f\|_\xi = \sup\{ \|f\|_\beta : 1 \leq \beta < \xi \} \text{ for every } f \in \bigcap_{\beta < \xi} V_\beta(K) \text{ and}$$

$$V_\xi(K) = \{ f \in B_1(K) : \|f\|_\xi < \infty \}.$$

The spaces  $(V_\xi(K), \| \cdot \|_\xi)$ ,  $1 \leq \xi < \omega_1$ , are complete and their intersection coincides with  $\text{DBSC}(K)$  ([F]). It is easy to see, that  $V_\xi(K) \subseteq V_\beta(K)$  and  $\|f\|_\infty \leq \|f\|_\beta \leq \|f\|_\xi$  for every  $f \in V_\xi(K)$  and  $\beta < \xi < \omega_1$ . Hence we have a classification of  $B_1(K)$  into a transfinite, decreasing hierarchy of Banach spaces. According to [H-O-R] and [F], the functions in  $B_{1/4}(K) \setminus C(K)$  are characterized in terms of  $c_0$ -spreading models and the functions in  $V_\xi(K) \setminus C(K)$  have an analogous stronger property ([F]).

In [K-L] the authors define a natural rank on functions not in  $\text{DBSC}(K)$  which is unbounded below  $\omega_1$  on  $B_1(K) \setminus \text{DBSC}(K)$ . We will define this rank.

Let  $f$  be a bounded function on  $K$ . One defines the upper regularization of  $f$ ,  $\text{ur}(f)$  (usually it is denoted by  $\hat{f}$ ), by

$$\text{ur}(f) = \inf\{g : g \in C(K) \text{ and } g \geq f\}.$$

The function  $\text{ur}(f)$  is upper semmi-continuous and one has

$$\text{ur}(f)(x) = \inf\{ \text{sypf} : V \text{ is a neighbourhood of } x \}.$$

In [K-L] the authors associate with each bounded function  $f$  on  $K$  an increasing sequence  $(f_\xi)_{1 \leq \xi < \omega_1}$  of upper semmi-continuous functions as follows:

$$f_1 = \text{ur}(f).$$

If  $f_\xi$  is defined,

$$f_{\xi+1} = \text{ur}(f + \text{ur}(f_\xi - f)).$$

For limit  $\xi$ ,  $f_\xi$  is defined if and only if for all  $\beta < \xi$ ,  $f_\beta$  is defined and  $\sup_{\beta < \xi} f_\beta$  is bounded and then

$$f_\xi = \text{ur}\left(\sup_{\beta < \xi} f_\beta\right).$$

According to [K-L],  $f$  is in DBSC(K) if and only if  $f_\xi$  is defined for all  $\xi < \omega_1$  or equivalently if there exists a  $\xi < \omega_1$  such that  $f_\xi$  is defined and  $f_{\xi+1} = f_\xi$ . In this case, if  $\xi$  is the least ordinal with this property, the pair  $(f_\xi, f_\xi - f)$  is the least pair of upper semmi-continuous functions  $(u, v)$  with  $u \geq f$  and  $f = u - v$ .

Hence, to every bounded function  $f$  on  $K$  there corresponds a rank

$$r_{\text{ND}}(f) = \inf\{1 \leq \xi < \omega_1 : f_\xi \text{ is undefined}\}, \text{ if such a } \xi \text{ exists}$$

$$\text{and } r_{\text{ND}}(f) = \omega_1 \text{ otherwise.}$$

Note that the values of this rank is always limit ordinals. We will prove that the values  $\omega^\xi$  are the only possible values of  $r_{\text{ND}}(f)$  if  $f \notin \text{DBSC}(K)$  and by [K-L], all such ordinals are obtained.

