

Best Sobolev constants in the presence of sharp Hardy terms

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Sobolev inequality

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$$\int_{\mathbb{R}^n} |\nabla u|^2 dx \geq S_n \left(\int_{\mathbb{R}^n} |u|^{2^*} dx \right)^{2/2^*}, \quad u \in C_c^\infty(\mathbb{R}^n),$$

where

$$2^* = \frac{2n}{n-2} \quad (\text{Sobolev exponent})$$

Talenti (1976): Best constant

$$S_n = \pi n(n-2) \left(\frac{\Gamma(\frac{n}{2})}{\Gamma(n)} \right)^{\frac{2}{n}}$$

Extremal

$$u(x) = (1 + |x|^2)^{-\frac{n-2}{2}}$$

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The best constant remains the same if \mathbb{R}^n is replaced by a smaller domain; but no extremals in this case.

Hardy inequality

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$$\int_{\mathbb{R}^n} |\nabla u|^2 dx \geq \left(\frac{n-2}{2}\right)^2 \int_{\mathbb{R}^n} \frac{u^2}{|x|^2} dx, \quad u \in C_c^\infty(\mathbb{R}^n)$$

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- The power $|x|^2$ is optimal
- The constant $\left(\frac{n-2}{2}\right)^2$ is sharp
- No extremals; $|x|^{-\frac{n-2}{2}}$ solves the Euler equation

To prove sharpness use

$$u_\epsilon(x) = \begin{cases} |x|^{-\frac{n-2}{2}+\epsilon}, & |x| < 1, \\ |x|^{-\frac{n-2}{2}-\epsilon}, & |x| > 1. \end{cases}$$

Interpolation between Sobolev and Hardy inequalities gives that for any $2 < p < 2^*$ there holds

$$(p\text{-HS}) \quad \int_{\mathbb{R}^n} |\nabla u|^2 dx \geq S_{n,p} \left(\int_{\mathbb{R}^n} |x|^{\frac{p(n-2)}{2}-n} |u|^p dx \right)^{2/p}, \quad u \in C_c^\infty(\mathbb{R}^n)$$

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Sharp constant computed by Lieb ('83)

$$S_{n,p} = 2p \left(\frac{n-2}{2} \right)^{\frac{p+2}{2}} \left[\frac{2\pi^{n/2} \Gamma^2\left(\frac{p}{p-2}\right)}{(p-2)\Gamma\left(\frac{n}{2}\right)\Gamma\left(\frac{2p}{p-2}\right)} \right]^{\frac{p-2}{p}}$$

Extremal

$$u(x) = \left(1 + |x|^{\frac{(p-2)(n-2)}{2}} \right)^{-\frac{2}{p-2}}$$

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$$\int_{\Omega} |\nabla u|^2 dx \geq c^* \int_{\Omega} \frac{u^2}{d^2} dx + c \left(\int_{\Omega} |u|^{2^*} W(x) dx \right)^{2/2^*}, \quad u \in C_c^\infty(\Omega)$$

with $d(x)$ some distance function, $W(x)$ some weight and c^* the sharp Hardy constant ?

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Most important cases:

(i) $d(x) = |x|$ with $0 \in \Omega$

(ii) $d(x) = \text{dist}(x, \partial\Omega)$

(iii) $d(x) = |x|$ with $0 \in \partial\Omega$

→ a number of such results

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We are interested in Sobolev improvements involving explicit/sharp Sobolev constant c .

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Proof. The function $d^{1/2}$ is a positive supersolution to the Euler equation.

A Hardy-Sobolev inequality. Consider $\Omega = \mathbb{R}_+^3$. Then

$$\int_{\mathbb{R}_+^3} |\nabla u|^2 dx \geq S_3 \left(\int_{\mathbb{R}_+^3} u^6 dx \right)^{1/3}, \quad u \in C_c^\infty(\mathbb{R}_+^3)$$

and

$$\int_{\mathbb{R}_+^3} |\nabla u|^2 dx \geq \frac{1}{4} \int_{\mathbb{R}_+^3} \frac{u^2}{x_3^2} dx, \quad u \in C_c^\infty(\mathbb{R}_+^3).$$

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Benguria, Frank, Loss ('08). There holds

$$\int_{\mathbb{R}_+^3} |\nabla u|^2 dx \geq \frac{1}{4} \int_{\mathbb{R}_+^3} \frac{u^2}{x_3^2} dx + S_3 \left(\int_{\mathbb{R}_+^3} u^6 dx \right)^{1/3}$$

for all $u \in C_c^\infty(\mathbb{R}_+^3)$!!!

Proof. Write points in \mathbb{R}_+^n as $\mathbf{x} = (x, y)$, $x \in \mathbb{R}^{n-1}$, $y \in \mathbb{R}_+$. Let $H = -\Delta - \frac{1}{4y^2}$, a self-adjoint operator on $L^2(\mathbb{R}_+^n)$.

