

# A NEW OBSTRUCTION TO THE LOCAL LIFTING PROBLEM

ARISTIDES KONTOGEORGIS AND ALEXIOS TEREZAKIS

**ABSTRACT.** We study the local lifting problem of actions of semidirect products of a cyclic  $p$ -group by a cyclic prime to  $p$  group, where  $p$  is the characteristic of the special fibre. We give a criterion based on Harbater-Katz-Gabber compactification of local actions, which allows us to decide whether a local action lifts or not. In particular for the case of dihedral group we give an example of dihedral local action that can not lift and in this way we give a stronger obstruction than the KGB-obstruction.

## 1. INTRODUCTION

Let  $G$  be a finite group,  $k$  and algebraically closed field of characteristic  $p > 0$  and consider the homomorphism

$$\rho : G \hookrightarrow \text{Aut}(k[[t]]),$$

which will be called *a local  $G$ -action*. Let  $W(k)$  denote the ring of Witt vectors of  $k$ . The local lifting problem considers the following question: Does there exist an extension  $\Lambda/W(k)$ , and a representation

$$\tilde{\rho} : G \hookrightarrow \text{Aut}(\Lambda[[T]]),$$

such that if  $t$  is the reduction of  $T$ , then the action of  $G$  on  $\Lambda[[T]]$  reduces to the action of  $G$  on  $k[[t]]$ ? If the answer to the above question is positive, then we say that the  $G$ -action lifts to characteristic zero. A group  $G$  for which every local  $G$ -action on  $k[[t]]$  lifts to characteristic zero is called *a local Oort group for  $k$* .

After studying certain obstructions (the Bertin-obstruction, the KGB-obstruction, the Hurwitz tree obstruction etc) it is known that the only possible local Oort groups are

- (1) Cyclic groups
- (2) Dihedral groups  $D_{p^h}$  of order  $2p^h$
- (3) The alternating group  $A_4$

The Oort conjecture states that every cyclic group  $C_q$  of order  $q = p^h$  lifts locally. This conjecture was proved recently by F. Pop [26] using the work of A. Obus and S. Wewers [24]. A. Obus proved that  $A_4$  is local Oort

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group in [21] and this was also known to F. Pop and I. Bouw and S. Wewers [6]. The case of dihedral groups  $D_p$  are known to be local Oort by the work of I. Bouw and S. Wewers for  $p$  odd [6] and by the work of G. Pagot [25]. Several cases of dihedral groups  $D_{p^h}$  for small  $p^h$  have been studied by A. Obus [22] and H. Dang, S. Das, K. Karagiannis, A. Obus, V. Thatte [11], while the  $D_4$  was studied by B. Weaver [31]. For more details on the lifting problem we refer to [8], [9], [10], [20].

Probably, the most important of the known so far obstructions is the KGB obstruction [9]. It was conjectured that if the  $p$ -Sylow subgroup of  $G$  is cyclic, then this is the only obstruction for the local lifting problem, see [20], [22]. In particular, the KGB-obstruction for the dihedral group  $D_q$  is known to vanish, so the conjecture asserts that the local action of  $D_q$  always lifts. We will provide in section 6.1 a counterexample to this conjecture, by proving that the HKG-cover corresponding to  $D_{125}$ , with a selection of lower jumps 9, 189, 4689 does not lift.

In this article, we will give a necessary and sufficient condition for a  $C_q \rtimes C_m$ -action and in particular for the group  $D_q$  to lift. In order to do so, we will employ the Harbater-Katz-Gabber-compactification (HKG for short), which can be used in order to construct complete curves out of local actions. In this way, we have a variety of tools at our disposal, coming from the theory of complete curves, and we can transform the local action and its deformations into representations of lineal groups acting on spaces of differentials of the HKG-curve. We have laid the necessary tools in our article [17], where we have collected several facts about the relation of liftings of local actions, liftings of curves and liftings of linear representations.

More precisely let us consider a local action  $\rho : G \rightarrow \text{Aut}_k[[t]]$  of the group  $G = C_q \rtimes C_m$ . The Harbater-Katz-Gabber compactification theorem asserts that there is a Galois cover  $X \rightarrow \mathbb{P}^1$  ramified wildly and completely only at one point  $P$  of  $X$  with Galois group  $G = \text{Gal}(X/\mathbb{P}^1)$  and tamely on a different point  $P'$  with ramification group  $C_m$ , so that the action of  $G$  on the completed local ring  $\mathcal{O}_{X,P}$  coincides with the original action of  $G$  on  $k[[t]]$ . Moreover, it is known that the local action lifts if and only if the corresponding HKG-cover lifts.

In particular, we have proved that in order to lift a subgroup  $G \subset \text{Aut}(X)$ , the representation  $\rho : G \rightarrow \text{GL}^0(X, \Omega_X)$  should be lifted to characteristic zero and also the lifting should be compatible with the deformation of the curve. More precisely, in [17] we have proved the following relative version of Petri's theorem

**Proposition 1.** *Let  $f_1, \dots, f_r \in S := \text{Sym}^0(X, \Omega_X) = k[\omega_1, \dots, \omega_g]$  be quadratic polynomials which generate the canonical ideal  $I_X$  of a curve  $X$  defined over an algebraic closed field  $k$ . Any deformation  $\mathcal{X}_A$  is given by quadratic polynomials  $\tilde{f}_1, \dots, \tilde{f}_r \in \text{Sym}^0(\mathcal{X}_A, \Omega_{\mathcal{X}_A/A}) = A[W_1, \dots, W_g]$ , which reduce to  $f_1, \dots, f_r$  modulo the maximal ideal  $\mathfrak{m}_A$  of  $A$ .*

And we also gave the following liftability criterion:

**Theorem 2.** *Consider an epimorphism  $R \rightarrow k \rightarrow 0$  of local Artin rings. Let  $X$  be a curve which is canonically embedded in  $\mathbb{P}_k^{g-1}$  and the canonical ideal is generated by quadratic polynomials, and acted on by the group  $G$ . The curve  $X \rightarrow \text{Spec}(k)$  can be lifted to a family  $\mathcal{X} \rightarrow \text{Spec}(R) \in D_{\text{gl}}(R)$  along with the  $G$ -action, if and only if the representation  $\rho_k : G \rightarrow \text{GL}_g(k) = \text{GL}(H^0(X, \Omega_X))$  lifts to a representation  $\rho_R : G \rightarrow \text{GL}_g(R) = \text{GL}(H^0(\mathcal{X}, \Omega_{\mathcal{X}/R}))$  and moreover the lift of the canonical ideal is left invariant by the action of  $\rho_R(G)$ .*

In section 3, we collect results concerning deformations of HKG covers, Artin representations and orbit actions and also provide a geometric explanation of the KGB-obstruction in remark 12. In section 4 we prove that the HKG-cover is canonically generated by quadratic polynomials, therefore theorem 2 can be applied.

In order to decide whether a linear representation of  $G = C_q \rtimes C_m$  can be lifted we will use the following criterion for the lifting of the linear representation, based on the decomposition of a  $k[G]$ -module into indecomposable summands. We begin by describing the indecomposable  $k[G]$ -modules for the group  $G = C_q \rtimes C_m$ :

**Proposition 3.** *Suppose that the group  $G = C_q \rtimes C_m$  is represented in terms of generators  $\sigma, \tau$  and relations as follows:*

$$G = \langle \sigma, \tau \mid \tau^q = 1, \sigma^m = 1, \sigma\tau\sigma^{-1} = \tau^\alpha \rangle,$$

*for some  $\alpha \in \mathbb{N}, 1 \leq \alpha \leq p^h - 1, (\alpha, p) = 1$ . Every indecomposable  $k[G]$ -module has dimension  $1 \leq \kappa \leq q$  and is of the form  $V_\alpha(\lambda, \kappa)$ , where the underlying space of  $V_\alpha(\lambda, \kappa)$  has the set of elements  $\{(\tau - 1)^\nu e, \nu = 0, \dots, \kappa - 1\}$  as a basis for some  $e \in V_\alpha(\lambda, \kappa)$ , and the action of  $\sigma$  on  $e$  is given by  $\sigma e = \zeta_m^\lambda e$ , for a fixed primitive  $m$ -th root of unity.*

*Proof.* A proof can be found in [18, sec. 3]. Notice also that  $(\tau - 1)^\kappa e = 0$ .  $\square$

Notice that in section 6 we will give an alternative description of the indecomposable  $k[G]$ -modules, namely the  $U_{\ell, \mu}$  notation, which is compatible with the results of [4].

**Remark 4.** In the article [18] of the authors the  $V_\alpha(\lambda, \kappa)$  notation is used. In this article we will need the Galois module structure of the space of homomorphisms of differentials of a curve and we will employ the results of [4], where the  $U_{\ell, \mu}$  notation is used. These modules will be defined in section 6, notice that  $V_\alpha(\lambda, \kappa) = U_{(\lambda + a_0(\kappa - 1) \bmod m, \kappa)}$ , see lemma 17.

**Theorem 5.** *Consider a  $k[G]$ -module  $M$  which is decomposed as a direct sum*

$$M = V_\alpha(\epsilon_1, \kappa_1) \oplus \dots \oplus V_\alpha(\epsilon_s, \kappa_s).$$

*The module lifts to an  $R[G]$ -module if and only if the set  $\{1, \dots, s\}$  can be written as a disjoint union of sets  $I_\nu, 1 \leq \nu \leq t$  so that*

- a.  $\sum_{\mu \in I_\nu} \kappa_\mu \leq q$ , for all  $1 \leq \nu \leq t$ .
- b.  $\sum_{\mu \in I_\nu} \kappa_\mu \equiv a \pmod{m}$  for all  $1 \leq \nu \leq t$ , where  $a \in \{0, 1\}$ .
- c. For each  $\nu$ ,  $1 \leq \nu \leq t$  there is an enumeration  $\sigma : \{1, \dots, \#I_\nu\} \rightarrow I_\nu \subset \{1, \dots, s\}$ , such that
 
$$\epsilon_{\sigma(2)} = \epsilon_{\sigma(1)} \alpha^{\kappa_{\sigma(1)}}, \epsilon_{\sigma(3)} = \epsilon_{\sigma(2)} \alpha^{\kappa_{\sigma(2)}}, \dots, \epsilon_{\sigma(s)} = \epsilon_{\sigma(s-1)} \alpha^{\kappa_{\sigma(s-1)}}.$$

Condition b., with  $a = 1$  happens only if the lifted  $C_q$ -action in the generic fibre has an eigenvalue equal to 1 for the generator  $\tau$  of  $C_q$ .