**Main Theorem.** Let  $K$  be a compact, metrizable space. For every  $1 \leq \xi < \omega_1$  we have:

$$V_\xi(K) = \{f: K \rightarrow \mathbb{R} : f \text{ is bounded and } r_{\text{ND}}(f) > \omega^\xi\}.$$

For  $f$  in  $V_\xi(K)$  with  $f \geq 0$

$$\|f_{\omega^\xi}\|_\infty \leq \|f\|_\xi \leq 4\|f_{\omega^\xi}\|_\infty,$$

and in general,

$$(1/3) \|f_{\omega^\xi}\|_\infty \leq \|f\|_\xi \leq 4\|f_{\omega^\xi}\|_\infty + 5\|f\|_\infty.$$

Before the proof of the main Theorem we will give some other connections of the previous notions. In the following,  $K$  is a compact, metrizable space.

**Proposition 1.** Let  $f \in \text{DBSC}(K)$  and  $\tilde{f} = f_\xi$ , where  $\xi$  is the least ordinal such that  $f_{\xi+1} = f_\xi$ . Then, for  $f \geq 0$  we have

$$\|\tilde{f}\|_\infty \leq \|f\|_0 \leq 4\|\tilde{f}\|_\infty$$

and in general,

$$(1/3)\|\tilde{f}\|_\infty \leq \|f\|_0 \leq 4\|\tilde{f}\|_\infty + 5\|f\|_\infty.$$

**Proof.** For a bounded, upper semmi-continuous function  $g$  on  $K$  with  $g \geq 0$  there exists a sequence  $(f_n) \subseteq C(K)$  such that  $f_n \geq 0$  for every  $n \in \mathbb{N}$  and  $g = \sup g - \sum f_n$ , hence

$$\|g\|_0 \leq \sup g + \|\sum f_n\|_\infty \leq 2\sup g = 2\|g\|_\infty.$$

So for  $f \geq 0$  one gets

$$\|f\|_0 \leq \|\tilde{f} - (\tilde{f} - f)\|_0 \leq \|\tilde{f}\|_0 + \|\tilde{f} - f\|_0 \leq 2\|\tilde{f}\|_\infty + 2\|\tilde{f} - f\|_\infty \leq 4\|\tilde{f}\|_\infty,$$

since  $\tilde{f} - f$  is an upper semmi-continuous function ([K-L]) and  $\tilde{f} \geq \tilde{f} - f \geq 0$ .

In general

$$\|f\|_0 \leq \|f - \text{inff}\|_0 + |\text{inff}| \leq 4\|\tilde{f} - \text{inff}\|_\infty + |\text{inff}| \leq 4\|\tilde{f}\|_\infty + 5\|f\|_\infty.$$

In the other direction, if  $f = \sum h_n$ , where  $(h_n) \subseteq C(K)$  and  $\sum |h_n|$  is bounded, we set  $g_1 = \sum h_n^+$  and  $g_2 = \sum h_n^-$ . Then  $f = (\sup g_1 - g_2) - (\sup g_1 - g_1)$ , where  $\sup g_1 - g_1$  is a non-negative, upper semmi-continuous function. Hence  $\tilde{f} \leq \sup g_1 - g_2 \leq \sup g_1$  ([K-L]).

For  $f \geq 0$  we have  $\tilde{f} \geq 0$  and then

$$\|\tilde{f}\|_\infty \leq \|g_1\|_\infty \leq \|g_1 + g_2\|_\infty = \|\sum |h_n|\|_\infty.$$

As this is true for all such  $(h_n)$ ,

$$\|\tilde{f}\|_\infty \leq \|f\|_0.$$

In general

$$\|\tilde{f}\|_\infty \leq \|\tilde{f} - \text{inff}\|_\infty + |\text{inff}| \leq \|f - \text{inff}\|_0 + |\text{inff}| \leq \|f\|_0 + 2\|f\|_\infty \leq 3\|f\|_0.$$

**Remark 2.** For  $f \in \text{DBSC}(K)$  it is easy to prove that

$$\|f\|_0 = \inf\{ \|g_1 + g_2\|_\infty : g_1, g_2 \text{ are lower semmi-continuous, } g_1, g_2 \geq 0 \text{ and } f = g_1 - g_2 \}$$

$$\text{If } \|f\|_0 = \inf\{ \|h_1 + h_2\|_\infty : h_1, h_2 \text{ are upper semmi-continuous, } h_2 \geq 0 \text{ and } f = h_1 - h_2 \}$$

then  $\|f\|_U = \|2f - f\|_\infty$ ,  $(1/3)\|f\|_U \leq \|f\|_D \leq 3\|f\|_U$  for  $f \geq 0$  and in general  $(1/3)\|f\|_U \leq \|f\|_D \leq 3\|f\|_U + 4\|f\|_\infty$ .

