



Contents lists available at SciVerse ScienceDirect

Discrete Applied Mathematics

journal homepage: www.elsevier.com/locate/dam

Characterizing graphs of small carving-width[☆]

Rémy Belmonte^a, Pim van 't Hof^{a,*}, Marcin Kamiński^{b,c}, Daniël Paulusma^d,
Dimitrios M. Thilikos^e

^a Department of Informatics, University of Bergen, Norway

^b Département d'Informatique, Université Libre de Bruxelles, Belgium

^c Institute of Computer Science, University of Warsaw, Poland

^d School of Engineering and Computing Sciences, Durham University, UK

^e Department of Mathematics, National & Kapodistrian University of Athens, Greece

ARTICLE INFO

Article history:

Received 15 May 2012

Received in revised form 24 February 2013

Accepted 28 February 2013

Available online xxxx

Keywords:

Immersion

Carving-width

Obstruction set

ABSTRACT

We characterize all graphs that have carving-width at most k for $k = 1, 2, 3$. In particular, we show that a graph has carving-width at most 3 if and only if it has maximum degree at most 3 and treewidth at most 2. This enables us to identify the immersion obstruction set for graphs of carving-width at most 3.

© 2013 Elsevier B.V. All rights reserved.

1. Introduction

All graphs considered in this paper are finite and undirected, have no self-loops but may have multiple edges. A graph that has no multiple edges is called *simple*. For undefined graph terminology we refer the reader to the textbook of Diestel [7]. A *carving* of a graph G is a tree T whose internal vertices all have degree 3 and whose leaves correspond to the vertices of G . For every edge e of T , deleting e from T yields exactly two trees, whose leaves define a bipartition of the vertices of G ; we say that the edge cut in G corresponding to this bipartition is *induced by e* . The *width* of a carving T is the maximum size of an edge cut in G that is induced by an edge of T . The *carving-width* of G is the minimum width of a carving of G .

Carving-width was introduced by Seymour and Thomas [17], who proved that checking whether the carving-width of a graph is at most k is an NP-complete problem. In the same paper, they proved that there is a polynomial-time algorithm for computing the carving-width of planar graphs. Later, the problem of constructing carvings of minimum width was studied by Khuller [12], who presented a polynomial-time algorithm for constructing a carving T whose width is within a $O(\log n)$ factor from the optimal. In [20] an algorithm was given that decides, in $f(k) \cdot n$ steps, whether an n -vertex graph G has carving-width at most k and, if so, also outputs a corresponding carving of G . We stress that the values of $f(k)$ in the complexity of the algorithm in [20] are huge, which makes the algorithm highly impractical even for trivial values of k .

A graph G contains a graph H as an immersion if H can be obtained from some subgraph of G after lifting a number of edges (see Section 2 for the complete definition). Recently, the immersion relation attracted a lot of attention both from the combinatorial [1,6,9,21] and the algorithmic [10,11] points of view. It can easily be observed (cf. [20]) that carving-width

[☆] The results of this paper have appeared in the proceedings of COCOA 2012 [3].

* Corresponding author.

E-mail addresses: remy.belmonte@ii.uib.no (R. Belmonte), pim.vanthof@ii.uib.no (P. van 't Hof), mjk@mimuw.edu.pl (M. Kamiński), daniel.paulusma@durham.ac.uk (D. Paulusma), sedthilk@math.uoa.gr (D.M. Thilikos).

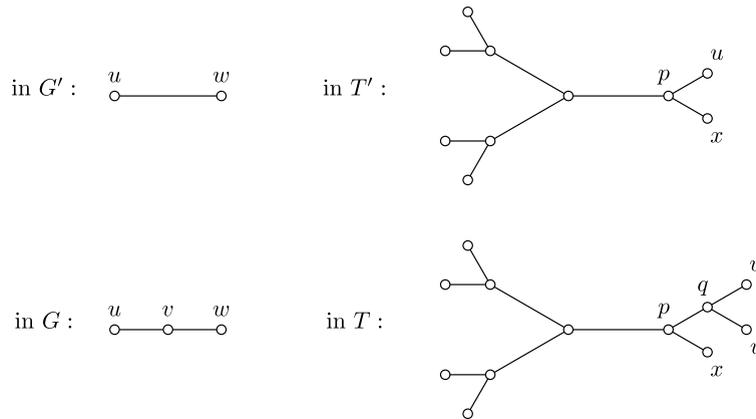


Fig. 1. A schematic illustration of how the tree T' in the carving decomposition of G' is transformed into a tree T in the proof of Lemma 6 when the edge uw in G' is subdivided. The vertex x is an arbitrary vertex of G' , possibly w .