*Proof.* See [18]. □

The idea of the above theorem is that indecomposable  $k[G]$ -modules in the decomposition of  $H^0(X, \Omega_X)$  of the special fibre, should be combined together in order to give indecomposable modules in the decomposition of holomorphic differentials of the relative curve.

We will have the following strategy. We will consider a HKG-cover

$$\begin{array}{ccc} & G & \\ X & \xrightarrow{C_q} \mathbb{P}^1 & \xrightarrow{C_m} \mathbb{P}^1 \end{array}$$

of the  $G$ -action. This has a cyclic subcover  $X \xrightarrow{C_q} \mathbb{P}^1$  with Galois group  $C_q$ . We lift this cover using Oort's conjecture for  $C_q$ -groups to a cover  $\mathcal{X} \rightarrow \text{Spec} \Lambda$ . This gives rise to a representation

$$(1) \quad \rho : G \longrightarrow \text{GL}H^0(X, \Omega_X),$$

together with a lifting

$$(2) \quad \begin{array}{ccc} & \text{GL}H^0(\mathcal{X}, \Omega_{\mathcal{X}/\Lambda}) = \text{GL}_g(\Lambda) & \\ & \nearrow & \downarrow \text{mod}_\Lambda \\ C_q & \longrightarrow \text{GL}H^0(X, \Omega_X) = \text{GL}_g(k) & \end{array}$$

of the representation of the cyclic part  $C_q$  of  $G$ . We then lift the linear action of eq. (1) in characteristic zero, checking the conditions of theorem 5, in a such a way that the restriction to the  $C_q$  group is our initial lifting of the representation of the  $C_q$  subgroup coming from the lifting assured by Oort's conjecture given in eq. (2). Notice that the lifting of the cyclic group acting on a curve of characteristic zero in the generic fibre has the additional property that every eigenvalue of a generator of  $C_q$  is different than one, see eq. (16). Then using theorem 2 we will modify the initial lifting  $\mathcal{X}$  to a lifting  $\mathcal{X}'$  so that  $\mathcal{X}'$  is acted on by  $G$ .

Notice that  $m = 2$ , that is for the case of dihedral groups  $D_q$  of order  $2q$ , there is no need to pair two indecomposable  $k[D_q]$ -modules together in order to lift them into an indecomposable  $R[D_q]$ -module. The sets  $I_\nu$  can be singletons and the conditions of theorem 5 are trivially satisfied. For example, condition 5.b. does not give any information since every integer is either odd or even. This means that the linear representations always lift.

In our geometric setting on the other hand, we know that in the generic fibre cyclic actions do not have identity eigenvalues, see proposition 16. This means that we have to consider lifts that satisfy 5.b. with  $a = 0$ . Therefore, indecomposable modules for  $G = C_q \rtimes C_2 = D_q$  of odd dimension  $d_1$  should find another indecomposable module of odd dimension  $d_2$  in order to lift to an  $R[G]$ -indecomposable module of even dimension  $d_1 + d_2$ . Moreover, this dimension should satisfy  $d_1 + d_2 \leq q$ . If we also take care of the condition 5.c. we arrive at the following

**Criterion 6.** The HKG-curve with acted on by  $D_q$  lifts in characteristic zero if and only if all indecomposable summands  $V_\alpha(\epsilon, d)$ , where  $\epsilon \in \{0, 1\}$  and  $1 \leq d \leq q^h$  with  $d$  odd have a pair  $V_\alpha(\epsilon', d')$ , with  $\epsilon' \in \{0, 1\} - \{\epsilon\}$  and  $d'$  odd and  $d + d' \leq q^h$ . Notice that since,  $d, d'$  are both odd we have

$$V_\alpha(\epsilon, d) = U_{\epsilon+d-1 \bmod 2, d} = U_{\epsilon, d}, \quad V_\alpha(\epsilon', d') = U_{\epsilon'+d'-1 \bmod 2, d'} = U_{\epsilon', d'}.$$

The indecomposable modules given above will be called *complementary*. We will apply this criterion for complementary modules in the  $U_{\epsilon, d}$ -notation.

In section 5 we will show that given a lifting  $\mathcal{X}$  of the  $C_q$  action using Oort conjecture, and a lifting of the linear representation satisfying criterion 6 the lift  $\mathcal{X}$  can be modified to a lift  $\mathcal{X}'$ , which lifts the action of  $D_q$ . In order to apply this idea we need a detailed study of the direct  $k[G]$ -summands of  $H^0(X, \Omega_X)$ , for  $G = C_q \rtimes C_m$ . This is considered in section 6, where we employ the joint work of the first author with F. Bleher and T. Chinburg [4], in order to compute the decomposition of  $H^0(X, \Omega_X)$  into indecomposable  $kG$ -modules, in terms of the ramification filtration of the local action.

Then the lifting criterion of theorem 5 is applied. Our method gives rise to an algorithm which takes as input a group  $C_q \rtimes C_m$ , with a given sequence of lower jumps and decides whether the action lifts to characteristic zero.

In section 6.1 we give an example of an  $C_{125} \rtimes C_4$  HKG-curve which does not lift and then we restrict ourselves to the case of dihedral groups. The possible ramification filtrations for local actions of the group  $C_q \rtimes C_m$  were computed in the work of A. Obus and R. Pries in [23]. We focus on the case of dihedral groups  $D_q$  with lower jumps

$$(3) \quad b_\ell = w_0 \frac{p^{2\ell} + 1}{p + 1}, 0 \leq \ell \leq h - 1.$$

For the values  $w_0 = 9$  we will show that the local action does not lift, providing a counterexample to the conjecture that the KGB-obstruction is the only obstruction to the local lifting problem.

Finally, in section 6.2 we prove that the jumps of eq. (3) for the value  $w_0 = 1$  lift in characteristic zero. This result is a special case of the result of A. Obus in [22, Th. 8.7], proved by completely different methods.

We also have developed a program in sage [29] in order to compute the decomposition of  $H^0(X, \Omega_X)$  into indecomposable summands, which is freely available<sup>1</sup>.

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## 2. NOTATION

In this article we will study *metacyclic* groups  $G = C_q \rtimes C_m$ , where  $q = p^h$  is a power of the characteristic and  $m \in \mathbb{N}$ ,  $(m, p) = 1$ . Let  $\tau$  be a generator of the cyclic group  $C_q$  and  $\sigma$  be a generator of the cyclic group  $C_m$ .

The group  $G$  is given in terms of generators and relations as follows:

$$(4) \quad G = \langle \sigma, \tau \mid \tau^q = 1, \sigma^m = 1, \sigma\tau\sigma^{-1} = \tau^\alpha \rangle,$$

for some  $\alpha \in \mathbb{N}$ ,  $1 \leq \alpha \leq p^h - 1$ ,  $(\alpha, p) = 1$ . The integer  $\alpha$  satisfies the following congruence:

$$(5) \quad \alpha^m \equiv 1 \pmod{q}$$

as one sees by computing  $\tau = \sigma^m \tau \sigma^{-m} = \tau^{\alpha^m}$ . Also the integer  $\alpha$  can be seen as an element in the finite field  $\mathbb{F}_p$ , and it is a  $(p-1)$ -th root of unity, not necessarily primitive. In particular the following holds:

**Lemma 7.** *Let  $\zeta_m$  be a fixed primitive  $m$ -th root of unity. There is a natural number  $a_0$ ,  $0 \leq a_0 < m-1$  such that  $\alpha = \zeta_m^{a_0}$ .*

*Proof.* The integer  $\alpha$ , if we see it as an element in the field  $k$  of characteristic  $p > 0$ , is an element in the finite field  $\mathbb{F}_p \subset k$ , therefore  $\alpha^{p-1} = 1$  as an element in  $\mathbb{F}_p$ . Let  $\text{ord}_p(\alpha)$  be the order of  $\alpha$  in  $\mathbb{F}_p^*$ . By eq. 5 we have that  $\text{ord}_p(\alpha) \mid p-1$  and  $\text{ord}_p(\alpha) \mid m$ , that is  $\text{ord}_p(\alpha) \mid (p-1, m)$ .

The primitive  $m$ -th root of unity  $\zeta_m$  generates a finite field  $\mathbb{F}_p(\zeta_m) = \mathbb{F}_{p^\nu}$  for some integer  $\nu$ , which has cyclic multiplicative group  $\mathbb{F}_{p^\nu}^* \setminus \{0\}$  containing both the cyclic groups  $\langle \zeta_m \rangle$  and  $\langle \alpha \rangle$ . Since for every divisor  $\delta$  of the order

<sup>1</sup>[https://www.dropbox.com/sh/uo0dg9110vuqulr/AACarhRxsr\\_uIp5ogLvy6va?dl=0](https://www.dropbox.com/sh/uo0dg9110vuqulr/AACarhRxsr_uIp5ogLvy6va?dl=0)

of a cyclic group  $C$  there is a unique subgroup  $C' < C$  of order  $\delta$  we have that  $\alpha \in \langle \zeta_m \rangle$ , and the result follows.  $\square$

**Remark 8.** For the case  $C_q \rtimes C_m$  the KGB-obstruction vanishes if and only if the first lower jump  $h$  satisfies  $h \equiv -1 \pmod{m}$ . For this to happen the conjugation action of  $C_m$  on  $C_q$  has to be faithful, see [20, prop. 5.9]. Also notice that by [23, th. 1.1], that if  $u_0, u_1, \dots, u_{h-1}$  is the sequence of upper ramification jumps for the  $C_q$  subgroup, then the condition  $h \equiv -1 \pmod{m}$  implies that all upper jumps  $u_i \equiv -1 \pmod{m}$ . In remark 12 we will explain the necessity of the KGB-obstruction in terms of the action of  $C_m$ , on the fixed horizontal divisor of the  $C_q$  group.

### 3. DEFORMATION OF COVERS

**3.1. Splitting the branch locus.** Consider a deformation  $\mathcal{X} \rightarrow \text{Spec} A$  of the curve  $X$  together with the action of  $G$ . Denote by  $\tilde{\tau} = \tilde{\rho}(\tau)$  a lift of the action of the element  $\tau \in \text{Aut}(X)$ . Weierstrass preparation theorem [5, prop. VII.6] implies that:

$$\tilde{\tau}(T) - T = g_{\tilde{\tau}}(T)u_{\tilde{\tau}}(T),$$

where  $g_{\tilde{\tau}}(T)$  is a distinguished Weierstrass polynomial of degree  $m + 1$  and  $u_{\tilde{\tau}}(T)$  is a unit in  $R[[T]]$ .

