## On the Automorphism Groups of modular curves $X_{0}(N)$

in positive characteristic

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## Contents

(1) Automorphisms of Families of Curves
(2) Modular Curves
(3) Equation for Modular curves

4 Hyperelliptic Curves in Characteristic 2
(5) Non hyperelliptic Curves

## Motivation

Let $\mathcal{X} \rightarrow S$ be a family of curves over a base scheme $S$.
For every point $P$ : Speck $\rightarrow$ S, we will consider the absolute automorphism group of the fiber $P$ to be the automorphism group Aut $_{\bar{k}}\left(\mathcal{X} \times{ }_{S} \operatorname{Spec} \bar{k}\right)$ where $\bar{k}$ is the algebraic closure of $k$.
Question: How does the automorphism group vary along the fibers $P$ ?

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## Fermat Curves

- The Fermat Equation

$$
x^{p^{s}+1}+y^{p^{s}+1}+z^{p^{s}+1}
$$

- This equation gives us a "curve" over a field $k$ by considering:

- The field $k$ might be $\mathbb{Q}, \overline{\mathbb{Q}}, \mathbb{R}, \mathbb{C}, \mathbb{F}_{p}, \overline{\mathbb{F}}_{p}$ etc.


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\mathbb{P}_{k}^{1} \ni\left(x_{0}: y_{0}: z_{0}\right) \text { so that } x_{0}^{p^{s}+1}+y_{0}^{p^{s}+1}+z_{0}^{p^{s}+1}=0
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## Arithmetic Surfaces



## Stable Curves

## Theorem (Deligne-Mumford 69)

Consider a stable curve $\mathcal{X} \rightarrow S$ over a scheme $S$ and let $\mathcal{X}_{\eta}$ denote its generic fibre. Every automorphism $\phi: \mathcal{X}_{\eta} \rightarrow \mathcal{X}_{\eta}$ can be extended to an automorphism $\phi: \mathcal{X} \rightarrow \mathcal{X}$.

$$
\operatorname{Aut}\left(\mathcal{X}_{\eta}\right) \subseteq \operatorname{Aut}\left(\mathcal{X}_{P}\right)
$$

## Fermat Curves

- The Fermat curve

$$
x^{p^{s}+1}+y^{p^{s}+1}+z^{p^{s}+1}=0
$$

It can be seen as a smooth family over $\operatorname{Spec} \mathbb{Z}\left[\frac{1}{p^{s}+1}\right]$

$$
\operatorname{Aut}(X, p)= \begin{cases}\left(\mu_{n} \times \mu_{n}\right) \rtimes S_{3} & \text { if } q \neq p \\ \operatorname{PGU}\left(3, p^{2 s}\right) & \text { if } q=p\end{cases}
$$

Tzermias, Leopoldt, Shioda.

## Exceptional Fibers

- A special fibre $\mathcal{X}_{p}:=\mathcal{X} \times{ }_{S} S / p$ with $\operatorname{Aut}\left(\mathcal{X}_{p}\right)>\operatorname{Aut}\left(\mathcal{X}_{\eta}\right)$ will be called exceptional. In general we know that there are finite many exceptional fibres and it is an interesting problem to determine exactly the exceptional fibres.


## Modular Curves

- $\Gamma=\operatorname{PSL}(2, \mathbb{Z})$
- $\Gamma(N):=\left\{\sigma \in \Gamma: \sigma \equiv \mathbb{I}_{2} \bmod N\right\}$
- $\Gamma_{0}(N):=\left\{\sigma=\left(\begin{array}{ll}a & b \\ c & d\end{array}\right) \in \Gamma: c \equiv 0 \bmod N\right\}$
- $Y(N):=\mathbb{H} / \Gamma(N), Y_{0}(N)=\mathbb{H} / \Gamma_{0}(N)$
- $X(N)=Y(N) \cup$ cusps, $X_{0}(N)=Y_{0}(N) \cup$ cusps


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## Fundamental Domain for $X_{0}(30)$



On the Automorphism Groups of modular curves $X_{0}(N)$

## Automorphisms of Modular Curves over $\mathbb{C}$

- $\operatorname{Aut}(X(N))=\operatorname{PSL}(2, \mathbb{Z} / \mathrm{NZ})$, Serre, K.


## - $\operatorname{Aut}\left(X_{0}(N)\right)=N_{\text {Aut(HII) }} \Gamma_{0}(N) / \Gamma_{0}(N)$ unless $N=37,63$ that have an extra involution, Elkies, Kenku, Momose, Ogg.