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Let $G_{par}^H(\mathbf{x}, \mathbf{x}', t)$ be the corresponding parabolic Green function:

$$\begin{cases} u_t = \Delta u + \frac{1}{4y^2} u \\ u(\mathbf{x}, 0) = u_0(\mathbf{x}) \end{cases} \Rightarrow u(\mathbf{x}, t) = \int_{\mathbb{R}_+^n} G_{par}^H(\mathbf{x}, \mathbf{x}', t) u_0(\mathbf{x}') d\mathbf{x}'$$

Change variables: $u = \sqrt{y}v$. Then the problem is transformed to

$$\begin{cases} v_t = \Delta_x v + v_{yy} + \frac{1}{y} v_y \\ v(\mathbf{x}, 0) = v_0(\mathbf{x}) \end{cases}$$

This is the heat equation on

$$\mathbb{R}^{n+1} = \{(x, z) : x \in \mathbb{R}^{n-1}, z \in \mathbb{R}^2\}$$

acting on functions that are radial with respect to $z \in \mathbb{R}^2$ (so $y = |z|$).

Write $v(x, y, t) = \hat{v}(x, z, t)$, \hat{v} radial w.r.t. $z \in \mathbb{R}^2$. Then

$$\hat{v}(x, z, t) = (4\pi t)^{-\frac{n+1}{2}} \int_{\mathbb{R}^{n-1}} \int_{\mathbb{R}^2} e^{-\frac{|x-x'|^2 + |z-z'|^2}{4t}} \hat{v}_0(x', z') dx' dz'$$

that is

$$\begin{aligned} v(x, y, t) &= \\ &= (4\pi t)^{-\frac{n+1}{2}} \int_{\mathbb{R}^{n-1}} \int_0^\infty \int_0^{2\pi} e^{-\frac{|x-x'|^2 + y^2 + y'^2 - yy' \cos \theta}{4t}} y' v_0(x', y') dx' dy' d\theta \end{aligned}$$

Going back to the functions u , u_0 this gives

$$\begin{aligned} u(x, y, t) &= \\ &= (4\pi t)^{-\frac{n+1}{2}} \int_{\mathbb{R}^{n-1}} \int_0^\infty \int_0^{2\pi} \sqrt{yy'} e^{-\frac{|x-x'|^2 + y^2 + y'^2 - yy' \cos \theta}{4t}} u_0(x', y') dx' dy' d\theta \end{aligned}$$

So

$$G_{par}^H(\mathbf{x}, \mathbf{x}', t) = (4\pi t)^{-\frac{n+1}{2}} \sqrt{yy'} e^{-\frac{|x-x'|^2 + y^2 + y'^2}{4t}} \int_0^{2\pi} e^{\frac{yy' \cos \theta}{2t}} d\theta$$

Hence we can compute the elliptic Green function for H ,

$$\begin{aligned} G_{ell}^H(\mathbf{x}, \mathbf{x}') &= \int_0^\infty G_{par}^H(\mathbf{x}, \mathbf{x}', t) dt && (\mathbf{x}, \mathbf{x}' \in \mathbb{R}_+^n) \\ &= c_n \sqrt{yy'} \int_0^{2\pi} \left(|x-x'|^2 + y^2 + y'^2 - 2yy' \cos \theta \right)^{-\frac{n-1}{2}} d\theta \end{aligned}$$

Let

$$G_{ell}^{-\Delta}(\mathbf{x}, \mathbf{x}') = c'_n |\mathbf{x} - \mathbf{x}'|^{2-n}, \quad (\mathbf{x}, \mathbf{x}' \in \mathbb{R}^n)$$

denote the Green function for the Laplacian in \mathbb{R}^n . It may be seen that **if** $n = 3$ then

$$G_{ell}^H(\mathbf{x}, \mathbf{x}') \leq G_{ell}^{-\Delta}(\mathbf{x}, \mathbf{x}'), \quad (\mathbf{x}, \mathbf{x}' \in \mathbb{R}_+^3)$$

Completion of proof. The Sobolev inequality in \mathbb{R}^3 is

$$\langle -\Delta u, u \rangle_{L^2(\mathbb{R}^3)} \geq S_3 \|u\|_{L^{\frac{2n}{n-2}}(\mathbb{R}^3)}^2$$

or equivalently $S_3 \|(-\Delta)^{-1/2} g\|_{L^{\frac{2n}{n-2}}(\mathbb{R}^3)}^2 \leq \|g\|_{L^2(\mathbb{R}^3)}^2$

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Let $f, g \in C_c^\infty(\mathbb{R}_+^3)$. Then

$$\begin{aligned} |\langle f, g \rangle_{L^2(\mathbb{R}_+^3)}|^2 &= |\langle H^{1/2} f, H^{-1/2} g \rangle_{L^2(\mathbb{R}_+^3)}|^2 \\ &\leq \langle Hf, f \rangle_{L^2(\mathbb{R}_+^3)} \langle H^{-1} g, g \rangle_{L^2(\mathbb{R}_+^3)} \\ &\leq \langle Hf, f \rangle_{L^2(\mathbb{R}_+^3)} \langle (-\Delta)^{-1} g, g \rangle_{L^2(\mathbb{R}^3)} \\ &\leq \langle Hf, f \rangle_{L^2(\mathbb{R}_+^3)} \frac{1}{S_3} \|g\|_{L^{\frac{2n}{n+2}}(\mathbb{R}_+^3)}^2 \end{aligned}$$

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Hence

$$\|f\|_{L^{\frac{2n}{n-2}}(\mathbb{R}_+^3)}^2 \leq \frac{1}{S_3} \langle Hf, f \rangle_{L^2(\mathbb{R}_+^3)} \quad \text{QED}$$