3. The main result

We begin this section by stating some useful properties of carving-width. The following observation is known and easy to verify by considering the number of edges in the edge cut $(\{u\}, V \setminus \{u\})$ of a graph $G = (V, E)$.

Observation 1. Let G be a graph. Then $cw(G) \geq \Delta(G)$.

We also need the following two straightforward lemmas. The first lemma follows from the observation that any subgraph of a graph is an immersion of that graph, combined with the observation that carving-width is a parameter that is closed under taking immersions (cf. [20]). We include the proof of the second lemma for completeness.

Lemma 4. Let G be a graph. Then $cw(H) \leq cw(G)$ for every subgraph H of G .

Lemma 5. Let G be a graph with connected components G_1, \dots, G_p for some integer $p \geq 1$. Then $cw(G) = \max\{cw(G_i) \mid 1 \leq i \leq p\}$.

Proof. Since the carving-width of a graph with only one vertex is defined to be 0, the lemma clearly holds if G has no edges. Suppose G has at least one edge. Lemma 4 implies that $\max\{cw(G_i) \mid 1 \leq i \leq p\} \leq cw(G)$. Now let (T_i, w_i) be a carving decomposition of G_i of width $cw(G_i)$ for $i = 1, \dots, p$. Since deleting isolated vertices does not change the carving-width of a graph, we may without loss of generality assume that G has no isolated vertices. In particular, this means that each tree T_i contains at least one edge. We construct a carving decomposition (T, w) of G from the p carving decompositions (T_i, w_i) as follows.

We pick an arbitrary edge $e_i = x_i y_i$ in each T_i . For each $i \in \{2, \dots, p - 1\}$, we subdivide the edge e_i twice by replacing it with edges $x_i z_i, z_i z'_i$ and $z'_i y_i$, where z_i and z'_i are two new vertices. The edges e_1 and e_p are subdivided only once: the edge e_1 is replaced with a new vertex z_1 and two new edges $x_1 z_1$ and $z_1 y_1$, and the edge e_p is replaced with a new vertex z'_p and two new edges $x_p z'_p$ and $z'_p y_p$. Finally, we add the edge $z_i z'_{i+1}$ for each $i \in \{1, \dots, p - 1\}$. This results in a tree T whose internal vertices all have degree 3. Since there are no edges between any two connected components of G , the corresponding carving decomposition (T, w) of G has width $\max\{cw(G_i) \mid 1 \leq i \leq p\}$. Hence, $cw(G) \leq \max\{cw(G_i) \mid 1 \leq i \leq p\}$. We conclude that $cw(G) = \max\{cw(G_i) \mid 1 \leq i \leq p\}$. □

The next lemma is the final lemma we need in order to prove our main result.

Lemma 6. Let G' be a graph with carving-width at least 2, and let uw be an edge of G' . Let G be the graph obtained from G' by subdividing the edge uw . Then $cw(G) = cw(G')$.

Proof. Let (T', w') be a carving decomposition of G' of width $cw(G') \geq 2$, and let p be the unique neighbor of u in T' . Let v be the vertex that was used to subdivide the edge uw in G' , i.e., the graph G was obtained from G' by replacing uw with edges uv and vw for some new vertex v . Let T be the tree obtained from T' by first relabeling the leaf in T' corresponding to vertex u by q , and then adding two new vertices u and v as well as two new edges qu and qv ; see Fig. 1 for an illustration. Let us show that the resulting carving decomposition (T, w) of G has width at most $cw(G')$.

Let e be an edge in T . Suppose that $e = pq$. By definition, $w(e)$ is the number of edges between $\{u, v\}$ and $V \setminus \{u, v\}$ in G , which is equal to the number of edges incident with u in G' . The latter number is the weight of the edge pu in T' . Hence, $w(e) \leq cw(G')$. Suppose that $e = qu$. By definition, $w(e)$ is the number of edges incident with u in G , which is equal to the number of edges incident with u in G' . Hence $w(e) \leq cw(G')$. Suppose that $e = qv$. By definition, $w(e)$ is the number

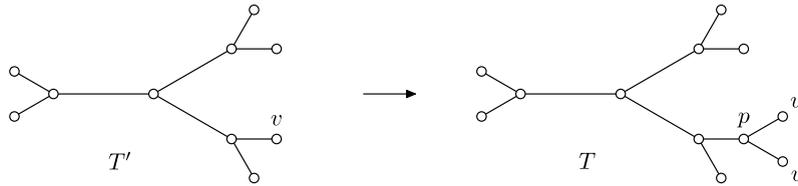


Fig. 2. A schematic illustration of how the tree T is constructed from the tree T' in the proof of Theorem 1.