In the next Lemma we give some elementary relations which are used in the following.

**Lemma 3.** Let  $f, g$  be bounded functions on  $K$ ,  $M$  a real number and  $\xi, \beta$  ordinal numbers with  $1 \leq \xi < \omega_1$  and  $\beta < \xi$ .

- (1) If  $f \leq g$  then  $ur(f) \leq ur(g)$ .
- (2)  $(f+M)_\xi = f_\xi + M$ .
- (3)  $f_\beta \leq f_\xi$ , if  $f_\xi$  is defined.
- (4)  $ur(f+g) \leq ur(f) + ur(g)$ .
- (5)  $ur(f - ur(g)) = ur(ur(f) - ur(g)) \leq ur(f - g)$ .
- (6)  $f_\xi - g_\xi \leq (f - g)_\xi$ .

The following Lemma is the main point for proving that the values  $\omega^\xi$  are the only possible values of the index  $r_{ND}$ .

**Lemma 4.** Let  $f$  be bounded function on  $K$  with  $f \geq 0$  and suppose that  $f_\xi$  ( $1 < \xi < \omega_1$  is a limit ordinal) is defined. Then for all  $n \in \omega$  the function  $f_{\xi.n}$  is defined, and  $\|f_{\xi.n}\|_\infty \leq n\|f_\xi\|_\infty$ .

**Proof.** Let  $M = \|f_\xi\|_\infty$ . Then  $M \geq ur(f_\xi - f)$ , hence by induction  $f_{\xi+\beta}$  is defined and  $M + f_\beta \geq f_{\xi+\beta}$  for every  $\beta \leq \xi$ . Finally,  $f_{\xi.2}$  is defined and  $\|f_{\xi.2}\|_\infty \leq 2\|f_\xi\|_\infty$ . The result then follows by induction on  $n$ .

**Corrolary 5.** For a bounded function  $f$  on  $K$  not in  $DBSC(K)$  the  $r_{ND}(f)$  is an ordinal of the form  $\omega^\xi$  and by [K-L], all such ordinals are obtained.

Before the proof of the main Theorem we will give a Lemma.

**Lemma 6.** Let  $(g_n)_{n=0}^{\infty}$  be a sequence of bounded, upper semmi-continuous functions on  $K$  with  $g_0=0$ . If the sequence  $(ur(g_{n+1}-g_n))_{n=0}^{\infty}$  is decreasing then  $ur(g_{n+1}-g_n) \leq \frac{1}{n+1} g_{n+1}$  for every  $n \in \mathbb{N}$ .

**Proof.** For  $n=0$ , it reduces to  $\tilde{g}_1 \leq g_1$ , which is trivial. Suppose we know it for  $n$ , and assume it is not true for  $n+1$ . Then there exists  $x \in K$  with

$$ur(g_{n+2}-g_{n+1})(x) > \frac{1}{n+2} g_{n+2}(x).$$

Pick a real number  $M$  such that

$$ur(g_{n+2}-g_{n+1})(x) > \frac{M}{n+2} > \frac{1}{n+2} g_{n+2}(x).$$

Let  $V = \{y \in K: g_{n+2}(y) < M\}$  an open neighbourhood of  $x$ . By the definition of the upper regularization, there must be  $y \in V$  with

$$(g_{n+2}-g_{n+1})(y) > \frac{M}{n+2}.$$

Now  $ur(g_{n+1}-g_n)(y) \geq ur(g_{n+2}-g_{n+1})(y) \geq (g_{n+2}-g_{n+1})(y) > \frac{M}{n+2}$ .