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## Modular Curves



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## Moduli Interpretation



On the Automorphism Groups of modular curves $X_{0}(N)$

## Modular Curves over Families

## Theorem (Igusa 59)

The curves $X_{0}(N)$ have a non singular projective model which is defined by equations over $\mathbb{Q}$, whose reduction modulo primes $p, p \nmid N$ are also non-singular, or in a more abstract language that there is a proper smooth curve $\mathcal{X}_{0}(N) \rightarrow \mathbb{Z}[1 / N]$ so that for $p \in \operatorname{Spec} \mathbb{Z}[1 / N]$ the reduction $\mathcal{X}_{0}(N) \times_{\text {Spec } \mathbb{Z}} \mathbb{F}_{p}$ is the moduli space of elliptic curves with a fixed cyclic subgroup of order $N$.

## Variation of automnorphisms: $X(N)$ case

- A. Adler in 97 and C.S. Rajan in 98 proved for $X(N)$, that $X(11)_{3}:=X(11) \times_{\text {SpecZ }}$ Spec $_{3}$ has the Mathiew group $\mathrm{M}_{11}$ as the full automorphism group.
- C. Ritzenthaler in 2003 and P. Bending, A. Carmina, R. Guralnick 2005 studied the automorphism groups of the reductions $X(q)_{p}$ of modular curves $X(q)$ for varius primes $p$. It turns out that the reduction $X(7)_{3}$ of $X(7)$ at the prime $p$ has automorphism group $\mathrm{PGU}(3,3)$ and these are the only cases where $\operatorname{Aut} X(q)_{p}>\operatorname{Aut} X(q) \cong \operatorname{PSL}(2, p)$


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## Hyperelliptic modular curves

| 22 | $y^{2}=\left(x^{3}+4 x^{2}+8 x+4\right)\left(x^{3}+8 x^{2}+16 x+16\right)$ |
| :--- | :--- |
| 23 | $y^{2}=\left(x^{3}-x+1\right)\left(x^{3}-8 x^{2}+3 x-7\right)$ |
| 26 | $y^{2}=x^{6}-8 x^{5}+8 x^{4}-18 x^{3}+8 x^{2}-8 x+1$ |
| 28 | $y^{2}=\left(x^{2}+7\right)\left(x^{2}+x+2\right)\left(x^{2}-x+2\right)$ |
| 29 | $y^{2}=x^{6}-4 x^{5}-12 x^{4}+2 x^{3}+8 x^{2}+8 x-7$ |
| 30 | $y^{2}=\left(x^{2}+4 x-1\right)\left(x^{2}+x-1\right)\left(x^{4}+x^{3}+2 x^{2}-x+1\right)$ |
| 31 | $y^{2}=\left(x^{3}-6 x^{2}-5 x-1\right)\left(x^{3}-2 x^{2}-x+3\right)$ |
| 33 | $y^{2}=\left(x^{2}+x+3\right)\left(x^{6}+7 x^{5}+28 x^{4}+59 x^{3}+84 x^{2}+63 x+27\right)$ |
| 35 | $y^{2}=\left(x^{2}+x-1\right)\left(x^{6}-5 x^{5}-9 x^{3}-5 x-1\right)$ |
| 37 | $y^{2}=x^{6}+14 x^{5}+35 x^{4}+48 x^{3}+35 x^{2}+14 x+1$ |
| 39 | $y^{2}=\left(x^{4}-7 x^{3}+11 x^{2}-7 x+1\right)\left(x^{4}+x^{3}-x^{2}+x+1\right)$ |
| 40 | $y^{2}=x^{8}+8 x^{6}-2 x^{4}+8 x^{2}+1$ |
| 41 | $y^{2}=x^{8}-4 x^{7}-8 x^{6}+10 x^{5}+20 x^{4}+8 x^{3}-15 x^{2}-20 x-8$ |
| 46 | $y^{2}=\left(x^{3}+x^{2}+2 x+1\right)\left(x^{3}+4 x^{2}+4 x+8\right)\left(x^{6}+5 x^{5}+14 x^{4}+25 x^{3}+28 x^{2}+20 x+8\right)$ |
| 47 | $y^{2}=\left(x^{5}+4 x^{4}+7 x^{3}+8 x^{2}+4 x+1\right)\left(x^{5}-5 x^{3}-20 x^{2}-24 x-19\right)$ |
| 48 | $y^{2}=\left(x^{4}-2 x^{3}+2 x^{2}+2 x+1\right)\left(x^{4}+2 x^{3}+2 x^{2}-2 x+1\right)=x^{8}+14 x^{4}+1$ |
| 50 | $y^{2}=x^{6}-4 x^{5}-10 x^{3}-4 x+1$ |
| 59 | $y^{2}=\left(x^{3}+2 x^{2}+1\right)\left(x^{9}+2 x^{8}-4 x^{7}-21 x^{6}-44 x^{5}-60 x^{4}-61 x^{3}-46 x^{2}-24 x-11\right)$ |
| 71 | $y^{2}=\left(x^{7}-3 x^{6}+2 x^{5}+x^{4}-2 x^{3}+2 x^{2}-x+1\right)$ |
|  | $\left(x^{7}-7 x^{6}+14 x^{5}-11 x^{4}+14 x^{3}-14 x^{2}-x-7\right)$ |