The polynomial  $g_{\tilde{\tau}}(T)$  gives rise to a horizontal divisor that corresponds to the fixed points of  $\tilde{\tau}$ . This horizontal divisor might not be irreducible. The branch divisor corresponds to the union of the fixed points of any element in  $G_1(P)$ . Next lemma gives an alternative definition of a horizontal branch divisor for the relative curves  $\mathcal{X} \rightarrow \mathcal{X}^G$ , that works even when  $G$  is not a cyclic group.

**Lemma 9.** *Let  $\mathcal{X} \rightarrow \text{Spec} A$  be an  $A$ -curve, admitting a fibrewise action of the finite group  $G$ , where  $A$  is a Noetherian local ring. Let  $S = \text{Spec} A$ , and  $\Omega_{\mathcal{X}/S}, \Omega_{\mathcal{Y}/S}$  be the sheaves of relative differentials of  $\mathcal{X}$  over  $S$  and  $\mathcal{Y}$  over  $S$ , respectively. Let  $\pi : \mathcal{X} \rightarrow \mathcal{Y}$  be the quotient map. The sheaf*

$$\mathcal{L}(-D_{\mathcal{X}/\mathcal{Y}}) = \Omega_{\mathcal{X}/S}^{-1} \otimes_S \pi^* \Omega_{\mathcal{Y}/S}$$

*is the ideal sheaf of the horizontal Cartier divisor  $D_{\mathcal{X}/\mathcal{Y}}$ . The intersection of  $D_{\mathcal{X}/\mathcal{Y}}$  with the special and generic fibre of  $\mathcal{X}$  gives the ordinary branch divisors for curves.*

*Proof.* We will first prove that the above defined divisor  $D_{\mathcal{X}/\mathcal{Y}}$  is indeed an effective Cartier divisor. According to [16, Cor. 1.1.5.2] it is enough to prove that

- $D_{\mathcal{X}/\mathcal{Y}}$  is a closed subscheme which is flat over  $S$ .
- for all geometric points  $\text{Spec} k \rightarrow S$  of  $S$ , the closed subscheme  $D_{\mathcal{X}/\mathcal{Y}} \otimes_S k$  of  $\mathcal{X} \otimes_S k$  is a Cartier divisor in  $\mathcal{X} \otimes_S k/k$ .

In our case the special fibre is a nonsingular curve. Since the base is a local ring and the special fibre is nonsingular, the deformation  $\mathcal{X} \rightarrow \text{Spec} A$

is smooth. (See the remark after the definition 3.35 p.142 in [19]). The smoothness of the curves  $\mathcal{X} \rightarrow S$ , and  $\mathcal{Y} \rightarrow S$ , implies that the sheaves  $\Omega_{\mathcal{X}/S}$  and  $\Omega_{\mathcal{Y}/S}$  are  $S$ -flat, [19, cor. 2.6 p.222].

On the other hand the sheaf  $\Omega_{\mathcal{Y}, \text{Spec} A}$  is by [16, Prop. 1.1.5.1]  $\mathcal{O}_{\mathcal{Y}}$ -flat. Therefore,  $\pi^*(\Omega_{\mathcal{Y}, \text{Spec} A})$  is  $\mathcal{O}_{\mathcal{X}}$ -flat and  $\text{Spec} A$ -flat [14, Prop. 9.2]. Finally, observe that the intersection with the special and generic fibre is the ordinary branch divisor for curves according to [14, IV p.301].  $\square$

For a curve  $X$  and a branch point  $P$  of  $X$  we will denote by  $i_{G,P}$  the order function of the filtration of  $G$  at  $P$ . The Artin representation of the group  $G$  is defined by  $\text{ar}_P(\sigma) = -f_P i_{G,P}(\sigma)$  for  $\sigma \neq 1$  and  $\text{ar}_P(1) = f_P \sum_{\sigma \neq 1} i_{G,P}(\sigma)$  [28, VI.2]. We are going to use the Artin representation at both the special and generic fibre. In the special fibre we always have  $f_P = 1$  since the field  $k$  is algebraically closed. The field of quotients of  $A$  should not be algebraically closed, therefore for a fixed point there might have  $f_P \geq 1$ . The integer  $i_{G,P}(\sigma)$  is equal to the multiplicity of  $P \times P$  in the intersection of  $\Delta \cdot \Gamma_\sigma$  in the relative  $A$ -surface  $\mathcal{X} \times_{\text{Spec} A} \mathcal{X}$ , where  $\Delta$  is the diagonal and  $\Gamma_\sigma$  is the graph of  $\sigma$  [28, p. 105].

Since the diagonals  $\Delta_0, \Delta_\eta$  and the graphs of  $\sigma$  in the special and generic fibres respectively of  $\mathcal{X} \times_{\text{Spec} A} \mathcal{X}$  are algebraically equivalent divisors we have:

**Proposition 10.** *Assume that  $A$  is an integral domain, and let  $\mathcal{X} \rightarrow \text{Spec} A$  be a deformation of  $X$ . Let  $\bar{P}_i, i = 1, \dots, s$  be the horizontal branch divisors that intersect at the special fibre, at point  $P$ , and let  $P_i$  be the corresponding points on the generic fibre. For the Artin representations attached to the points  $P, P_i$  we have:*

$$(6) \quad \text{ar}_P(\sigma) = \sum_{i=1}^s \text{ar}_{P_i}(\sigma).$$

This generalizes a result of J. Bertin [3]. Moreover if we set  $\sigma = 1$  to the above formula we obtain a relation for the valuations of the differentials in the special and the generic fibre, since the value of the Artin's representation at 1 is the valuation of the different [28, prop. 4.IV, prop. 4.VI]. This observation is equivalent to claim 3.2 in [13] and is one direction of a local criterion for good reduction theorem proved in [13, 3.4], [15, sec. 5].

**3.2. The Artin representation on the generic fibre.** We can assume that after a base change of the family  $\mathcal{X} \rightarrow \text{Spec}(A)$  the points  $P_i$  at the generic fibre have degree 1. Observe also that at the generic fibre the Artin representation can be computed as follows:

$$\text{ar}_Q(\sigma) = \begin{cases} 1 & \text{if } \sigma(Q) = Q, \\ 0 & \text{if } \sigma(Q) \neq Q. \end{cases}$$

The set of points  $S := \{P_1, \dots, P_s\}$  that are the intersections of the ramification divisor and the generic fibre are acted on by the group  $G$ .



We will now restrict our attention to the case of a cyclic group  $H = C_q$  of order  $q$ . Let  $S_k$  be the subset of  $S$  fixed by  $C_{p^{h-k}}$ , i.e.

$$P \in S_k \text{ if and only if } H(P) = C_{p^{h-k}}.$$

Let  $s_k$  be the order of  $S_k$ . Observe that since for a point  $Q$  in the generic fibre  $\sigma(Q)$  and  $Q$  have the same stabilizers (in general they are conjugate, but here  $H$  is abelian) the sets  $S_k$  are acted on by  $H$ . Therefore,  $\#S_k =: s_k = p^k i_k$ , where  $i_k$  is the number of orbits of the action of  $H$  on  $S_k$ .

Let  $b_0, b_1, \dots, b_{h-1}$  be the jumps in the lower ramification filtration. Observe that

$$H_{j_k} = \begin{cases} C_{p^{h-k}} & \text{for } 0 \leq k \leq h-1 \\ \{1\} & \text{for } k \geq h. \end{cases}$$

An element in  $H_{b_k}$  fixes only elements in  $S$  with stabilizers that contain  $H_{b_k}$ . So  $H_{b_0}$  fixes only  $S_0$ ,  $H_{b_1}$  fixes both  $S_0$  and  $S_1$  and  $H_{b_k}$  fixes all elements in  $S_0, S_1, \dots, S_k$ . By definition of the Artin representation an element  $\sigma$  in  $H_{b_k} - G_{b_{k+1}}$  satisfies  $\text{ar}_P(\sigma) = b_k + 1$  and by using equation (6) we arrive at

$$b_k + 1 = i_0 + pi_1 + \dots + p^k i_k.$$

**Remark 11.** This gives us a geometric interpretation of the Hasse-Arf theorem, which states that for the cyclic  $p$ -group of order  $q = p^h$ , the lower ramification filtration is given by

$$H_0 = H_1 = \dots = H_{b_0} \supsetneq H_{b_0+1} = \dots = H_{b_1} \supsetneq H_{b_1+1} = \dots = H_{b_{h-1}} \supsetneq \{1\},$$

i.e. the jumps of the ramification filtration appear at the integers  $b_0, \dots, b_{h-1}$ . Then

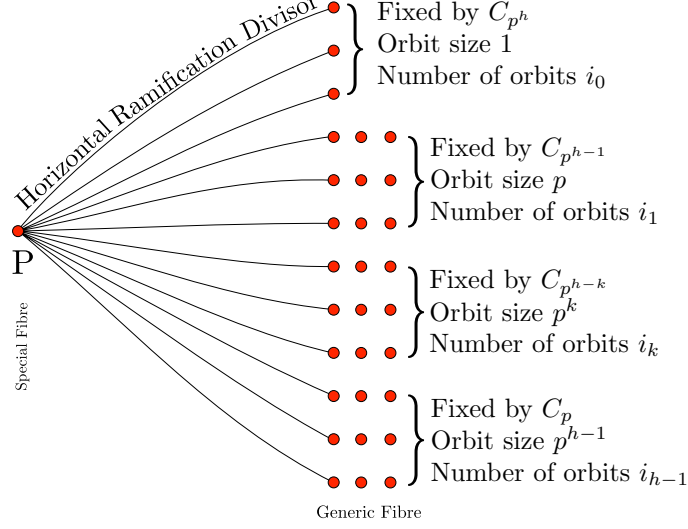
$$(7) \quad b_k + 1 = i_0 + i_1 p + i_2 p^2 + \dots + i_k p^k.$$

The set of horizontal branch divisors is illustrated in figure 1. Notice that the group  $C_m$  acts on the set of ramification points of  $H = C_q$  on the special fibre but it can't fix any of them since there are already fixed by a subgroup of  $C_q$  and if a branch point  $P$  of  $C_q$  was also fixed by an element of  $C_m$ , then the isotropy subgroup of  $P$  could not be cyclic. This proves that  $m$  divides the numbers of all orbits  $i_0, \dots, i_{n-1}$ .

**Remark 12.** In this way we can recover the necessity of the KGB-obstruction since by eq. (7) the upper ramification jumps are  $i_0 - 1, i_0 + i_1 - 1, \dots, i_0 + \dots + i_{n-1} - 1$ .