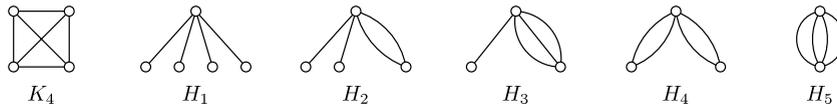


Fig. 3. The immersion obstruction set for graphs of carving-width at most 3.

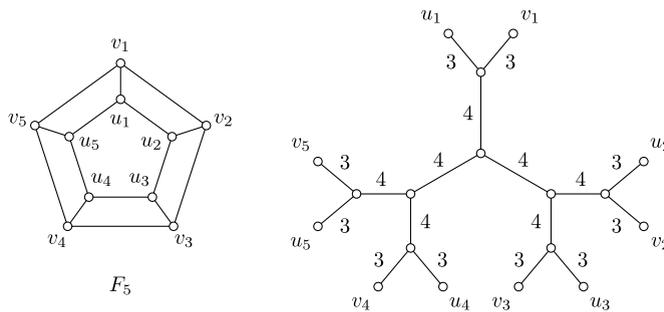


Fig. 4. The pentagonal prism F_5 and a carving decomposition (T, w) of F_5 that has width 4.

Since graphs of treewidth at most 2 can easily be recognized in linear time, Theorem 1 implies a linear-time recognition algorithm for graphs of carving-width at most 3.

Thilikos, Serna and Bodlaender [20] proved that for any k , there exists a linear-time algorithm for constructing the immersion obstruction set for graphs of carving-width at most k . For $k \in \{1, 2\}$, finding such a set is trivial. We now present an explicit description of the immersion obstruction set for graphs of carving-width at most 3.

Corollary 1. *A graph has carving-width at most 3 if and only if it does not contain any of the six graphs in Fig. 3 as an immersion.*

Proof. Let G be a graph. We first show that if G contains one of the graphs in Fig. 3 as an immersion, then G has carving-width at least 4. In order to see this, it suffices to observe that the graphs K_4, H_1, \dots, H_5 all have carving-width 4. Hence, G has carving-width at least 4, because carving-width is a parameter that is closed under taking immersions (cf. [20]).

Now suppose that G has carving-width at least 4. Then, due to Theorem 1, $\Delta(G) \geq 4$ or $\text{tw}(G) \geq 3$. If $\Delta(G) \geq 4$, then G has a vertex v of degree at least 4. By considering v and four of its incident edges, it is clear that G contains one of the graphs H_1, \dots, H_5 as a subgraph, and consequently as an immersion. If $\text{tw}(G) \geq 3$, then Lemma 3 implies that G contains K_4 as a topological minor, and consequently as an immersion. \square

From the proof of Corollary 1, we can observe that an alternative version of Corollary 1 states that a graph has carving-width at most 3 if and only if it does not contain any of the six graphs in Fig. 3 as a topological minor.

4. Conclusions

Extending Theorem 1 to higher values of carving-width remains an open problem, and finding the immersion obstruction set for graphs of carving-width at most 4 already seems to be a challenging task. We proved that for any graph G , $\text{cw}(G) \leq 3$ if and only if $\Delta(G) \leq 3$ and $\text{tw}(G) \leq 2$. We finish our paper by showing that the equivalence “ $\text{cw}(G) \leq 4$ if and only if $\Delta(G) \leq 4$ and $\text{tw}(G) \leq 3$ ” does not hold in either direction.

To show that the forward implication is false, we consider the pentagonal prism F_5 , which is displayed in Fig. 4 together with a carving decomposition (T, w) of width 4. Hence, $\text{cw}(F_5) \leq 4$. However, F_5 is a minimal obstruction for graphs of treewidth at most 3 [4], implying that $\text{tw}(F_5) = 4$.

To show that the backward implication is false, we consider the graph K_5^- , which is the graph obtained from K_5 by removing an edge. Note that $\Delta(K_5^-) = 4$ and $\text{tw}(K_5^-) = 3$. It is not hard to verify that $\text{cw}(K_5) = 6$. Since removing an edge decreases the carving-width by at most 1, we conclude that $\text{cw}(K_5^-) \geq 5$.