On the Automorphism Groups of modular curves $X_{0}(N)$

## Hyperelliptic modular curves

- The above list is due to M. Shimura (1995) and Galbraith (1996)
- The above models are not the Igusa models. They are singular at infinity and singular at the fibers over the prime 2.
- For the prime 2 we will seek another model (Artin-Schreier extension).
- For all fibers above $p \neq 2$ we can work with them.


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## Real Points Hyperelliptic curves

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## Complex Points Hyperelliptic curves

a) Two separate copies of $\mathbb{C}$ each with $g+1$ cuts.
b) The upper copy
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## Automorphisms of Hyperelliptic curves $p \neq 2$

- Brandt Stichtenoth 1986
- J: $x \mapsto x, y \mapsto-y$.

- $H:=\operatorname{Aut}(C) /\langle j\rangle$ is a finite subgroup of
$\operatorname{PGL}(2, k)=\operatorname{Aut}\left(\mathbb{P}_{k}^{1}\right)$.
- Problem of group extensions


The structure of the group $\operatorname{Aut}(C)$ depends on the intersection of the branch locus of the cover $\mathbb{P}_{k}^{1} \rightarrow \mathbb{P}_{k}^{1} / H$ with the set of roots $\alpha_{i}$.

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1 \rightarrow\langle j\rangle \rightarrow \operatorname{Aut}(C) \rightarrow H \rightarrow 1
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The structure of the group $\operatorname{Aut}(C)$ depends on the intersection of the branch locus of the cover $\mathbb{P}_{k}^{1} \rightarrow \mathbb{P}_{k}^{1} / H$ with the set of roots $\alpha_{i}$.

## Finite subgroups of PGL( $2, k$ )

(1) Cyclic group $C_{n}$ of order $n(n, p)=1$ with $r=2, e_{1}=e_{2}=n$.
(2) Elementary abelian $p$-group with $r=1, e_{1}=|G|$.
(3) Dihedral group $D_{n}$ of order $2 n$, with $p=2,(p, n)=1, r=2$, $e_{1}=2, e_{2}=n$, or $p \neq 2,(p, n)=1, r=3, e_{1}=e_{2}=2$, $e_{3}=n$.
(1) Alternating group $A_{4}$ with $p \neq 2,3, r=3, e_{1}=2, e_{2}=e_{3}=3$
(6) Symmetric group $S_{4}$ with $p \neq 2,3, r=3, e_{1}=2, e_{2}=3$, $e_{3}=4$.
(6) Alternating group $A_{5}$ with $p=3, r=2, e_{1}=6, e_{2}=5$, or $p \neq 2,3,5 r=3, e_{1}=2, e_{2}=3, e_{3}=5$.
(1) Semidirect product of an elementary abelian $p$-group of order $p^{t}$ with a cyclic group $C_{n}$ of order $n$ with $n \mid p^{t}-1, r=2$, $e_{1}=|G|, e_{2}=n$.
(3) $\operatorname{PSL}\left(2, p^{t}\right)$ with $p \neq 2, r=2, e_{1}=\frac{p^{t}\left(p^{t}-1\right)}{2}, e_{2}=\frac{p^{t}+1}{2}$.

## Platonic Solids



## Tetrahedron

Group: $\mathrm{A}_{4}$


Octahedron, Cube
Group: S4


Dodecahedron, Icosahedron
Group: A 5

## Computation of $H$

- The group $H$ is determined by the configuration of the roots $\alpha_{1}, \ldots, \alpha_{2 g+2}$ in $\mathbb{P}_{k}^{1}$.
- It can be that modulo $p$ the configuration of the roots is more symmetrical.
- The hyperelliptic curve $y^{2}=x^{6}+5 x^{3}+1$ is acted on by $j$ and by $\sigma: x \mapsto \zeta_{3} x$.
- This curve modulo 5 is acted on by a bigger group generated by $\sigma^{\prime}: x \mapsto \zeta_{6} x$.