The Galois cover  $X \rightarrow X/G$  breaks into two covers  $X \rightarrow X^{C_q}$  and  $X^{C_q} \rightarrow C^G$ . The genus of  $C^G$  is zero by assumption and in the cover  $X^{C_q} \rightarrow C^G$  there are exactly two ramified points with ramification indices  $m$ . An application of the Riemann-Hurwitz formula shows that the genus of  $X^{C_q}$  is zero as well.

FIGURE 1. The horizontal Ramification divisor



The genus of the curve  $X$  can be computed either by the Riemann-Hurwitz formula in the special fibre

$$\begin{aligned}
 g &= 1 - p^n + \frac{1}{2} \sum_{i=0}^{\infty} (|G_i| - 1) \\
 &= 1 - p^n + \frac{1}{2} ((b_0 + 1)(p^n - 1) + (b_1 - b_0)(p^{n-1} - 1) \\
 &\quad + (b_2 - b_1)(p^{n-2} - 1) + \cdots + (b_n - b_{n-1})(p - 1))
 \end{aligned}$$

or by the Riemann-Hurwitz formula on the generic fibre:

$$(8) \quad g = 1 - p^n + \frac{1}{2} (i_0(p^n - 1) + i_1 p(p^{n-1} - 1) + \cdots + i_{n-1} p^{n-1}(p - 1)).$$

Using eq. (7) we see that the two formulas for  $g$  give the same result as expected.

#### 4. HKG-COVERS AND THEIR CANONICAL IDEAL

**Lemma 13.** *Consider the Harbater-Katz-Gabber curve corresponding to the local group action  $C_q \rtimes C_m$ , where  $q = p^h$  that is a power of the characteristic  $p$ . If one of the following conditions holds:*

- $h \geq 3$  or  $h = 2, p > 3$
- $h = 1$  and the first jump  $i_0$  in the ramification filtration for the cyclic group satisfies  $i_0 \neq 1$  and  $q \geq \frac{12}{i_0 - 1} + 1$ ,

*then the curve  $X$  has canonical ideal generated by quadratic polynomials.*

**Remark 14.** Notice, that the missing cases in the above lemma which satisfy the KGB obstruction, are all either cyclic,  $D_3$  or  $D_9$ , which are all known local Oort groups.

*Proof.* Using Petri's Theorem [27] it is enough to prove that the curve  $X$  has genus  $g \geq 6$  provided that  $p$  or  $h$  is big enough. We will also prove that the curve  $X$  is not hyperelliptic nor trigonal.

**Remark 15.** Let us first recall that a cyclic group of order  $q = p^h$  for  $h \geq 2$  can not act on the rational curve, see [30, thm 1]. Also let us recall that a cyclic group of order  $p$  can act on a rational curve and in this case the first and only break in the ramification filtration is  $i_0 = 1$ . This latter case is excluded.

Consider first the case  $p^h = p$  and  $i_0 \neq 1$ . In this case we compute the genus  $g$  of the HKG-curve  $X$  using Riemann-Hurwitz formula:

$$2g = 2 - 2mq + q(m-1) + qm - 1 + i_0(q-1),$$

where the contribution  $q(m-1)$  is from the  $q$ -points above the unique tame ramified point, while  $qm-1+i_0(q-1)$  is the contribution of the wild ramified point. This implies that,

$$2g = (i_0 - 1)(q - 1),$$

therefore if  $i_0 \geq 2$ , it suffices to have  $q = p^h \geq 13$  and more generally it is enough to have  $q \geq \frac{12}{i_0-1} + 1$  in order to ensure that  $g \geq 6$ .

For the case  $h \geq 2$ , we can write a stronger inequality based on Riemann-Hurwitz theorem as (recall that  $i_0 \equiv i_1 \pmod{p}$  so  $i_0 - i_1 \geq p$ )

$$(9) \quad 2g \geq (i_0 - 1)(p^h - 1) + (i_0 - i_1)(p^{h-1} - 1) \geq p^h - p,$$

which implies that  $g \geq 6$  for  $p > 3$  or  $h > 3$ .

In order to prove that the curve is not hyperelliptic we observe that hyperelliptic curves have a normal subgroup generated by the hyperelliptic involution  $j$ , so that  $X \rightarrow X/\langle j \rangle = \mathbb{P}^1$ . It is known that the automorphism group of a hyperelliptic curve fits in the short exact sequence

$$(10) \quad 1 \rightarrow \langle j \rangle \rightarrow \text{Aut}(X) \rightarrow H \rightarrow 1,$$

where  $H$  is a subgroup of  $\text{PGL}(2, k)$ , see [7]. If  $m$  is odd then the hyperelliptic involution is not an element in  $C_m$ . If  $m$  is even, let  $\sigma$  be a generator of the cyclic group of order  $m$  and  $\tau$  a generator of the group  $C_q$ . The involution  $\sigma^{m/2}$  again can't be the hyperelliptic involution. Indeed, the hyperelliptic involution is central, while the conjugation action of  $\sigma$  on  $\tau$  is faithful that is  $\sigma^{m/2}\tau\sigma^{-m/2} \neq \tau$ . In this case  $G = C_q \rtimes C_m$  is a subgroup of  $H$  which should act on the rational function field. By the classification of such groups in [30, Th. 1] this is not possible. Thus  $X$  can't be hyperelliptic.

We will prove now that the curve is not trigonal. Using Clifford's theorem we can show [2, B-3 p.137] that a non-hyperelliptic curve of genus  $g \geq 5$  cannot have two distinct  $g_3^1$ . Notice that we have already required the

stronger condition  $g \geq 6$ . So if there is a  $g_3^1$ , then this is unique. Moreover, the  $g_3^1$  gives rise to a map  $\pi : X \rightarrow \mathbb{P}^1$  and every automorphism of the curve  $X$  fixes this map. Therefore, we obtain a morphism  $\phi : C_q \rtimes C_m \rightarrow \mathrm{PGL}_2(k)$  and we arrive at the short exact sequence

$$1 \rightarrow \ker \phi \rightarrow C_q \rtimes C_m \rightarrow H \rightarrow 1,$$

for some finite subgroup  $H$  of  $\mathrm{PGL}(2, k)$ . If  $\ker \phi = \{1\}$ , then we have the tower of curves  $X \xrightarrow{\pi} \mathbb{P}^1 \xrightarrow{\pi'} \mathbb{P}^1$ , where  $\pi'$  is a Galois cover with group  $C_q \rtimes C_m$ . This implies that  $X$  is a rational curve contradicting remark 15. If  $\ker \phi$  is a cyclic group of order 3, then we have that  $3 \mid m$  and the tower  $X \xrightarrow{\pi} \mathbb{P}^1 \xrightarrow{\pi'} \mathbb{P}^1$ , where  $\pi$  is a cyclic Galois cover of order 3 and  $\pi'$  is a Galois cover with group  $C_q \rtimes C_{m/3}$ . As before this contradicts remark 15 and is not possible.  $\square$

## 5. INVARIANT SUBSPACES OF VECTOR SPACES

The  $g \times g$  symmetric matrices  $A_1, \dots, A_r$  defining the quadratic canonical ideal of the curve  $X$ , define a vector subspace of the vector space  $V$  of  $g \times g$  symmetric matrices. By Oort conjecture, we know that there are symmetric matrices  $\tilde{A}_1, \dots, \tilde{A}_r$  with entries in a local principal ideal domain  $R$ , which reduce to the initial matrices  $A_1, \dots, A_r$ . These matrices  $\tilde{A}_1, \dots, \tilde{A}_r$  correspond to the lifted relative curve  $\tilde{X}$ . Moreover, the submodule  $\tilde{V} = \langle \tilde{A}_1, \dots, \tilde{A}_r \rangle$  is left invariant under the action of a lifting  $\tilde{\rho}$  of the representation  $\rho : C_q \rightarrow \mathrm{GL}_g(k)$ .

**Proposition 16.** *Let  $\tilde{g}$  be the genus of the quotient curve  $X/H$  for a subgroup  $H$  of the automorphism group of a curve  $X$  in characteristic zero. We have*

$$\dim H^0(X, \Omega_X^{\otimes d})^H = \begin{cases} \tilde{g} & \text{if } d = 1 \\ (2d - 1)(\tilde{g} - 1) + \sum_{P \in X/G} \left\lfloor d \left(1 - \frac{1}{e(P)}\right) \right\rfloor & \text{if } d > 1 \end{cases}$$

*Proof.* See [12, eq. 2.2.3, 2.2.4 p. 254].  $\square$

Therefore, a generator of  $C_q$  acting on  $H^0(X, \Omega_X)$  has no identity eigenvalues and  $m$  should divide  $g$ . This means that we have to consider liftings of indecomposable summands of the  $C_q$ -module  $H^0(X, \Omega_X)$ , which satisfy condition 5.b. with  $a = 0$ . We now assume that condition 5.b. of theorem 5 can be fulfilled, so there is a lifting of the representation

$$\begin{array}{ccc} & & \mathrm{GL}_g(R) \\ & \nearrow \tilde{\rho} & \downarrow \mathrm{mod}_R \\ C_q \rtimes C_m & \xrightarrow{\rho} & \mathrm{GL}_g(k) \end{array}$$

see also the discussion in the introduction after the statement of this theorem after eq. (2).

We have to show that we can modify the space  $\tilde{V} \subset \text{Sym}_g(R)$  to a space  $\tilde{V}'$  with the same reduction  $V$  modulo  $\mathfrak{m}_R$  so that  $\tilde{V}$  is  $C_q \rtimes C_m$ -invariant.

Consider the sum of the free modules

$$W = \tilde{V} + \tilde{\rho}(\sigma)\tilde{V} + \tilde{\rho}(\sigma^2)\tilde{V} + \cdots + \tilde{\rho}(\sigma^{m-1})\tilde{V} \subset R^N.$$

Observe that  $W$  is an  $R[C_q \rtimes C_m]$ -module and also it is a free submodule of  $R^N$  and by the theory of modules over local principal ideal domain there is a basis  $E_1, \dots, E_N$  of  $R^N$  such that

$$W = E_1 \oplus \cdots \oplus E_r \oplus \pi^{a_{r+1}} E_{r+1} \oplus \cdots \oplus \pi^{a_N} E_N,$$

where  $E_1, \dots, E_r$  form a basis of  $\tilde{V}$ , while  $\pi^{a_{r+1}} E_{r+1}, \dots, \pi^{a_N} E_N$  form a basis of the kernel  $W_1$  of the reduction modulo  $\mathfrak{m}_R$ . Since the reduction is compatible with the actions of  $\rho, \tilde{\rho}$  we have that  $W_1$  is an  $R[C_q \rtimes C_m]$ -module, while  $\tilde{V}$  is just a  $C_q$ -module.