Brezis and Vazquez ('97). Let Ω be a bounded domain in \mathbb{R}^n , $n \geq 3$, and $2 \leq p < 2^*$. There exists $c > 0$ such that

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Filippas and Tertikas ('02). Let

$$X(t) := \frac{1}{1 - \ln t}, \quad t \in (0, 1).$$

Let Ω be a bounded domain and $D = \sup_{\Omega} |x|$. Then there exists $c > 0$ such that

$$\int_{\Omega} |\nabla u|^2 dx \geq \left(\frac{n-2}{2}\right)^2 \int_{\Omega} \frac{u^2}{|x|^2} dx + c \left(\int_{\Omega} X^{\frac{2(n-1)}{n-2}} (|x|/D) |u|^{2^*} dx \right)^{2/2^*}$$

for all $u \in C_c^\infty(\Omega)$. The exponent of X is sharp.

Adimurthi, Filippas and Tertikas ('09). Let Ω be a bounded domain in \mathbb{R}^n , $n \geq 3$, and $D = \sup_{\Omega} |x|$. There holds

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Our aim: Find an explicit Sobolev improvement for a sharp Hardy inequality

We obtain such improvements in three different contexts:

1. Point singularity in Euclidean space
2. Point singularity in hyperbolic space
3. Boundary point singularity in Euclidean space

1. Point singularity in Euclidean space

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Theorem. Let $n \geq 3$ and $2 < p \leq 2^*$. There exists $\alpha_n > 0$ such that for all $0 < \alpha \leq \alpha_n$ there holds

$$\begin{aligned} & \int_{B_1} |\nabla u|^2 dx - \left(\frac{n-2}{2}\right)^2 \int_{B_1} \frac{u^2}{|x|^2} dx \\ & \geq (n-2)^{-\frac{p+2}{p}} S_{n,p} \left(\int_{B_1} |x|^{\frac{p(n-2)}{2}-n} \chi(\alpha|x|)^{\frac{p+2}{2}} |u|^p dx \right)^{2/p}, \end{aligned}$$

for all $u \in C_c^\infty(\Omega)$. Moreover the inequality is sharp for any $\alpha \leq \alpha_n$.

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for all $u \in C_c^\infty(\Omega)$. Moreover the inequality is sharp for any $\alpha \leq \alpha_n$.

Note: For any $\alpha, \alpha' > 0$ there holds

$$\lim_{x \rightarrow 0} \frac{X(\alpha|x|)}{X(\alpha'|x|)} = 1.$$

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Theorem. Let $n \geq 3$, $2 < p \leq 2^*$, $\theta \in (0, 2)$. There exist $R > 1$ and $\alpha_{n,\theta} < 1$ such that for any $0 < \alpha \leq \alpha_{n,\theta}$ there holds

$$\begin{aligned} & \int_{B_1} |\nabla u|^2 dx - \left(\frac{n-2}{2}\right)^2 \int_{B_1} \frac{u^2}{|x|^2} dx - \theta^2 \int_{B_1} \frac{u^2}{|x|^{2-\theta}(R^\theta - |x|^\theta)} dx \\ & \geq (n-2)^{-\frac{p+2}{p}} S_{n,p} \left(\int_{B_1} |x|^{\frac{p(n-2)}{2}-n} X^{\frac{p+2}{2}} (\alpha|x|) |u|^p dx \right)^{2/p}. \end{aligned}$$

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for all $u \in C_c^\infty(B_1)$. Moreover the inequality is sharp for all $\alpha \leq \alpha_{n,\theta}$.

$$R^\theta = 1 + \frac{1}{\sqrt{n-2}}, \quad -\ln \alpha_{n,\theta} = R^{2\theta} - 1 + \int_0^1 \frac{s^{\theta-1}(2R^\theta - s^\theta)}{(R^\theta - s^\theta)^2} ds$$

Theorem. Let $n \geq 3$, $2 < p \leq 2^*$ and $0 \leq \theta < 1/2$. There exists $\alpha_n > 0$ such that for any $0 < \alpha \leq \alpha_n$ there holds

$$\begin{aligned} \int_{B_1} |\nabla u|^2 dx - \left(\frac{n-2}{2}\right)^2 \int_{B_1} \frac{u^2}{|x|^2} dx - \theta(1-\theta) \int_{B_1} \frac{u^2}{|x|^2} \chi^2(\alpha|x|) dx \\ \geq \left(\frac{1-2\theta}{n-2}\right)^{\frac{p+2}{p}} S_{n,p} \left(\int_{B_1} |x|^{\frac{p(n-2)}{2}-n} \chi(\alpha|x|)^{\frac{p+2}{2}} |u|^p dx \right)^{2/p}. \end{aligned}$$

for all $u \in C_c^\infty(B_1)$. Moreover the constant $\left(\frac{1-2\theta}{n-2}\right)^{\frac{p+2}{p}} S_{n,p}$ is sharp for any $\alpha \leq \alpha_n$.