Hence, by the induction hypothesis

$$g_{n+1}(y) > (n+1) \cdot \frac{M}{n+2}.$$

But then

$$g_{n+2}(y) > \frac{M}{n+2} + (n+1) \cdot \frac{M}{n+2} = M.$$

A contradiction, which finishes the proof.

**Proof of the main Theorem.** First assume that  $f \neq 0$ . If  $f \in V_{\xi}$  then  $r_{ND}(f) > \omega^{\xi}$  and  $\|f_{\omega^{\xi}}\|_{\infty} \leq \|f\|_{\xi}$ . We will prove this claim by induction on  $\xi$ .

For  $\xi=1$ , one has a sequence  $(g_n)$  in  $DBSC(K)$  with  $\|g_n - f\|_{\infty} \rightarrow 0$  and  $\sup_n \|g_n\|_0$  bounded. If  $\varepsilon_n = \|g_n - f\|_{\infty}$ , then  $-\varepsilon_n \leq f - g_n \leq \varepsilon_n$  and by induction on  $k$  we have

$$f_k \leq (g_n)_k + 2k\varepsilon_n \text{ for every } k, n \in \mathbb{N}.$$

Hence  $f_k \leq \tilde{g}_n + 2k\varepsilon_n$  for every  $k, n \in \mathbb{N}$ . Since  $g_n + \varepsilon_n \geq 0$ , we have according to Proposition 1

$$f_k \leq \|\tilde{g}_n + \varepsilon_n\|_{\infty} + (2k-1)\varepsilon_n \leq \sup_n \|g_n\|_0 + 2k\varepsilon_n \text{ for every } k, n \in \mathbb{N}.$$

Letting first  $n \rightarrow \infty$  and then  $k \rightarrow \infty$  we get

$$f_{\omega} \leq \|f_{\omega}\|_{\infty} \leq \sup_n \|g_n\|_0.$$

Finally,

$$\|f_{\omega}\|_{\infty} \leq \|f\|_1.$$

Assume the result is known for  $\xi$ , and that  $f \in V_{\xi+1}$ . Let  $(g_n) \subseteq \text{DBSC}(K)$  be such that  $\varepsilon_n = \|f - g_n\|_{\xi} \rightarrow 0$  and  $M = \sup_n \|g_n\|_0 < \infty$ . Since  $f - g_n + \varepsilon_n \geq 0$  for every  $n \in \mathbb{N}$ , by the induction hypothesis

$$\|(f - g_n + \varepsilon_n)_{\omega}\|_{\infty} \leq \|f - g_n + \varepsilon_n\|_{\xi} \leq 2\varepsilon_n \text{ for every } n \in \mathbb{N}.$$

By Lemma 4  $(f - g_n)_{\omega, k}$  is defined and

$$(f - g_n + \varepsilon_n)_{\omega, k} \leq 2k \cdot \varepsilon_n \text{ for all } n, k \in \mathbb{N}.$$

Hence, according to Lemma 3 and Proposition 1, for all  $n, k \in \mathbb{N}$  we have

$$f_{\omega, k} \leq (g_n)_{\omega, k} + (2k-1)\varepsilon_n \leq \|\tilde{g}_n + \varepsilon_n\|_{\infty} + (2k-2)\varepsilon_n \leq \|g_n\|_0 + (2k-1)\varepsilon_n \leq M + (2k-1)\varepsilon_n.$$

Letting  $n \rightarrow \infty$ ,  $k \rightarrow \infty$  in this order we get that  $f_{\omega, \xi+1}$  is defined and

$$\|f_{\omega, \xi+1}\|_{\infty} \leq \sup_n \|g_n\|_0.$$

So finally,

$$\|f_{\omega, \xi+1}\|_{\infty} \leq \|f\|_{\xi+1}.$$

For limit  $\xi$  one has  $f \in \bigcap_{\beta < \xi} V_{\beta}(K)$  and  $\|f\|_{\xi} = \sup_{\beta < \xi} \|f\|_{\beta} < \infty$ . By induction,

$$f_{\omega, \beta} \leq \|f_{\omega, \beta}\|_{\infty} \leq \|f\|_{\beta} \leq \|f\|_{\xi} \text{ for every } \beta < \xi.$$

Hence,  $f_{\omega, \xi}$  is defined and  $\|f_{\omega, \xi}\|_{\infty} \leq \|f\|_{\xi}$ , which finishes the proof of the claim.