Let  $\pi$  be the  $R[C_q]$ -equivariant projection map

$$W = \tilde{V} \oplus_{R[C_q]\text{-modules}} W_1 \rightarrow W_1.$$

Since  $m$  is an invertible element of  $R$ , we can employ the proof of Mascke's theorem in order to construct a module  $\tilde{V}'$ , which is  $R[C_q \rtimes C_m]$  stable and reduces to  $V$  modulo  $\mathfrak{m}_R$ , see also [1, I.3 p.12]. Indeed, consider the endomorphism  $\bar{\pi} : W \rightarrow W$  defined by

$$\bar{\pi} = \frac{1}{m} \sum_{i=0}^{m-1} \tilde{\rho}(\sigma^i) \pi \tilde{\rho}(\sigma^{-i}).$$

We see that  $\bar{\pi}$  is the identity on  $W_1$  since  $\pi$  is the identity on  $W_1$ . Moreover  $\tilde{V}' := \ker \bar{\pi}$  is both  $C_q$  and  $C_m$  invariant and reduces to  $V$  modulo  $\mathfrak{m}_R$ .

## 6. GALOIS MODULE STRUCTURE OF HOLOMORPHIC DIFFERENTIALS, SPECIAL FIBRE

Consider the group  $C_q \rtimes C_m$ . Let  $\tau$  be a generator of  $C_q$  and  $\sigma$  a generator of  $C_m$ . It is known that  $\text{Aut}(C_q) \cong \mathbb{F}_p^* \times Q$ , for some abelian group  $Q$ . The representation  $\psi : C_m \rightarrow \text{Aut}(C_q)$  given by the action of  $C_m$  on  $C_q$  is known to factor through a character  $\chi : C_m \rightarrow \mathbb{F}_p^*$ . The order of  $\chi$  divides  $p-1$  and  $\chi^{p-1} = \chi^{-(p-1)}$  is the trivial one dimensional character. In our setting, using the definition of  $G$  given in eq. (4) and lemma 7 we have that the character  $\chi$  is defined by

$$(11) \quad \chi(\sigma) = \alpha = \zeta_m^{a_0} \in \mathbb{F}_p.$$

For all  $i \in \mathbb{Z}$ ,  $\chi^i$  defines a simple  $k[C_m]$ -module of  $k$  dimension one, which we will denote by  $S_{\chi^i}$ . For  $0 \leq \ell \leq m-1$  denote by  $S_\ell$  the simple module on which  $\sigma$  acts as  $\zeta_m^\ell$ . Both  $S_{\chi^i}$ ,  $S_\ell$  can be seen as  $k[C_q \rtimes C_m]$ -modules using inflation. Finally for  $0 \leq \ell \leq m-1$  we define  $\chi^i(\ell) \in \{0, 1, \dots, m-1\}$  such that  $S_{\chi^i(\ell)} \cong S_\ell \otimes_k S_{\chi^i}$ . Using eq. (11) we arrive at

$$(12) \quad S_{\chi^i(\ell)} = S_{\ell+ia_0}.$$

There are  $q \cdot m$  isomorphism classes of indecomposable  $k[C_q \rtimes C_m]$ -modules and are all uniserial, i.e. the set of submodules are totally ordered by inclusion. An indecomposable  $k[C_q \rtimes C_m]$ -module  $U$  is uniquely determined by its socle, which is the kernel of the action of  $\tau - 1$  on  $U$ , and its  $k$ -dimension. For  $0 \leq \ell \leq m-1$  and  $1 \leq \mu \leq q$ , let  $U_{\ell, \mu}$  be the indecomposable  $k[C_q \rtimes C_m]$  module with socle  $S_\ell$  and  $k$ -dimension  $\mu$ . Then  $U_{\ell, \mu}$  is uniserial and its  $\mu$  ascending composition factors are the first  $\mu$  composition factors of the sequence

$$S_\ell, S_{\chi^{-1}(\ell)}, S_{\chi^{-2}(\ell)}, \dots, S_{\chi^{-(p-2)}(\ell)}, S_\ell, S_{\chi^{-1}(\ell)}, S_{\chi^{-2}(\ell)}, \dots, S_{\chi^{-(p-2)}(\ell)}$$

**Lemma 17.** *There is the following relation between the two different notations for indecomposable modules:*

$$V_\alpha(\lambda, \kappa) = U_{(\lambda + a_0(\kappa-1)) \bmod m, \kappa}$$

*In particular, for the case of dihedral groups  $D_q$  we have the relation*

$$V_\alpha(\lambda, \kappa) = U_{\lambda + \kappa - 1 \bmod 2, \kappa}.$$

*Proof.* Indeed, in the  $V_\alpha(\lambda, \kappa)$  notation we describe the action of  $\sigma$  on the generator  $e$ , by assuming that  $\sigma e = \zeta_m^\lambda e$ . We can then describe the action on every basis element  $e_i = (\tau - 1)^{i-1} e$ , using the group relations

$$\sigma e_i = \sigma(\tau - 1)^{i-1} e = (\tau^\alpha - 1)^{i-1} \sigma e = \zeta_m^\lambda (\tau^\alpha - 1)^{i-1} e$$

This allows us to prove, see [18, lemma 10] that

$$\sigma e_i = \alpha^{i-1} \zeta_m^\lambda + \sum_{\nu=i+1}^{\kappa} a_\nu e_\nu$$

for some elements  $a_\nu \in k$  and in particular

$$\sigma e_\kappa = \alpha^{\kappa-1} \zeta_m^\lambda.$$

Recall that the number  $\alpha = \zeta_m^{a_0}$  for some natural number  $a_0$ ,  $0 \leq a_0 < m-1$ , see also [18, lemma 2]. In the  $U_{\mu, \kappa}$  notation,  $\mu$  is the action on the one-dimensional socle which is the  $\tau$ -invariant element  $e_\kappa = (\tau - 1)^{\kappa-1} e$ , i.e.  $\sigma(e_\kappa) = \zeta_m^\mu$ . Putting all this together we have

$$\mu = \lambda + (\kappa - 1)a_0 \bmod m.$$

In the case of dihedral group  $D_q$ ,  $m = 2$  and  $\alpha = -1^{a_0}$ , i.e.  $a_0 = 1$ , we have  $V_\alpha(\lambda, \kappa) = U_{\lambda + \kappa - 1 \bmod 2, \kappa}$ .  $\square$

Assume that  $X \rightarrow \mathbb{P}^1$  is an HKG-cover with Galois group  $C_q \rtimes C_m$ . The subgroup  $I$  generated by the Sylow  $p$ -subgroups of the inertia groups of all closed points of  $X$  is equal to  $C_q$ .

**Definition 18.** In [4] for each  $0 \leq j \leq q-1$  the divisor

$$D_j = \sum_{y \in \mathbb{P}^1} d_{y,j} y,$$

is defined, where the integers  $d_{y,j}$  are given as follows. Let  $x$  be a point of  $X$  above  $y$  and consider the  $i$ -th ramification group  $I_{x,i}$  at  $x$ . The order of the inertia group at  $x$  is assumed to be  $p^{n(x)}$  and  $i(x) = h - n(x)$  is defined. In this article we will have HKG-covers, where  $n(x) = h$ , so  $i(x) = 0$ . We will use this in order to simplify the notation in what follows.

Let  $b_0, b_1, \dots, b_{h-1}$  be the jumps in the numbering of the lower ramification filtration subgroups of  $I_x$ . We define

$$d_{y,j} = \left\lfloor \frac{1}{p^h} \sum_{l=1}^h p^{h-l} (p-1 + (p-1 - a_{l,t}) b_{l-1}) \right\rfloor$$

for all  $j \geq 0$  with  $p$ -adic expansion

$$j = a_{1,j} + a_{2,j}p + \dots + a_{h,j}p^{h-1}$$

In particular  $D_{q-1} = 0$ . Observe that  $d_{y,j} \neq 0$  only for wildly ramified branch points.

**Remark 19.** For a divisor  $D$  on a curve  $Y$  define  $\Omega_Y(D) = \Omega_Y \otimes \mathcal{O}_Y(D)$ . In particular for  $Y = \mathbb{P}^1$ , and for  $D = D_j = d_{P_\infty, j} P_\infty$ , where  $D_j$  is a divisor supported at the infinity point  $P_\infty$  we have

$$H^0(\mathbb{P}^1, \Omega_{\mathbb{P}^1}(D_j)) = \{f(x)dx : 0 \leq \deg f(x) \leq d_{P_\infty, j} - 2\}.$$

For the sake of simplicity, we will denote  $d_{P_\infty, j}$  by  $d_j$ . The space  $H^0(\mathbb{P}^1, \Omega_{\mathbb{P}^1}(D_j))$  has a basis given by  $B = \{dx, xdx, \dots, x^{d_j-2}dx\}$ . Therefore, the number  $n_{j,\ell}$  of simple modules appearing in the decomposition  $\Omega_{\mathbb{P}^1}(D_j)$  isomorphic to  $S_\ell$  for  $0 \leq \ell < m$ , is equal to the number of monomials  $x^\nu$  with

$$\nu \equiv \ell - 1 \pmod{m}, 0 \leq \nu \leq d_j - 2.$$

If  $d_j \leq 1$  then  $B = \emptyset$  and  $n_{j,\ell} = 0$  for all  $0 \leq \ell < m$ . If  $d_j > 1$ , then we know that in the  $d_j - 1$  elements of the basis  $B$ , the first  $m \left\lfloor \frac{d_j-1}{m} \right\rfloor$  elements contribute to every representative modulo  $m$ . Thus, we have at least  $\left\lfloor \frac{d_j-1}{m} \right\rfloor$  elements in isomorphic to  $S_\ell$  for every  $0 \leq \ell < m$ . We will now count the rest elements, of the form  $\{x^\nu dx\}$ , where

$$m \left\lfloor \frac{d_j-1}{m} \right\rfloor \leq \nu \leq d_j - 2 \text{ and } \nu \equiv \overline{\ell-1} \pmod{m},$$

where  $\overline{\ell-1}$  is the unique integer in  $\{0, 1, \dots, m-1\}$  equivalent to  $\ell-1$  modulo  $m$ . We observe that the number  $y_j(\ell)$  of such elements  $\nu$  is given by

$$y_j(\ell) = \begin{cases} 1 & \text{if } \overline{\ell-1} \leq d_j - 2 - m \left\lfloor \frac{d_j-1}{m} \right\rfloor \\ 0 & \text{otherwise} \end{cases}$$

Therefore

$$n_{j,\ell} = \begin{cases} \left\lfloor \frac{d_j-1}{m} \right\rfloor + y_j(\ell) & \text{if } d_j \geq 2 \\ 0 & \text{if } d_j \leq 1 \end{cases}$$

For example if  $d_j = 9$  and  $m = 3$ , then a basis for  $H^0(\mathbb{P}^1, \Omega_{\mathbb{P}^1}(9P_\infty))$  is given by  $\{dx, xdx, x^2dx, \dots, x^7dx\}$ . This basis has 8 elements, and each triple  $\{dx, xdx, x^2dx\}$ ,  $\{x^3dx, x^4dx, x^5dx\}$  contributes one to each class  $S_0, S_1, S_2$ , while there are two remaining basis elements  $\{x^6dx, x^7dx\}$ , which contribute one to  $S_1, S_2$ . Notice that  $\lfloor \frac{8}{3} \rfloor = 2$  and  $y(\ell) = 1$  for  $\ell = 1, 2$ .