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## Hyperelliptic Curves with an extra involution

- Vollklein, Shaska, Shevilla, Guttierez 2002-2007 developed the theory of dihedral invariants for hyperelliptic curves provided that $H$ has at least one involution. They also gave a classification of automorphisms depending on these invariants.



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- This idea is applicable to hyperelliptic curves of the form: $X_{0}(N)$ for $N=22,26,28,37,50$ that are of genus 2 and for $N=39,40,48,33,35,30$ of genus 3.


## Dihedral Invariants

- Change the model so that the extra involution acts like $x \mapsto-x$ (Diagonalization).

$$
y^{2}=x^{2 g+2}+a_{1} x^{2 g}+\cdots+a_{g} x^{2}+1
$$

- Compute invariants $u_{i}:=a_{1}^{g-i+1} a_{i}+a_{g}^{g-i+1} a_{g-i+1}$ for


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## $g=2$

## Theorem

The automorphism group is isomorphic to
(1) $V_{6}$ if and only if $\left(u_{1}, u_{2}\right)=(0,0)$ or $\left(u_{1}, u_{2}\right)=(6750,450)$
(2) (1) $\mathrm{GL}_{2}(3)$ if and only if $\left(u_{1}, u_{2}\right)=(-250,50)$ and $p \neq 5$
(2) $B$ if and only if $\left(u_{1}, u_{2}\right)=(-250,50)$ and $p=5$
(3) $D_{6}$ if and only if $u_{2}^{2}-220 u_{2}-16 u_{1}+4500=0$,
(9) $D_{4}$ if and only if $2 u_{1}^{2}-u_{2}^{3}$ for $u_{2} \neq 2,18,0,50,450$.
(Cases 0, 450, 50 are reduced to 1,2). The group B mentioned above is given by:

$$
\begin{gathered}
B:=\left\langle a, b, c \mid c^{2}, a^{-5}, b^{-1} a^{-2} b a,\left(c b^{-1}\right)^{3}, a^{-1} b c a^{2} c a c\right\rangle . \\
V_{n}:=\left\langle x, y \mid x^{4}, y^{n},(x y)^{2},\left(x^{-1} y\right)^{2}\right\rangle .
\end{gathered}
$$

## $g=3$

- A similar theorem holds. Too complicated to write it down! - An additional difficulty: The normalized models are defined over a PID different than $\mathbb{Z}$.

| $N$ | $f(x)$ |
| :--- | :--- |
| 30 | $x^{8}+\frac{(276+184 \sqrt{2})}{(-540 \sqrt{2}-765)} x^{6}-46 x^{4}+\frac{(-184 \sqrt{2}+276)}{(-540 \sqrt{2}-765)} x^{2}-\frac{765+540 \sqrt{2}}{(-540 \sqrt{2}-765)}$ |
| 33 | $x^{8}+\frac{(-240 \sqrt{3}+508) x^{6}}{-264 \sqrt{3}+473}+342 x^{4}+\frac{(508+240 \sqrt{3}) x^{2}}{-264 \sqrt{3}+473}+\frac{473+264 \sqrt{3}}{-264 \sqrt{3}+473}$ |
| 35 | $5 x^{8}+(140+128 i) x^{6}-34 x^{4}+(140-128 i) x^{2}+5$ |
| 39 | $27 x^{8}-2^{2} \cdot 97 x^{6}+2 \cdot 29 x^{4}+2^{2} \cdot 11 x^{2}+3$ |
| 40 | $x^{8}-18 x^{4}+1$ |
| 48 | $x^{8}+14 x^{4}+1$ |

## $g=3$

- A similar theorem holds. Too complicated to write it down!
- An additional difficulty: The normalized models are defined over a PID different than $\mathbb{Z}$.

| $N$ | $f(x)$ |
| :--- | :--- |
| 30 | $x^{8}+\frac{(276+184 \sqrt{2})}{(-540 \sqrt{2}-765)} x^{6}-46 x^{4}+\frac{(-184 \sqrt{2}+276)}{(-540 \sqrt{2}-765)} x^{2}-\frac{765+540 \sqrt{2}}{(-540 \sqrt{2}-765)}$ |
| 33 | $x^{8}+\frac{(-240 \sqrt{3}+508) x^{6}}{-264 \sqrt{3}+473}+342 x^{4}+\frac{(508+240 \sqrt{3}) x^{2}}{-264 \sqrt{3}+473}+\frac{473+264 \sqrt{3}}{-264 \sqrt{3}+473}$ |
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## Example: $X_{0}(48)$

- Generic automorphism group: $\mathbb{Z} / 2 \mathbb{Z} \times S_{4}$.
- Possible exceptional prime $p=7$.
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$$
A:=\left\langle a, b, c \mid c^{2}, b a^{-2} b^{-1} a^{-1}, b^{-1} a^{3} b a^{-1}, b a^{-1} c b^{-1} a^{-1} c a^{-1} c,\left(a^{-1} b^{-1} c b^{-1}\right)^{2}\right\rangle .
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- $y^{2}=f_{N}(x)$ where $f_{N}(x) \in \mathbb{Z}[x]$.
- Find $\sigma$ given by $x \mapsto \frac{a x+b}{c x+d}$.
- Consider the coefficients of the polynomial


If $\sigma$ is an automorphism then all $a_{i}$ should be zero.