In general, if  $f \in V_{\xi}(K)$  then  $f - \text{inff} \in V_{\xi}$  and  $f - \text{inff} \geq 0$ . Hence, from the previous result  $f_{\omega, \xi}$  is defined and

$$\|f_{\omega, \xi}\|_{\infty} \leq \|f_{\omega, \xi} - \text{inff}\|_{\infty} + |\text{inff}| \leq \|f - \text{inff}\|_{\xi} + |\text{inff}| \leq \|f\|_{\xi} + 2\|f\|_{\infty} \leq 3\|f\|_{\xi}.$$

On the other direction, let  $f \geq 0$  with  $r_{\text{ND}}(f) > \omega^{\xi}$ . We will prove, by induction on  $\xi$ , that  $f \in V_{\xi}(K)$  and  $\|f\|_{\xi} \leq 4\|f_{\omega, \xi}\|_{\infty}$ .

For  $\xi=1$ , suppose  $f_{\omega}$  is defined and bounded by  $M$ . Define a sequence  $(g_n)$  in  $\text{DBSC}(K)$  by  $g_n = f_n - \text{ur}(f_n - f)$  for every  $n \in \mathbb{N}$ . Obviously,  $0 \leq g_n \leq f$  and  $\tilde{g}_n \leq f_n$  ( $[K-L]$ ) for every  $n \in \mathbb{N}$ . According to Proposition 1

$$\|g_n\|_0 \leq 4\|\tilde{g}_n\|_{\infty} \leq 4\|f_n\|_{\infty} \leq 4\|f_{\omega}\|_{\infty} \text{ for every } n \in \mathbb{N}.$$

If we can prove that  $\|f - g_n\|_{\infty} \rightarrow 0$ , then one has that  $f \in V_1(K)$  and  $\|f\|_1 \leq 4\|f_{\omega}\|_{\infty}$ .

$$\text{Now } \text{ur}(f - g_n) = \text{ur}(f + \text{ur}(f_n - f) - f_n) = \text{ur}(f_{n+1} - f_n).$$

Moreover for  $n=1, 2, \dots$

$$\begin{aligned} \text{ur}(f_{n+2} - f_{n+1}) &= \text{ur}(f + \text{ur}(f_{n+1} - f) - f_{n+1}) \leq \text{ur}(f + \text{ur}(f_{n+1} - f) - \text{ur}(f_n - f) - f) = \\ &= \text{ur}(\text{ur}(f_{n+1} - f) - \text{ur}(f_n - f)) \leq \text{ur}(f_{n+1} - f_n). \end{aligned}$$

Hence, the sequence  $(\text{ur}(f_{n+1} - f_n))_{n=0}^{\infty}$  is decreasing (setting  $f_0 = 0$ ).

Also, according to Lemma 6

$$\text{ur}(f_{n+1} - f_n) \leq \frac{1}{n+1} f_{n+1} \leq \frac{1}{n+1} f_{\omega} \leq \frac{M}{n+1} \quad \text{for every } n \in \mathbb{N}.$$

Thus  $\|\text{ur}(f_{n+1} - f_n)\|_{\infty} \rightarrow 0$  and finally  $\|f - g_n\|_{\infty} \rightarrow 0$ .

Assume the result is known for  $\xi$  and let  $r_{\text{NO}}(f) > \omega^{\xi+1}$ . Then the function  $f_{\omega^{\xi+1}}$  is defined and let  $M$  an upper bound for it. Set  $g_n = f_{\omega^{\xi}, n} - \text{ur}(f_{\omega^{\xi}, n} - f)$  for every  $n \in \mathbb{N}$ . Then  $(g_n) \leq \text{DBSC}(K)$  and  $0 \leq g_n \leq f$ ,  $0 \leq \bar{g}_n \leq f_{\omega^{\xi}, n}$  for all  $n \in \mathbb{N}$ . From Proposition 1

$$\|g_n\|_0 \leq 4\|\bar{g}_n\|_{\infty} \leq 4\|f_{\omega^{\xi}, n}\|_{\infty} \leq 4\|f_{\omega^{\xi+1}}\|_{\infty} \quad \text{for every } n \in \mathbb{N}.$$

Hence, if  $\|f - g_n\|_{\xi} \rightarrow 0$  then one has that  $f \in V_{\xi}(K)$  and  $\|f\|_{\xi+1} \leq 4\|f_{\omega^{\xi+1}}\|_{\infty}$ .