In particular if  $m = 2$ , then  $n_{j,\ell} = 0$  if  $d_j \leq 1$  and for  $d_j \geq 2$  we have

$$(13) \quad n_{j,\ell} = \begin{cases} \frac{d_j-1}{2} & \text{if } d_j \equiv 1 \pmod{2} \\ \frac{d_j}{2} - 1 & \text{if } \ell = 0 \text{ and } d_j \equiv 0 \pmod{2} \\ \frac{d_j}{2} & \text{if } \ell = 1 \text{ and } d_j \equiv 0 \pmod{2} \end{cases}$$

**Lemma 20.** *Let  $m = 2$  and assume that  $d_{j-1} = d_j + 1$ . Then if  $d_j \geq 2$*

$$n_{j-1,\ell} - n_{j,\ell} = \begin{cases} 1 & \text{if } d_{j-1} \equiv 1 \pmod{2} \text{ and } \ell = 0 \\ & \text{or } d_{j-1} \equiv 0 \pmod{2} \text{ and } \ell = 1 \\ 0 & \text{if } d_{j-1} \equiv 1 \pmod{2} \text{ and } \ell = 1 \\ & \text{or } d_{j-1} \equiv 0 \pmod{2} \text{ and } \ell = 0 \end{cases}$$

If  $d_j \leq 1$ , then

$$n_{j-1,\ell} - n_{j,\ell} = \begin{cases} 0 & \text{if } d_j = 0 \text{ or } (d_j = 1 \text{ and } \ell = 0) \\ 1 & \text{if } d_j = 1 \text{ and } \ell = 1 \end{cases}$$

*Proof.* Assume that  $d_j \geq 2$ . We distinguish the following two cases, and we will use eq. (13)

- $d_{j-1}$  is odd and  $d_j$  is even. Then, if  $\ell = 0$

$$n_{j-1,\ell} - n_{j,\ell} = \frac{d_{j-1}-1}{2} - \frac{d_j}{2} + 1 = 1$$

while  $n_{j-1,\ell} - n_{j,\ell} = 0$  if  $\ell = 1$ .

- $d_{j-1}$  is even and  $d_j$  is odd. Then, if  $\ell = 0$

$$n_{j-1,\ell} - n_{j,\ell} = \frac{d_{j-1}}{2} - 1 - \frac{d_j-1}{2} = 0,$$

while  $n_{j-1,\ell} - n_{j,\ell} = 1$  if  $\ell = 1$ .

If now  $d_j = 0$  and  $d_{j-1} = 1$ , then  $n_{j-1,\ell} - n_{j,\ell} = 0$ . If  $d_j = 1$  and  $d_{j-1} = 2$  then  $n_{j,\ell} = 0$  while  $n_{j-1,\ell} = 0$  if  $\ell = 0$  and  $n_{j-1,\ell} = 1$  if  $\ell = 1$ . □

**Theorem 21.** *Let  $M = H^0(X, \Omega_X)$ , let  $\tau$  be the generator of  $C_q$ , and for all  $0 \leq j < q$  we define  $M^{(j)}$  to be the kernel of the action of  $k[C_q](\tau - 1)^j$ . For  $0 \leq a \leq m-1$  and  $1 \leq b \leq q = p^h$ , let  $n(a, b)$  be the number of indecomposable direct  $k[C_q \rtimes C_m]$ -module summands of  $M$  that are isomorphic to  $U_{a,b}$ . Let  $n_1(a, b)$  be the number of indecomposable direct  $k[C_m]$ -summands of  $M^{(b)}/M^{(b-1)}$  with socle  $S_{\chi^{-(b-1)}(a)}$  and dimension 1. Let  $n_2(a, b)$  be the*



number of indecomposable direct  $k[C_m]$ -module summands of  $M^{(b+1)}/M^{(b)}$  with socle  $S_{\chi^{-b}(a)}$ , where we set  $n_2(a, b) = 0$  if  $b = q$ .

$$n(a, b) = n_1(a, b) - n_2(a, b).$$

The numbers  $n_1(a, b), n_2(a, b)$  can be computed using the isomorphism

$$M^{(j+1)}/M^{(j)} \cong S_{\chi^{-j}} \otimes_k H^0(Y, \Omega_Y(D_j)),$$

where  $Y = X/C_q$  and  $D_j$  are the divisors on  $Y$ , given in definition 18.

*Proof.* This theorem is proved in [4], see remark 4.4.  $\square$

**Corollary 22.** Set  $d_j = \left\lfloor \frac{1}{p^h} \sum_{l=1}^h p^{h-l}(p-1 + (p-1 - a_{l,t})b_{l-1}) \right\rfloor$ . The numbers  $n(a, b), n_1(a, b)$  and  $n_2(a, b)$  are given by

$$n(a, b) = n_1(a, b) - n_2(a, b) = n_{b-1, a} - n_{b, a}.$$

*Proof.* We will treat the  $n_1(a, b)$  case and the  $n_2(a, b)$  follows similarly. By the equivariant isomorphism for  $M = H^0(X, \Omega_X)$  we have that

$$M^{(b)}/M^{(b-1)} \cong S_{\chi^{-(b-1)}} \otimes_k H^0(\mathbb{P}^1, \Omega_{\mathbb{P}^1}(D_b)).$$

The number of indecomposable  $k[C_m]$ -summands of  $M^{(b)}/M^{(b-1)}$  isomorphic to  $S_{\chi^{-(b-1)}(a)} = S_{a-(b-1)a_0}$  equals to the number of indecomposable  $k[C_m]$ -summands of  $H^0(\mathbb{P}^1, \Omega_{\mathbb{P}^1}(D_j))$  isomorphic to  $S_a$  which is computed in remark 19.  $\square$

In [23, Th. 1.1] A. Obus and R. Pries described the upper jumps in the ramification filtration of  $C_{p^h} \rtimes C_m$ -covers.

**Theorem 23.** Let  $G = C_{p^h} \rtimes C_m$ , where  $p \nmid m$ . Let  $m' = |\text{Cent}_G(\sigma)|/p^h$ , where  $\langle \tau \rangle = C_{p^h}$ . A sequence  $u_1 \leq \dots \leq u_n$  of rational numbers occurs as the set of positive breaks in the upper numbering of the ramification filtration of a  $G$ -Galois extension of  $k((t))$  if and only if:

- (1)  $u_i \in \frac{1}{m}\mathbb{N}$  for  $1 \leq i \leq h$
- (2)  $\gcd(m, mu_1) = m'$
- (3)  $p \nmid mu_1$  and for  $1 < i \leq h$ , either  $u_i = pu_{i-1}$  or both  $u_i > pu_{i-1}$  and  $p \nmid mu_i$ .
- (4)  $mu_i \equiv mu_1 \pmod{m}$  for  $1 \leq i \leq n$ .

Notice that in our setting  $\text{Cent}_G(\tau) = \langle \tau \rangle$ , therefore  $m' = 1$ . Also the set of upper jumps of  $C_{p^h}$  is given by  $w_1 = mu_1, \dots, w_h = mu_h, w_i \in \mathbb{N}$ , see [23, lemma 3.5].

The theorem of Hasse-Arf [28, p. 77] applied for cyclic groups, implies that there are strictly positive integers  $\iota_0, \iota_1, \dots, \iota_{h-1}$  such that

$$b_s = \sum_{\nu=0}^{s-1} \iota_\nu p^\nu, \text{ for } 0 \leq s \leq h-1.$$

Also, the upper jumps for the  $C_q$  extension are given by

$$(14) \quad w_0 = i_0 - 1, w_1 = i_0 + i_1 - 1, \dots, w_h = i_0 + i_1 + \dots + u_h - 1.$$

Assume that for all  $0 < \nu \leq h-1$  we have  $w_\nu = pw_{\nu-1}$ . Equation (14) implies that

$$i_1 = (p-1)w_0, i_2 = (p-1)pw_0, i_3 = (p-1)p^2w_0, \dots, u_{h-1} = (p-1)p^{h-2}w_0.$$

Therefore,

$$\begin{aligned} b_\ell + 1 &= \sum_{\nu=0}^{\ell} i_\nu p^\nu \\ &= 1 + w_0 + (p-1)w_0 \cdot p + (p-1)pw_0 \cdot p^2 \cdots + (p-1)p^{\ell-1}w_0 \cdot p^\ell \\ &= 1 + w_0 + p(p-1)w_0 \left( \sum_{\nu=0}^{\ell-1} p^{2\nu} \right) = 1 + w_0 + p(p-1)w_0 \frac{p^{2\ell} - 1}{p^2 - 1} \\ &= 1 + w_0 + pw_0 \frac{p^{2\ell} - 1}{p + 1} = 1 + w_0 \frac{p^{2\ell+1} + 1}{p + 1}, \end{aligned}$$

where we have used that  $w_0 = b_0 = i_0 - 1$ .

**6.1. Examples of local actions that don't lift.** Consider the curve with lower jumps 1, 21, 521 and higher jumps 1, 5, 25, acted on by  $C_{125} \rtimes C_4$ . According to eq. (5), the only possible values for  $\alpha$  are 1, 57, 68, 124. The value  $\alpha = 1$  gives rise to a cyclic group  $G$ , while the value  $\alpha = 124$  has order 2 modulo 125. The values 57, 68 have order 4 modulo 125. The cyclic group  $\mathbb{F}_5^*$  is generated by the primitive root 2 of order 4. We have that  $57 \equiv 2 \pmod{5}$ , while  $68 \equiv 3 \equiv 2^3 \pmod{5}$ .