2. Point singularity in hyperbolic space

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Hyperbolic space \mathbb{H}^n : use the Poincaré ball model

Equip the unit ball with the Riemannian metric

$$ds^2 = \left(\frac{1 - |x|^2}{2} \right)^{-2} dx^2.$$

Under this model we have

$$|\nabla_{\mathbb{H}^n} v|^2 = \left(\frac{1 - |x|^2}{2} \right)^2 |\nabla_{\mathbb{R}^n} v|^2, \quad dV = \left(\frac{1 - |x|^2}{2} \right)^{-n} dx$$

and Riemannian distance to the origin is

$$\rho(x) = \ln \left(\frac{1 + |x|}{1 - |x|} \right).$$

In \mathbb{H}^n both Hardy and Sobolev inequalities are valid:

E. Hebey. If $n \geq 3$ then

$$\int_{\mathbb{H}^n} |\nabla_{\mathbb{H}^n} u|^2 dV - \frac{n(n-2)}{4} \int_{\mathbb{H}^n} u^2 dV \geq S_n \left(\int_{\mathbb{H}^n} |u|^{2^*} dV \right)^{2/2^*}$$

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for all $u \in C_c^\infty(\mathbb{H}^n)$.

More generally, for any $2 \leq p \leq 2^*$,

$$\int_{\mathbb{H}^n} |\nabla_{\mathbb{H}^n} u|^2 dV - \frac{n(n-2)}{4} \int_{\mathbb{H}^n} u^2 dV \geq S_{n,p} \left(\int_{\mathbb{H}^n} (\sinh \rho)^{\frac{p(n-2)}{2} - n} |u|^p dV \right)^{2/p}$$

for all $u \in C_c^\infty(\mathbb{H}^n)$.

Hardy inequality is also valid:

$$\int_{\mathbb{H}^n} |\nabla u|^2 dV \geq \left(\frac{n-2}{2}\right)^2 \int_{\mathbb{H}^n} \frac{u^2}{\rho^2} dV, \quad u \in C_c^\infty(\mathbb{H}^n).$$

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Q: What about Sobolev improvement?

Consider the inequality

$$\int_{B_1} |\nabla u|^2 dx - \left(\frac{n-2}{2}\right)^2 \int_{B_1} \frac{u^2}{|x|^2} dx$$
$$\geq (n-2)^{-\frac{p+2}{p}} S_{n,p} \left(\int_{B_1} |x|^{\frac{p(n-2)}{2}-n} \chi(\alpha|x|)^{\frac{p+2}{2}} |u|^p dx \right)^{2/p}$$

(valid for $\alpha \leq \alpha_n$ and all $u \in C_c^\infty(B_1)$) and “translate” it to the hyperbolic context.

Consider the inequality

$$\begin{aligned} \int_{B_1} |\nabla u|^2 dx - \left(\frac{n-2}{2}\right)^2 \int_{B_1} \frac{u^2}{|x|^2} dx \\ \geq (n-2)^{-\frac{p+2}{p}} S_{n,p} \left(\int_{B_1} |x|^{\frac{p(n-2)}{2}-n} \chi(\alpha|x|)^{\frac{p+2}{2}} |u|^p dx \right)^{2/p} \end{aligned}$$

(valid for $\alpha \leq \alpha_n$ and all $u \in C_c^\infty(B_1)$) and “translate” it to the hyperbolic context. Obtain

Theorem. Let $n \geq 3$ and $2 < p \leq 2^*$. For any $0 < \alpha \leq \alpha_n$ there holds

$$\begin{aligned} \int_{\mathbb{H}^n} |\nabla_{\mathbb{H}^n} u|^2 dV - \left(\frac{n-2}{2}\right)^2 \int_{\mathbb{H}^n} \frac{u^2}{\rho^2} dV \\ \geq (n-2)^{-\frac{p+2}{p}} S_{n,p} \left(\int_{\mathbb{H}^n} (\sinh \rho)^{\frac{p(n-2)}{2}-n} \chi^{\frac{p+2}{2}} \left(\alpha \tanh\left(\frac{\rho}{2}\right)\right) |u|^p dV \right)^{2/p}, \end{aligned}$$

for all $u \in C_c^\infty(\mathbb{H}^n)$. Moreover the inequality is sharp for all $0 < \alpha \leq \alpha_n$.

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for all $u \in C_c^\infty(\mathbb{H}^n)$. Moreover the inequality is sharp for all $0 < \alpha \leq \alpha_n$.

Can we have more?

Indeed we may also obtain

Theorem. Let $n \geq 3$ and $2 < p \leq 2^*$. For any $0 < \alpha \leq \alpha_n$ we have

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for all $u \in C_c^\infty(\mathbb{H}^n)$. Moreover the constant $(n-2)^{-\frac{p+2}{p}} S_{n,p}$ is sharp for all $0 < \alpha \leq \alpha_n$.

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We would like $(n-1)^2/4$ instead of $n(n-2)/4$ in front of the L^2 term.

Berchio, Ganguly, Grillo ('17). There holds

$$\begin{aligned} \int_{\mathbb{H}^n} |\nabla_{\mathbb{H}^n} u|^2 dV - \left(\frac{n-1}{2}\right)^2 \int_{\mathbb{H}^n} u^2 dV \\ \geq \frac{1}{4} \int_{\mathbb{H}^n} \frac{u^2}{\rho^2} dV + \frac{(n-1)(n-3)}{4} \int_{\mathbb{H}^n} \frac{u^2}{\sinh^2 \rho} dV \end{aligned}$$

for all $u \in C_c^\infty(\mathbb{H}^n)$. The inequality is optimal, non-improvable.