By the induction hypothesis

$$\|(f - g_n)_{\omega^{\xi}}\|_{\infty} \leq \|f - g_n\|_{\xi} \leq 4\|(f - g_n)_{\omega^{\xi}}\|_{\infty},$$

thus, if  $\|(f - g_n)_{\omega^{\xi}}\|_{\infty} \rightarrow 0$ , then  $\|f - g_n\|_{\xi} \rightarrow 0$ .

**Claim**  $(f - g_n)_{\beta} \leq \text{ur}(f_{\omega^{\xi}, n+\beta} - f_{\omega^{\xi}, n})$  for every  $1 \leq \beta \leq \omega^{\xi}$  and  $n \in \mathbb{N}$ .

Let  $n \in \mathbb{N}$ . We will prove the claim by induction on  $\beta$ .

For  $\beta=1$  the claim is true because

$$(f - g_n)_1 = \text{ur}(f - g_n) = \text{ur}(\text{ur}(f_{\omega^{\xi}, n} - f) - f_{\omega^{\xi}, n} + f) = \text{ur}(f_{\omega^{\xi}, n+1} - f_{\omega^{\xi}, n})$$

Let the claim is true for  $\beta$  with  $1 \leq \beta < \omega_1$ . We will prove it for  $\beta+1$ . Using the induction hypothesis it is enough to prove that

$$A = (f - g_n) + \text{ur}(\text{ur}(f_{\omega^{\xi}, n+\beta} - f_{\omega^{\xi}, n}) - f + g_n) - \text{ur}(\text{ur}(f_{\omega^{\xi}, n+\beta} - f) + f - f_{\omega^{\xi}, n}) \leq 0$$

$$\text{But } A \leq (f - g_n) + \text{ur}(\text{ur}(f - f_{\omega^{\xi}, n}) + f_{\omega^{\xi}, n} - f - (f - g_n)) \leq$$

$$\leq \text{ur}(f_{\omega^{\xi}, n} - f) - (f_{\omega^{\xi}, n} - f) + \text{ur}(\text{ur}(f - f_{\omega^{\xi}, n}) - \text{ur}(f_{\omega^{\xi}, n} - f)) \leq 0.$$

The last inequality is true, since for every  $x \in K$  and  $\varepsilon > 0$  there exists a continuous function  $G$  on  $K$  such that  $G \geq F$  and  $\text{ur}(F)(x) \leq G(x) \leq \text{ur}(F)(x) + \varepsilon$  where  $F = f_{\omega^{\xi}, n} - f$  and then it is easy to see that  $\text{ur}(F) - F + \text{ur}(-F - \text{ur}(F))(x) \leq \varepsilon$ .

For a limit ordinal  $\beta$  it is easy to prove the claim if it is true for



every ordinal  $\zeta < \beta$ .

Hence using the claim we have that

$$(f - g_n)_{\omega^\xi} \leq \text{ur}(f_{\omega^\xi(n+1)} - f_{\omega^\xi, n}) \text{ for every } n \in \mathbb{N}.$$

We will prove that  $\| \text{ur}(f_{\omega^\xi(n+1)} - f_{\omega^\xi, n}) \|_\infty \rightarrow 0$  and immediately one has that  $\|(f - g_n)_{\omega^\xi}\|_\infty \rightarrow 0$ .

The sequence  $( \text{ur}(f_{\omega^\xi(n+1)} - f_{\omega^\xi, n}) )_{n=0}^\infty$  is decreasing for every  $0 \leq \xi < \omega_1$ .