Using corollary 22 together with remark 19 we have that  $H^0(X, \Omega_X)$  is decomposed into the following indecomposable modules, each one appearing with multiplicity one:

$$U_{0,5}, U_{3,11}, U_{2,17}, U_{1,23}, U_{0,29}, U_{3,35}, U_{2,41}, U_{1,47}, U_{0,53}, U_{3,59}, \\ U_{2,65}, U_{1,71}, U_{0,77}, U_{3,83}, U_{2,89}, U_{1,95}, U_{0,101}, U_{3,107}, U_{2,113}, U_{1,119}$$

We have that  $119 \equiv 3 \pmod{4}$  so the module  $U_{1,119}$  can not be lifted by itself. Also it can't be paired with  $U_{0,5}$  since  $119 + 5 \equiv 4 \not\equiv 1 \pmod{4}$ . All other modules have dimension  $d$  such that  $d + 119 > 125$ . Therefore, the representation of  $H^0(G, \Omega_X)$  cannot be lifted. Notice that this example has non-vanishing KGB obstruction, so our criterion does not give something new here.

The case of dihedral groups, in which the KGB-obstruction is always vanishing, is more difficult to find an example that does not lift.

The HKB-curve with lower jumps  $9, 9 \cdot 21 = 189, 9 \cdot 521 = 4689$  has genus 11656 and the following modules appear in its decomposition, each

one appearing with multiplicity one:

$$\begin{aligned}
& U_{0,1}, U_{1,1}, U_{0,2}, U_{1,2}, U_{1,3}, U_{0,4}, U_{1,4}, U_{0,5}, U_{1,6}, U_{0,7}, U_{1,7}, U_{0,8}, U_{1,8}, U_{0,9}, \\
& U_{1,9}, U_{0,11}, U_{1,11}, U_{0,12}, U_{1,12}, U_{0,13}, U_{1,13}, U_{0,14}, U_{1,15}, U_{0,16}, U_{0,17}, U_{1,17}, \\
& U_{0,18}, U_{1,18}, U_{0,19}, U_{1,19}, U_{0,21}, U_{1,21}, U_{0,22}, U_{1,22}, U_{0,23}, U_{1,23}, U_{1,24}, U_{0,25}, \\
& U_{1,26}, U_{0,27}, U_{1,27}, U_{0,28}, U_{1,28}, U_{0,29}, U_{1,29}, U_{0,31}, U_{1,31}, U_{0,32}, U_{1,32}, U_{0,33}, \\
& U_{0,34}, U_{1,34}, U_{1,35}, U_{0,36}, U_{0,37}, U_{1,37}, U_{0,38}, U_{1,38}, U_{0,39}, U_{1,39}, U_{0,41}, U_{1,41}, \\
& U_{0,42}, U_{1,42}, U_{0,43}, U_{1,43}, U_{1,44}, U_{0,45}, U_{0,46}, U_{1,46}, U_{1,47}, U_{0,48}, U_{1,48}, U_{0,49}, \\
& U_{1,49}, U_{0,51}, U_{1,51}, U_{0,52}, U_{1,52}, U_{0,53}, U_{0,54}, U_{1,54}, U_{1,55}, U_{0,56}, U_{0,57}, U_{1,57}, \\
& U_{0,58}, U_{1,58}, U_{0,59}, U_{1,59}, U_{0,61}, U_{1,61}, U_{0,62}, U_{1,62}, U_{0,63}, U_{1,63}, U_{1,64}, U_{0,65}, \\
& U_{0,66}, U_{1,66}, U_{1,67}, U_{0,68}, U_{1,68}, U_{0,69}, U_{1,69}, U_{0,71}, U_{1,71}, U_{0,72}, U_{1,72}, U_{0,73}, \\
& U_{1,73}, U_{0,74}, U_{1,75}, U_{0,76}, U_{0,77}, U_{1,77}, U_{0,78}, U_{1,78}, U_{0,79}, U_{1,79}, U_{0,81}, U_{1,81}, \\
& U_{0,82}, U_{1,82}, U_{0,83}, U_{1,83}, U_{1,84}, U_{0,85}, U_{1,86}, U_{0,87}, U_{1,87}, U_{0,88}, U_{1,88}, U_{0,89}, \\
& U_{1,89}, U_{0,91}, U_{1,91}, U_{0,92}, U_{1,92}, U_{0,93}, U_{1,93}, U_{0,94}, U_{1,95}, U_{0,96}, U_{1,96}, U_{0,97}, \\
& U_{0,98}, U_{1,98}, U_{0,99}, U_{1,99}, U_{0,101}, U_{1,101}, U_{0,102}, U_{1,102}, U_{1,103}, U_{0,104}, U_{1,104}, \\
& U_{0,105}, U_{1,106}, U_{0,107}, U_{1,107}, U_{0,108}, U_{1,108}, U_{0,109}, U_{1,109}, U_{0,111}, U_{1,111}, \\
& U_{0,112}, U_{1,112}, U_{0,113}, U_{1,113}, U_{0,114}, U_{1,115}, U_{0,116}, U_{1,116}, U_{0,117}, U_{0,118}, \\
& U_{1,118}, U_{0,119}, U_{1,119}, U_{0,121}, U_{1,121}, U_{0,122}, U_{1,122}, U_{0,123}, U_{1,123}, U_{1,124},
\end{aligned}$$

The above formulas were computed using Sage 9.8 [29]. In order to be completely sure that the computations are correct we will compute the values we need by hand also. We have

$$\begin{aligned}
d_j &= \left\lfloor \frac{1}{125} (5^2(4 + (4 - a_1)9) + 5(4 + (4 - a_2)189) + (4 + (4 - a_3)4689)) \right\rfloor \\
&= \left\lfloor \frac{1}{125} (23560 - 225a_1 - 945a_2 - 4689a_3) \right\rfloor
\end{aligned}$$

$j$	$p$ -adic	$d_j$	$n_{j,0}$	$n_{j,1}$	$n_{j-1,0} - n_{j,0}$	$n_{j-1,1} - n_{j,1}$
0	0, 0, 0	$\left\lfloor \frac{23560}{125} \right\rfloor = 188$	93	94	—	—
1	1, 0, 0	$\left\lfloor \frac{23335}{125} \right\rfloor = 186$	92	93	1	1
2	1, 0, 0	$\left\lfloor \frac{23110}{125} \right\rfloor = 184$	91	92	1	1
3	1, 0, 0	$\left\lfloor \frac{22885}{125} \right\rfloor = 183$	91	91	0	1
4	1, 0, 0	$\left\lfloor \frac{22660}{125} \right\rfloor = 181$	90	90	1	1
5	0, 1, 0	$\left\lfloor \frac{22615}{125} \right\rfloor = 180$	89	90	1	0
6	1, 1, 0	$\left\lfloor \frac{22390}{125} \right\rfloor = 179$	89	89	0	1
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$
120	0, 4, 4	$\left\lfloor \frac{1024}{125} \right\rfloor = 8$	3	4		
121	1, 4, 4	$\left\lfloor \frac{799}{125} \right\rfloor = 6$	2	3	1	1
122	2, 4, 4	$\left\lfloor \frac{574}{125} \right\rfloor = 4$	1	2	1	1
123	3, 4, 4	$\left\lfloor \frac{349}{125} \right\rfloor = 2$	0	1	1	1
124	4, 4, 4	$\left\lfloor \frac{124}{125} \right\rfloor = 0$	0	0	0	1

Notice that  $U_{1,123}, U_{0,123}$  can be paired with  $U_{1,0}, U_{1,1}$ , and then for  $U_{0,121}, U_{1,121}$  there is only one  $U_{1,3}$  to be paired with. The lift is not possible.

**6.2. Examples of actions that lift.** Our aim now is to prove the following

**Proposition 24.** *Assume that the first lower jump equals  $b_0 = w_0 = 1$  and each other lower jump is given by*

$$(15) \quad b_\ell = \frac{p^{2\ell+1} + 1}{p + 1}.$$

*Then, the local action of the dihedral group  $D_{p^h}$  lifts.*

**Remark 25.** Notice that in this case if  $d_{j-1} > d_j$  then  $d_{j-1} = d_j + 1$ .

**Remark 26.** This set of upper jumps was constructed by assuming that  $w_0 = 1$  and  $w_\nu = pw_{\nu-1}$  for all  $0 < w_\nu \leq h-1$ . Hence the above proposition is a special case of [22, cor. 1.20], for  $m = 2$ .

**Definition 27.** For an integer  $j$  with  $p$ -adic expansion  $j = a_1 + a_2p + \dots + a_hp^{h-1}$  we define

$$B(j) = \sum_{\ell=1}^h a_\ell b_{\ell-1} p^{h-\ell}.$$

**Lemma 28.** *Write*

$$\begin{aligned} j-1 &= (p-1) + (p-1)p + \dots + (p-1)p^{s-2} + a_sp^{s-1} + \dots \\ j &= (a_s + 1)p^{s-1} + \dots \end{aligned}$$

*where  $1 \leq s \leq h$  is the smallest integer such that the corresponding coefficient  $a_s$  in the  $p$ -adic expansion of  $j-1$  satisfies  $0 \leq a_s < p-1$ . Then*

$$(16) \quad B(j) - B(j-1) = p^{h-s}.$$

*Proof.* By definition of the function  $B(j)$  and using the values of  $b_\ell$  from eq. (15), we have

$$\begin{aligned} B(j) - B(j-1) &= b_{s-1}p^{h-s} - (p-1)(b_0p^{h-1} + \dots + b_{s-2}p^{h-s+1}) \\ &= \frac{p^{2s-1} + 1}{p+1}p^{h-s} - (p-1) \sum_{\nu=1}^{s-1} p^{h-\nu} \frac{p^{2\nu-1} + 1}{p+1} \\ &= p^{h-s}. \end{aligned}$$

□

**Definition 29.** We will call the element  $j$  of type  $s$  if all  $p$ -adic coefficients  $a = \nu$  in the  $p$ -adic expansion of  $j$  for  $1 \leq \nu \leq s-1$  are  $p-1$ , while  $a_s$  is not  $p-1$ . For example  $j-1$  in lemma 28 is of type  $s$ , while  $j$  is of type 1.