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Also recent article by Berchio, Ganguly, Grillo, Pinchover ('19).

We are interested in Sobolev improvements of the Poincaré-Hardy inequality

$$\int_{\mathbb{H}^n} |\nabla_{\mathbb{H}^n} v|^2 dV \geq \left(\frac{n-1}{2}\right)^2 \int_{\mathbb{H}^n} v^2 dV + \left(\frac{n-2}{2}\right)^2 \int_{\mathbb{H}^n} \frac{v^2}{\sinh^2 \rho} dV,$$

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for $u \in C_c^\infty(\mathbb{H}^n)$.

To state the result we need to introduce a constant $\bar{S}_{n,p}$ and a function $Y(t)$, $t > 0$.

The constant $\bar{S}_{n,p}$, $2 < p \leq 2^*$.

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Given $2 < p \leq 2^*$ we define $\bar{S}_{n,p}$ to be the best constant for the Poincaré-Sobolev inequality

$$\int_{\mathbb{H}^n} |\nabla_{\mathbb{H}^n} v|^2 dV \geq \left(\frac{n-1}{2}\right)^2 \int_{\mathbb{H}^n} v^2 dV + \bar{S}_{n,p} \left(\int_{\mathbb{H}^n} (\sinh \rho)^{\frac{p(n-2)}{2}-n} |v|^p dV \right)^{2/p}, \quad v \in C_c^\infty(\mathbb{H}^n)$$

Note. The positivity of $\bar{S}_{n,p}$ follows from the positivity of $\bar{S}_{n,2^*}$ (Mancini and Sandeep '08)

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Consider the following two auxiliary problems:

$$\begin{cases} g''(t) + \frac{1}{4 \sinh^2 t} g(t) = 0, & t > 0, \\ \lim_{t \rightarrow +\infty} g(t) = 1, \end{cases}$$

and, for $n \geq 3$,

$$\begin{cases} h''(t) - \frac{(n-1)(n-3)}{4 \sinh^2 t} h(t) = 0, & t > 0, \\ \lim_{t \rightarrow +\infty} h(t) = 1. \end{cases}$$

Prove existence and uniqueness and study asymptotics near zero.

Then define a function $\rho = \rho(t)$ by

$$\int_0^{\rho(t)} \frac{dr}{h(r)^2} = \int_0^t \frac{ds}{g(s)^2}, \quad t > 0.$$

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Theorem (Poincaré-Hardy-Sobolev inequality). Let $n \geq 3$ and $2 < p \leq 2^*$. There holds

$$\begin{aligned} \int_{\mathbb{H}^n} |\nabla_{\mathbb{H}^n} v|^2 dV &\geq \left(\frac{n-1}{2}\right)^2 \int_{\mathbb{H}^n} v^2 dV + \left(\frac{n-2}{2}\right)^2 \int_{\mathbb{H}^n} \frac{v^2}{\sinh^2 \rho} dV \\ &+ (n-2)^{-\frac{p+2}{p}} \overline{S}_{n,p} \left(\int_{\mathbb{H}^n} (\sinh \rho)^{\frac{p(n-2)}{2} - n} Y^{\frac{p+2}{2}}(\rho) |v|^p dV \right)^{2/p}. \end{aligned}$$

for all $v \in C_c^\infty(\mathbb{H}^n)$. Moreover the inequality is sharp.

Concerning the function $Y(t)$ we have:

Proposition.

(i) There exists $\alpha_n > 0$ such that

$$Y(t) \geq X\left(\alpha_n \tanh \frac{t}{2}\right), \quad t > 0$$

(ii) There holds

$$\lim_{t \rightarrow 0} \frac{Y(t)}{X(t)} = 1$$

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What about $\bar{S}_{n,p}$?

The precise value of $\bar{S}_{n,p}$ is not known.

$$\int_{\mathbb{H}^n} |\nabla_{\mathbb{H}^n} v|^2 dV \geq \left(\frac{n-1}{2}\right)^2 \int_{\mathbb{H}^n} v^2 dV$$
$$+ \bar{S}_{n,p} \left(\int_{\mathbb{H}^n} (\sinh \rho)^{\frac{p(n-2)}{2} - n} |v|^p dV \right)^{2/p}$$

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$$\int_{\mathbb{H}^n} |\nabla_{\mathbb{H}^n} v|^2 dV \geq \left(\frac{n-1}{2}\right)^2 \int_{\mathbb{H}^n} v^2 dV \\ + \bar{S}_{n,p} \left(\int_{\mathbb{H}^n} (\sinh \rho)^{\frac{p(n-2)}{2}-n} |v|^p dV \right)^{2/p}$$

Using the half-space model for \mathbb{H}^n we find that $\bar{S}_{n,p}$ is the best constant for the Hardy-Sobolev inequality

$$\int_{\mathbb{R}_+^n} |\nabla u|^2 dx \geq \frac{1}{4} \int_{\mathbb{R}_+^n} \frac{u^2}{x_n^2} dx \\ + \bar{S}_{n,p} \left(\int_{\mathbb{R}_+^n} \left(\frac{2}{|x - e_n| |x + e_n|} \right)^{n - \frac{n-2}{2}p} |u|^p dx \right)^{2/p}$$

for all $u \in C_c^\infty(\mathbb{R}_+^n)$.