Indeed, by induction on  $\beta$  one has

$$\text{ur}(f_{\omega^\xi(n+1)+\beta} - f_{\omega^\xi, n+\beta}) \leq \text{ur}(f_{\omega^\xi(n+1)} - f_{\omega^\xi, n}) \text{ for every } 0 \leq \beta < \omega^\xi.$$

Hence, for every  $n=1, 2, \dots$  we have

$$\begin{aligned} \text{ur}(f_{\omega^\xi(n+2)} - f_{\omega^\xi(n+1)}) &\leq \text{ur}\left(\sup_{\beta < \omega^\xi} (f_{\omega^\xi(n+1)+\beta} - f_{\omega^\xi, n+\beta})\right) \leq \\ &\text{ur}(f_{\omega^\xi(n+1)} - f_{\omega^\xi, n}). \end{aligned}$$

For  $n=0$ , one has by induction on  $\beta$  that  $f_{\omega^\xi+\beta} \leq f_{\omega^\xi} + f_\beta$  for every  $0 \leq \beta \leq \omega^\xi$ , hence  $f_{2\omega^\xi} - f_{\omega^\xi} \leq f_{\omega^\xi}$ .

According to Lemma 6,

$$\text{ur}(f_{\omega^\xi(n+1)} - f_{\omega^\xi, n}) \leq \frac{1}{n+1} f_{\omega^\xi(n+1)} \leq \frac{1}{n+1} f_{\omega^{\xi+1}} \leq \frac{1}{n+1} \|f_{\omega^{\xi+1}}\|_\infty$$

for every  $n \in \mathbb{N}$ .

Hence  $\| \text{ur}(f_{\omega^\xi(n+1)} - f_{\omega^\xi, n}) \|_\infty \rightarrow 0$  and finally,  $\|f - g_n\|_\xi \rightarrow 0$ . Thus,  $f \in V_{\xi+1}(K)$  and  $\|f\|_{\xi+1} \leq 4\|f_{\omega^{\xi+1}}\|_\infty$ .

For a limit ordinal  $\xi$ , let  $r_{\text{ND}}(f) > \omega^\xi$ . Then, by the induction hypothesis, we have that  $f \in V_\beta(K)$  and  $\|f\|_\beta \leq 4\|f_{\omega^\beta}\|_\infty$  for every  $\beta < \xi$ . Hence,

$$\|f\|_\xi = \sup_{\beta < \xi} \|f\|_\beta \leq 4 \sup_{\beta < \xi} \|f_{\omega^\beta}\|_\infty \leq 4\|f_{\omega^\xi}\|_\infty \text{ and } f \in V_\xi.$$

Thus, we proved that, for every  $1 \leq \xi < \omega_1$ ,  $f \in V_\xi$  and  $\|f\|_\xi \leq 4\|f_{\omega^\xi}\|_\infty$  if  $f \geq 0$  and  $r_{\text{ND}}(f) > \omega^\xi$ .

In general, if  $r_{\text{ND}}(f) > \omega^\xi$  then  $f - \text{inff} \geq 0$  and  $r_{\text{ND}}(f - \text{inff}) > \omega^\xi$ . Thus from the previous result:  $f \in V_\xi(K)$  and

$$\|f\|_\xi \leq \|f - \text{inff}\|_\xi + |\text{inff}| \leq 4\|(f - \text{inff})_{\omega^\xi}\|_\infty + |\text{inff}| \leq 4\|f_{\omega^\xi}\|_\infty + 5\|f\|_\infty,$$

which finishes the proof of the main Theorem.

**Corrolary 7.** For every uncountable, compact, metrizable space  $K$  and every countable ordinal  $\xi$  there exists a function  $f$  in  $V_\xi(K)$  and not in  $V_{\xi+1}(K)$ .

**Proof.** According to a Theorem in [K-L] we can construct a function  $f$  on  $K$  with  $r_{ND}(f) = \omega^{\xi+1}$ . From the main Theorem this function belongs to  $V_\xi(K)$  and certainly it does not belong to  $V_{\xi+1}(K)$ .

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