Acknowledgments

The first and second authors were supported by the Research Council of Norway (197548/F20). The fourth author was supported by EPSRC (EP/G043434/1) and Royal Society (JP100692). The fifth author was co-financed by the European Union (European Social Fund—ESF) and Greek national funds through the Operational Program “Education and Lifelong Learning” of the National Strategic Reference Framework (NSRF) — Research Funding Program: “Thales. Investing in knowledge society through the European Social Fund”.

References

- [1] F.N. Abu-Khzam, M.A. Langston, Graph coloring and the immersion order, in: T. Warnow, B. Zhu (Eds.), COCOON 2003, in: LNCS, vol. 2697, Springer, 2003, pp. 394–403.
- [2] S. Arnborg, A. Proskurowski, Characterization and recognition of partial 3-trees, *SIAM Journal on Algebraic and Discrete Methods* 7 (2) (1986) 305–314.
- [3] R. Belmonte, P. van 't Hof, M. Kamiński, D. Paulusma, D.M. Thilikos, Characterizing graphs of small carving-width, in: G. Lin (Ed.), COCOA 2012, in: LNCS, vol. 7402, Springer, 2012, pp. 360–370.
- [4] H.L. Bodlaender, A partial k -arboretum of graphs with bounded treewidth, *Theoretical Computer Science* 209 (1–2) (1998) 1–45.
- [5] H.L. Bodlaender, D.M. Thilikos, Graphs with branchwidth at most three, *Journal of Algorithms* 32 (2) (1999) 167–194.
- [6] M. DeVos, Z. Dvořák, J. Fox, J. McDonald, B. Mohar, D. Scheide, Minimum degree condition forcing complete graph immersion, *CoRR*, January 2011. [arXiv:1101.2630](https://arxiv.org/abs/1101.2630).
- [7] R. Diestel, *Graph Theory*, electronic ed., Springer-Verlag, 2005.
- [8] Z. Dvořák, A.C. Giannopoulou, D.M. Thilikos, Forbidden graphs for tree-depth, *European Journal of Combinatorics* 33 (5) (2012) 969–979.
- [9] A.C. Giannopoulou, M. Kamiński, D.M. Thilikos, Forbidding Kuratowski graphs as immersions (submitted for publication).
- [10] M. Grohe, K. Kawarabayashi, D. Marx, P. Wollan, Finding topological subgraphs is fixed-parameter tractable, in: *Proceedings of STOC 2011*, ACM, 2011, pp. 479–488.
- [11] K. Kawarabayashi, Y. Kobayashi, List-coloring graphs without subdivisions and without immersions, in: *Proceedings of SODA 2012*, SIAM, 2012, pp. 1425–1435.
- [12] S. Khuller, B. Raghavachari, N. Young, Designing multicommodity flow trees, *Information Processing Letters* 50 (1994) 49–55.
- [13] A. Koutsonas, D.M. Thilikos, K. Yamazaki, Outerplanar obstructions for matroid pathwidth, *Electronic Notes in Discrete Mathematics* 38 (2011) 541–546.
- [14] N. Robertson, P.D. Seymour, Graph minors XXIII. Nash-Williams’ immersion conjecture, *Journal of Combinatorial Theory, Series B* 100 (2010) 181–205.
- [15] N. Robertson, P.D. Seymour, R. Thomas, Linkless embeddings of graphs in 3-space, *Bulletin of the American Mathematical Society* 28 (1993) 84–89.
- [16] J. Rué, K.S. Stavropoulos, D.M. Thilikos, Outerplanar obstructions for a feedback vertex set, *European Journal of Combinatorics* 33 (2012) 948–968.
- [17] P.D. Seymour, R. Thomas, Call routing and the ratcatcher, *Combinatorica* 14 (2) (1994) 217–241.
- [18] A. Takahashi, S. Ueno, Y. Kajitani, Minimal acyclic forbidden minors for the family of graphs with bounded path-width, *Discrete Mathematics* 127 (1994) 293–304.
- [19] D.M. Thilikos, Algorithms and obstructions for linear-width and related search parameters, *Discrete Applied Mathematics* 105 (2000) 239–271.
- [20] D.M. Thilikos, M.J. Serna, H.L. Bodlaender, Constructive linear time algorithms for small cutwidth and carving-width, in: D.T. Lee, S.-H. Teng (Eds.), *ISAAC 2000*, in: LNCS, vol. 1969, Springer, 2000, pp. 192–203.
- [21] P. Wollan, The structure of graphs not admitting a fixed immersion (submitted for publication). Preprint available at: <http://arxiv.org/abs/1302.3867>.