For $p = 2^*$ this becomes

$$\int_{\mathbb{R}_+^n} |\nabla u|^2 dx \geq \frac{1}{4} \int_{\mathbb{R}_+^n} \frac{u^2}{x_n^2} dx + \bar{S}_{n,2^*} \left(\int_{\mathbb{R}_+^n} |u|^{2^*} dx \right)^{2/2^*}, \quad u \in C_c^\infty(\mathbb{R}_+^n)$$

The result of Benguria-Frank-Loss states that

$$\bar{S}_{3,2^*} = S_3.$$

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We have

Theorem. Let $n = 3$. For any $2 \leq p < 2^*$ there holds

$$\bar{S}_{3,p} = S_{3,p} = \frac{p}{2^{\frac{2}{p}}} \left[\frac{4\pi\Gamma^2\left(\frac{p}{p-2}\right)}{(p-2)\Gamma\left(\frac{2p}{p-2}\right)} \right]^{\frac{p-2}{p}}$$

Open problem: compute $\bar{S}_{n,p}$ for $n \geq 4$

In case $n = 3$ the result is sharp also with the logarithmic function X .

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Theorem. There exists an $\bar{\alpha}_3 > 0$ such that for all $0 < \alpha \leq \bar{\alpha}_3$, all $2 < p \leq 2^*$ and all $v \in C_c^\infty(\mathbb{H}^3)$ there holds

$$\int_{\mathbb{H}^3} |\nabla_{\mathbb{H}^3} v|^2 dV \geq \int_{\mathbb{H}^3} v^2 dV + \frac{1}{4} \int_{\mathbb{H}^3} \frac{v^2}{\sinh^2 \rho} dV \\ + S_{3,p} \left(\int_{\mathbb{H}^n} (\sinh \rho)^{\frac{p-6}{2}} X^{\frac{p+2}{2}} (\alpha \tanh(\rho/2)) |v|^p dV \right)^{2/p}$$

The constant $S_{3,p}$ is sharp for all $0 < \alpha \leq \bar{\alpha}_3$.

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The constant $S_{3,p}$ is sharp for all $0 < \alpha \leq \bar{\alpha}_3$.

Note. To show optimality use the sharpness of the inequality

$$\int_{B_1} |\nabla u|^2 dx - \left(\frac{n-2}{2}\right)^2 \int_{B_1} \frac{u^2}{|x|^2} dx - \theta(1-\theta) \int_{B_1} \frac{u^2}{|x|^2} X^2(\alpha|x|) dx$$

$$\geq \left(\frac{1-2\theta}{n-2}\right)^{\frac{p+2}{p}} S_{n,p} \left(\int_{B_1} |x|^{\frac{p(n-2)}{2}-n} X(\alpha|x|)^{\frac{p+2}{2}} |u|^p dx \right)^{2/p}$$

3. Boundary point singularity in Euclidean space

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Let Ω be a domain in \mathbb{R}^n and assume that $0 \in \partial\Omega$. We are interested in the Hardy inequality

$$\int_{\Omega} |\nabla u|^2 dx \geq c \int_{\Omega} \frac{u^2}{|x|^2} dx, \quad u \in C_c^\infty(\Omega)$$

and related Sobolev improvements.

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and related Sobolev improvements.

What about the best constant c ? We know that

$$c = \left(\frac{n-2}{2}\right)^2$$

works, but can we do better? The geometry plays a role.

I. Cones

II. Bounded domains with nice boundary

Part I. Cones.

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A. Nazarov ('06). Let C_Σ be a finite (or infinite) cone with vertex at zero:

$$C_\Sigma = \{r\omega : \omega \in \Sigma, 0 < r < 1 \text{ (or } r > 0) \}$$

where Σ an open subset of \mathbb{S}^{n-1} .

Let $\mu_1(\Sigma)$ be the first eigenvalue of the Dirichlet Laplacian on Σ . Then

$$\int_{C_\Sigma} |\nabla u|^2 dx \geq \left[\left(\frac{n-2}{2} \right)^2 + \mu_1(\Sigma) \right] \int_{C_\Sigma} \frac{u^2}{|x|^2} dx,$$

for all $u \in C_c^\infty(C_\Sigma)$. Moreover the constant is the best possible.

Proof. The function

$$\phi(x) = r^{-\frac{n-2}{2}} \psi_1(\omega)$$

is a positive solution to the Euler equation.

Q: Is it possible to improve the above inequality by adding more terms ?

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Theorem (B., Filippas, Tertikas '18). There holds

$$\int_{C_\Sigma} |\nabla u|^2 dx \geq \left[\left(\frac{n-2}{2} \right)^2 + \mu_1(\Sigma) \right] \int_{C_\Sigma} \frac{u^2}{|x|^2} dx + \frac{1}{4} \int_{C_\Sigma} \frac{u^2}{|x|^2} \chi^2(|x|) dx$$

for all $u \in C_c^\infty(C_\Sigma)$. The inequality is sharp.

Q: Is it possible to improve the above inequality by adding more terms ?

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for all $u \in C_c^\infty(C_\Sigma)$. The inequality is sharp.

Proof. The function

$$\phi(x) = r^{-\frac{n-2}{2}} X^{-\frac{1}{2}}(r) \psi_1(\omega)$$

is a positive solution to the Euler equation.

What about Sobolev improvements?