**Proposition 30.** *Write  $\pi_j = \left\lfloor \frac{B(j)}{p^h} \right\rfloor$ . Then,*

$$\pi_j = \begin{cases} \pi_{j-1} + 1 & \text{if } j = k(p+1) \\ \pi_{j-1} & \text{otherwise} \end{cases}$$

*Also  $p^h \nmid B(j)$  for all  $1 \leq j \leq p^h - 1$ .*

*Proof.* Equation (16) implies that  $B(j) > B(j-1)$  hence  $\pi_j \geq \pi_{j-1}$ . Write  $B(j) = \pi_j p^h + v_j$ ,  $0 \leq v_j < p^h$  for each  $0 \leq j \leq p^{h-1}$ . We observe first that

$$B(j) - B(j-1) = (\pi_j - \pi_{j-1})p^h + v_j - v_{j-1}$$

therefore

$$\pi_j - \pi_{j-1} = \frac{1}{p^s} - \frac{v_{j-1} - v_j}{p^h}.$$

Notice that  $|v_j - v_{j-1}| < p^h$ , thus  $|\pi_j - \pi_{j-1}| < 2$ . Since  $\pi_j \geq \pi_{j-1}$  we have either  $\pi_j = \pi_{j-1}$  or  $\pi_j = \pi_{j-1} + 1$ .

In the following table we present the change on  $B(j)$  after increasing  $j-1$  to  $j$ , where  $j-1$  has type  $s$ , using lemma 28.

$j$	$B(j)$	$\frac{B(j)}{p^h}$
0	0	0
1	$p^{h-1}$	0
$a_1 = 2, \dots, p-1$	$a_1 p^{h-1}$	0
$p$	$(p-1)p^{h-1} + p^{h-2}$	0
$p+1$	$p^h + p^{h-2}$	1
$p+2$	$p^h + p^{h-2} + p^{h-1}$	1
$p+a_1, a_1 = 3, \dots, p-1$	$p^h + p^{h-2} + (a_1-1)p^{h-1}$	1
$2p$	$p^h + 2p^{h-2} + (p-2)p^{h-1}$	1
$2p+1$	$p^h + 2p^{h-2} + (p-1)p^{h-1}$	1
$2p+2$	$2p^h + 2p^{h-2}$	2
$2p+3$	$2p^h + 2p^{h-2} + p^{h-1}$	2
$2p+a_1$	$2p^h + 2p^{h-2} + (a_1-2)p^{h-1}$	2
$3p$	$2p^h + 3p^{h-2} + (p-3)p^{h-1}$	2
$\dots$	$\dots$	$\dots$
$(p-1)p$	$(p-2)p^h + (p-1)p^{h-2} + p^{h-1}$	$p-2$
$\dots$	$\dots$	$\dots$
$(p-1) + (p-1)p$	$(p-1)p^h + (p-1)p^{h-2}$	$p-1$
$p^2$	$(p-1)p^h + (p-1)p^{h-2} + p^{h-3}$	$p-1$
$\dots$	$\dots$	$\dots$
$(p-1) + p^2$	$(p-1)p^h + (p-1)p^{h-2} + p^{h-3} + (p-1)p^{h-1}$	$p-1$
$p+p^2$	$p^{h+1} + p^{h-3}$	$p$
$1+p+p^2$	$p^{h+1} + p^{h-1} + p^{h-3}$	$p$

Indeed, if the type of  $j-1$  is  $s=1$  then  $B(j) = B(j-1) + p^{h-1}$ , therefore  $\pi_j = \pi_{j-1}$ . It is clear from the above table that  $\pi_j = \pi_{j-1} + 1$  at  $j = kp + k$ , for  $1 \leq k \leq p$ . These integers are put in a box in the table above.

We will prove the result in full generality by induction. Observe that if  $j-1$  is of type  $s$ , and  $\pi_j = \pi_{j-1} + 1$ , then  $B(j) = B(j-1) + p^{h-s}$  and moreover

$$\begin{aligned} B(j-1) &= (p-1)p^{h-1} + (p-1)p^{h-2} + \dots + (p-1)p^{h-s} + \pi_{j-1}p^h + u \\ B(j) &= p^h + \pi_{j-1}p^h + u \end{aligned}$$

for some

$$u = u_j - (p-1)p^{h-1} + (p-1)p^{h-2} + \cdots + (p-1)p^{h-s} = \sum_{\nu=0}^{h-s-1} \gamma_\nu p^\nu,$$

for some integers  $0 \leq \gamma_\nu < p$ ,  $0 \leq \nu \leq h-s-1$ . Set  $T = \pi_{j-1}p^h + u$ . Assume by induction that this jump occurs at  $j = k(p+1)$ . We will prove that the next jump will occur at  $j = k(p+1) + (p+1) = (k+1)(p+1)$ . Indeed,  $j$  has the zero  $p$ -adic coefficient  $a_0$  equal to 0, so it is of type 1 and we have

$$\begin{aligned} (17) \quad & B(j+1) = B(j) + p^{h-1} + T \\ & B(j+2) = B(j) + 2p^{h-1} + T \\ & \dots \\ & B(j+(p-1)) = B(j) + (p-1)p^{h-1} + T \quad \longleftarrow \text{type 2} \\ & B(j+p) = B(j) + (p-1)p^{h-1} + p^{h-2} + T \\ & B(j+p+1) = B(j) + p^h + T + p^{h-2}. \end{aligned}$$

Therefore,  $\pi_j = \pi_{j+1} = \cdots = \pi_{j+p} < \pi_{j+(p+1)} = \pi_j + 1$ , i.e. the desired result.

In order to prove that  $p^h \nmid B(j)$  we observe first that all values of  $B(j)$  given in the table are not divisible by  $p^h$ . The result can be proved by induction. Indeed, we can assume that  $B(j)$  is not divisible by  $p^h$  and then we add  $p^{h-1}$ . Therefore all values in equation (17) when divided by  $p^h$  have non-zero residue either  $\nu p^{h-1} + u$  for  $\nu = 1, \dots, (p-1)$  or  $p^{h-2} + u$ .  $\square$

**Theorem 31.** *Assume that  $w_0 = 1$ , and the jumps of the  $C_q$  action are as in proposition 24. Then each direct summand  $U(\epsilon, j)$  of  $H^0(X, \Omega_X)$  has a compatible pair according to criterion 6, which is given by*

$$\begin{aligned} & U(\epsilon', p^h - 1 - j) \text{ if } h \text{ is odd} \\ & U(\epsilon', p^h - p - j) \text{ if } h \text{ is even} \end{aligned}$$

*Proof.* For every  $1 \leq j \leq p^h - 1$ , set  $\tilde{j} = p^h - 1 - j$ . For every  $1 \leq j \leq p^h - 1$  write  $B(j) = \pi_j p^h + v_j$ ,  $0 \leq v_j < p^h$ . Recall that

$$d_j = \left\lfloor \frac{p^h - 1 + B(p^h - 1) - B(j)}{p^h} \right\rfloor = \left\lfloor \frac{p^h - 1 + B(\tilde{j})}{p^h} \right\rfloor = 1 + \pi_{\tilde{j}} + \left\lfloor \frac{-1 + v_j}{p^h} \right\rfloor.$$

Since  $v_j \neq 0$ , we have that  $\left\lfloor \frac{-1 + v_j}{p^h} \right\rfloor = 0$ . Therefore,  $d_{j-1} > d_j$  if and only if  $\pi_{\tilde{j}+1} > \pi_{\tilde{j}}$  that is

$$(18) \quad \tilde{j} + 1 = k(p+1) \Rightarrow \tilde{j} = k(p+1) - 1.$$

Observe now that if  $d_{j-1} = d_j + 1$ , that is  $\tilde{j} = k(p+1) - 1$ , then

$$(19) \quad j = p^h - 1 - \tilde{j} = p^h - k(p+1).$$

- If  $h$  is odd, then by the right hand side of eq. (18) we have

$$\tilde{j} = p^h - (1 + p^h) + k(p + 1) = p^h - k'(p + 1)$$

for some integer  $k' = \frac{p^h+1}{p+1} - k$ , since in this case  $p + 1 \mid p^h + 1$ . This proves that  $d_{\tilde{j}-1} = d_{\tilde{j}} + 1$ , using proposition 30, since both  $j, \tilde{j}$  are of the same form. Using  $\tilde{j} = j$  we can assume that  $j < \tilde{j}$ . Then  $d_j - d_{\tilde{j}}$  is the number of jumps between  $d_j, d_{\tilde{j}}$ , that is the number of elements  $x = p^h - l_x(p + 1) \in \mathbb{N}$  of the form

$$j = p^h - k(p + 1) < p^h - l_x(p + 1) \leq p^h - k'(p + 1)$$

that is  $k' \leq l_x < k$ . This number equals  $k - k' = 2k - \frac{p^h+1}{p+1}$ , which is odd since  $\frac{p^h+1}{p+1} = \sum_{\nu=0}^{h-1} (-p)^\nu$  is odd.

- If  $h$  is even, then we set  $j' = p^h - p - j$  and using eq. (19) we have

$$j' = p^h - p - j = p^h - (p + p^h) + k(p + 1) = p^h - k'(p + 1)$$

for some integer  $k' = \frac{p^h+p}{p+1} - k$ , since in this case  $p + 1 \mid p^h + p$ . As in the  $h$  odd case, this proves that  $d_{j'-1} = d_{j'} + 1$ , using proposition 30, since both  $j, j'$  are of the same form. Again since  $j'' = j$  we can assume that  $j < j'$ . As in the odd  $h$  case, the difference  $d_j - d_{j'}$  is the number of jumps between  $d_j, d_{j'}$ , which equals to  $2k - \frac{p^h+p}{p+1}$  which is odd since  $\frac{p^h+p}{p+1} = p \frac{p^{h-1}+1}{p+1}$  is odd.

Observe that we have proved in both cases that  $d_j$  is odd if and only if  $d_{\tilde{j}}$  (resp.  $d_{j'}$ ) is even. The change of  $\epsilon$  to  $\epsilon'$  follows by lemma 20, which implies that if we have the indecomposable summand  $U(\epsilon, d_j)$ , where  $\epsilon \in \{0, 1\}$ , then we also have  $U(\epsilon', d_{\tilde{j}})$  (resp.  $U(\epsilon', d_{j'})$ ) with  $\epsilon' \in \{0, 1\} - \{\epsilon\}$  and  $d_j + d_{\tilde{j}} \leq q^h$  (resp.  $d_j + d_{j'} \leq q^h$ ), that is criterion 6 is satisfied.  $\square$

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DEPARTMENT OF MATHEMATICS, NATIONAL AND KAPODISTRIAN UNIVERSITY OF ATHENS  
PANEPISTIMIOUPOLIS, 15784 ATHENS, GREECE

*Email address:* `kontogar@math.uoa.gr`

DEPARTMENT OF MATHEMATICS, NATIONAL AND KAPODISTRIAN UNIVERSITY OF ATHENS,  
PANEPISTIMIOUPOLIS, 15784 ATHENS, GREECE

*Email address:* `aleksistere@math.uoa.gr`