Theorem (B., Filippas, Tertikas '18). Let $n \geq 3$. There exists a positive constant $C = C(\Sigma)$ such that

$$\int_{C_\Sigma} |\nabla u|^2 dx \geq \left[\left(\frac{n-2}{2} \right)^2 + \mu_1(\Sigma) \right] \int_{C_\Sigma} \frac{u^2}{|x|^2} dx \\ + C(\Sigma) \left(\int_{C_\Sigma} X^{\frac{2n-2}{n-2}} (|x|) |u|^{2^*} dx \right)^{2/2^*},$$

for all $u \in C_c^\infty(C_\Sigma)$. Moreover

- (i) The exponent $(2n-2)/(n-2)$ of $X(|x|)$ is the best possible.
- (ii) For the best constant $C(\Sigma)$ we have

$$C(\Sigma) \leq C_n |\Sigma|^{\frac{2}{n}};$$

in particular it cannot be taken to be independent of Σ .

What about additional improvements ?

Define $X_1(t) = X(t)$ and for $k \geq 2$

$$X_k(t) = X_1(X_{k-1}(t)), \quad t \in (0, 1).$$

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Theorem (B., Filippas, Tertikas '18). There holds

$$\begin{aligned} \int_{C_\Sigma} |\nabla u|^2 dx &\geq \left[\left(\frac{n-2}{2} \right)^2 + \mu_1(\Sigma) \right] \int_{C_\Sigma} \frac{u^2}{|x|^2} dx \\ &+ \frac{1}{4} \sum_{i=1}^{\infty} \int_{C_\Sigma} \frac{u^2}{|x|^2} X_1^2 X_2^2 \dots X_i^2 dx, \end{aligned}$$

for all $u \in C_c^\infty(C_\Sigma)$.

The inequality is sharp at each step.

Proof. For each fixed m , the function

$$\phi(x) = r^{-\frac{n-2}{2}} X_1(r)^{-1/2} \dots X_m(r)^{-1/2} \psi_1(\omega)$$

is a positive solution to the m th-improved Hardy inequality.

What about Sobolev improvements for the m th improved Hardy inequality?

Theorem Let $n \geq 3$. There exists a constant C that depends only on Σ such that for any $m \in \mathbb{N}$

$$\begin{aligned} \int_{C_\Sigma} |\nabla u|^2 dx &\geq \left[\left(\frac{n-2}{2} \right)^2 + \mu_1(\Sigma) \right] \int_{C_\Sigma} \frac{u^2}{|x|^2} dx \\ &+ \frac{1}{4} \sum_{i=1}^m \int_{C_\Sigma} \frac{u^2}{|x|^2} X_1^2 \dots X_i^2 dx \\ &+ C \left(\int_{C_\Sigma} (X_1 \dots X_{m+1})^{\frac{2n-2}{n-2}} |u|^{\frac{2n}{n-2}} dx \right)^{\frac{n-2}{n}}, \end{aligned}$$

for all $u \in C_c^\infty(C_\Sigma)$. The inequality is sharp.

Part II. General bounded domains

Let Ω be a domain with nice boundary and with $0 \in \partial\Omega$. We are interested in the Hardy inequality

$$\int_{\Omega} |\nabla u|^2 dx \geq c \int_{\Omega} \frac{u^2}{|x|^2} dx, \quad u \in C_c^\infty(\Omega)$$

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Consider the half-space $\mathbb{R}_+^n = \{x_n > 0\}$.

This is a special case of a cone, hence the Hardy constant is

$$\left(\frac{n-2}{2}\right)^2 + \mu_1(\mathbb{S}_+^{n-1}) = \left(\frac{n-2}{2}\right)^2 + (n-1) = \frac{n^2}{4}$$

This is the 'right' constant locally for a domain with smooth boundary.

M.M. Fall ('12) Let Ω be bounded Lipschitz boundary and assume that $\partial\Omega$ is C^2 near the origin. There exists an $r = r(\Omega)$ such that for all $u \in C_c^\infty(\Omega \cap B_r)$ there holds

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Theorem (B., Filippas, Tertikas '18). Let $\Omega \subset \mathbb{R}^n$, $n \geq 2$, be a bounded domain with $0 \in \partial\Omega$ admitting an exterior ball of radius ρ at 0. Let $D = \sup_\Omega |x|$. There exist $\sigma_n > 0$ such that if $\rho \geq D/\sigma_n$ then

$$\int_\Omega |\nabla u|^2 dx \geq \frac{n^2}{4} \int_\Omega \frac{u^2}{|x|^2} dx$$

for all $u \in C_c^\infty(\Omega)$.

If in addition Ω satisfies an interior ball condition at 0 then the constant is sharp.

Theorem. Let $\Omega \subset \mathbb{R}^n$, $n \geq 2$, be a bounded domain with $0 \in \partial\Omega$ having an exterior ball of radius ρ at 0. Let $D = \sup_{\Omega} |x|$. There exist $\sigma_n > 0$ and $\kappa > 0$ such that if $\rho \geq D/\sigma_n$ then

$$\int_{\Omega} |\nabla u|^2 dx \geq \frac{n^2}{4} \int_{\Omega} \frac{u^2}{|x|^2} dx + \frac{1}{4} \sum_{i=1}^{\infty} \int_{\Omega} \frac{u^2}{|x|^2} X_1^2 \dots X_i^2 dx,$$

for all $u \in C_c^\infty(\Omega)$; here $X_i = X_i(\sigma_n |x| / (3\kappa D))$.

If in addition Ω satisfies an interior ball condition at 0 then the estimate is sharp at each step.

What about Sobolev improvements?

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Theorem (Hardy-Sobolev inequality) Let $\Omega \subset \mathbb{R}^n$, $n \geq 2$, be a bounded domain with $0 \in \partial\Omega$ having an exterior ball of radius ρ at 0. There exist $\sigma_n, C_n > 0$ such that if $\rho \geq D/\sigma_n$ then

$$\int_{\Omega} |\nabla u|^2 dx \geq \frac{n^2}{4} \int_{\Omega} \frac{u^2}{|x|^2} dx + C_n \left(\int_{\Omega} X^{\frac{2n-2}{n-2}} |u|^{\frac{2n}{n-2}} dx \right)^{\frac{n-2}{n}},$$

for all $u \in C_c^\infty(\Omega)$; here $X = X(|x|/3D)$.

If in addition Ω satisfies an interior ball condition at 0 then the estimate is sharp.

A natural question:

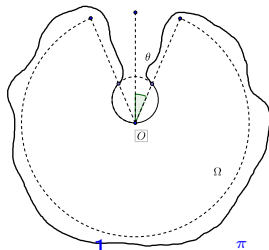
In order to have the Hardy inequality with constant $n^2/4$ is it necessary that the exterior ball is large compared to $D = \sup_{\Omega} |x|$?

Example. For $\rho \in (0, 1/2)$ and $\theta \in (0, \pi/2)$ define

$$\mathcal{A}_{\rho, \theta} = \{x = (x', x_n) \in B_1 : x_n < \cot \theta |x'| \text{ and } |x - \rho e_n| > \rho\}.$$

Let $\Omega \supset \mathcal{A}_{\rho, \theta}$ having $B(\rho e_n, \rho)$ as largest exterior ball at 0. Let $\lambda_1(n, \theta)$ be the first Dirichlet eigenvalue of the Laplace operator on the spherical cap

$$\Sigma_\theta = \{(x', x_n) \in S^{n-1} : x_n < \cot \theta |x'|\}.$$



If

$$\rho < \frac{1}{2 \cos \theta} e^{-\frac{\pi}{\sqrt{n-1-\lambda_1(n, \theta)}}},$$

then the best Hardy constant of Ω is strictly smaller than $n^2/4$.

Back to Hardy-Sobolev inequalities with explicit constants.

Back to Hardy-Sobolev inequalities with explicit constants.

Let $n \geq 3$, $0 \leq \gamma < n/2$ and $2 < p \leq 2^*$. We define $S_{n,p,\gamma}^*$ to be the best constant for the inequality

$$\begin{aligned} \int_{\mathbb{R}_+^n} |\nabla u|^2 dx - \gamma(n-\gamma) \int_{\mathbb{R}_+^n} \frac{u^2}{|x|^2} dx \\ \geq S_{n,p,\gamma}^* \left(\int_{\mathbb{R}_+^n} |x|^{\frac{p(n-2)}{2}-n} |u|^p dx \right)^{2/p} \end{aligned}$$

for all $u \in C_c^\infty(\mathbb{R}_+^n)$.

Theorem. Let $\Omega \subset \mathbb{R}^n$, $n \geq 3$, be a bounded domain with $0 \in \partial\Omega$ and let $D = \sup_{\Omega} |x|$. Assume that Ω satisfies an exterior ball condition at zero with exterior ball $B_{\rho}(-\rho e_n)$. Then for any $2 < p \leq 2^*$ and any $\gamma \in [0, n/2)$ there exist an $r_{n,\gamma}$ and $\alpha_{n,\gamma}^*$ in $(0, 1)$ such that, if the radius ρ of the exterior ball satisfies $\rho \geq D/r_{n,\gamma}$ then for all $0 < \alpha \leq \alpha_{n,\gamma}^*$ there holds

$$\int_{\Omega} |\nabla u|^2 dx \geq \frac{n^2}{4} \int_{\Omega} \frac{u^2}{|x|^2} dx$$

$$+ (n - 2\gamma)^{-\frac{p+2}{p}} S_{n,p,\gamma}^* \left(\int_{\Omega} |x|^{\frac{p(n-2)}{2} - n} \left(\frac{|x + 2\rho e_n|}{2\rho} \right)^{\frac{p(n-2)}{2} - n} X^{\frac{p+2}{2}} |u|^p dx \right)^{\frac{2}{p}}$$

for all $u \in C_c^{\infty}(\Omega)$; here $X = X(\alpha|x|/D)$.

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$$\bar{S}_{n,p} = \inf \frac{\int_0^\infty \int_{S^{n-1}} h(\rho)^2 \left(w_\rho^2 + \frac{1}{\sinh^2 \rho} |\nabla_\omega w|^2 \right) dS d\rho}{\left(\int_0^\infty \int_{S^{n-1}} (\sinh \rho)^{-\frac{p+2}{2}} h(\rho)^p |w|^p dS d\rho \right)^{2/p}}.$$

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The term involving ∇_ω can be ignored by symmetrization.

For boundary point singularity: use in addition a conformal map from \mathbb{R}_+^n onto $B(\rho)^c$.

The end