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On the Automorphism Groups of modular curves $X_{0}(N)$

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## Gröbner Bases

- Consider the ideal $I_{r}:=\left\langle a_{i}, i=1, \ldots, r\right\rangle \triangleleft \mathbb{Z}[a, b, c, d]$ where $r<\operatorname{deg} f_{N}$.
- Compute a Gröbner basis for $I_{r}$ with respect of the lex order $a<b<d<c$, and then we form the set $S$ of all basis elements that are polynomials in $c$ only.
- The generic fibre the only admissible automorphism is the trivial one, the gcd of elements in $S$ is $c^{\alpha}$ for some $1<\alpha \in \mathbb{N}$ We divide every element in $S$ by $c^{\alpha}$ and we obtain an integer $\delta$ as an element in the set $\left\{f / c^{\alpha}: f \in S\right\}$. The prime factors $p$ of $\delta$ are exactly the possible primes where an automorphism $\sigma$ with $c \neq 0$ can appear.
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Automorphisms of Families of Curves
Modular Curves
Equation for Modular curves
Hyperelliptic Curves in Characteristic 2
Non hyperelliptic Curves

## Example: $N=41$

```
0 a^2 + 3*d^18 - 4*d^2 + 19*c^18 + 15*c^10 + 866*c^2,
    a*c^2 + d*c^2,
    2*a + 2*b*d^7*c + 2*d^9 + d^7*c^2 - 4*d + 39*c^17 + 24*c^9 + 142*c,
    b^8 + 3*b^2*d^6 + 2*d^7*c + d^6*c^2 + 13*c^24 + 22*c^16 + 521*c^8,
    2*b^4 + 2*b*d^3 + 2*b*d^2*c + 2*d^3*c + d^2*c^2 + 14*c^20 + 17*c^12 +
        685*c^4,
    2*b^2*c + 2*b*d*c + 2*d^2*c + 34*c^19 + 12*c^11 + 40*c^3,
    b*c^2 + 2*d^2*c + d*c^2 + 39*c^19 + 19*c^11 + 553*c^3,
    4*b + d^7*c^2 + 25*c^17 + 39*c^9 + 1472*c,
    d^24 + 40*c^24 + 34*c^16 + 139*c^8 - 1,
    d^8*c^2 + 20*c^18 + 18*c^10 + 199*c^2,
    2*d^8*c + 40*c^17 + 36*c^9 + 398*c,
    4*d^8 + 5*c^24 + 14*c^16 + 677*c^8 - 4,
    d*c^3 + 16*c^20 + 7*c^12 + 599*c^4,
    2*d*c^2 + 32*c^19 + 14*c^11 + 501*c^3,
    4*d*c + 23*c^18 + 28*c^10 + 264*c^2,
    c^25 + 36*c^17 + 39*c^9 + 496*c,
    41*c^9 + 2624*c,
    697*c^3,
    1394*c^2,
    2788*c
```

On the Automorphism Groups of modular curves $X_{0}(N)$

## Example: $N=41$

- For example, for the $N=41$ case the only exceptions can happen at the primes $2,17,41$.
- The primes 2,41 are excluded so we focus to the $p=17$ case We reduce our curve modulo 17 and then we compute that the ideal $I_{\operatorname{deg} f_{41}} \otimes_{\mathbb{Z}} \mathbb{Z} / p \mathbb{Z}$ has a Gröbner basis of the form: $\left\{a+16 d+b, d^{8}+12 b^{8}+16, b(d+8 b), c+8 b, b\left(b^{8}+13\right)\right\}$
- We will now solve the above system. If $b=0$ then we see that $c=0$ and $a=d$, therefore we obtain the identity matrix. If $b \neq 0$ then $b^{8}+13=0 \Rightarrow b^{4}=2$. Let $b$ be a fourth root of 2 in $\overline{\mathbb{F}}_{17}$. Then $c=-8 b, d=-8 b, a=-9 b$. The equation $d^{8}+12 b^{8}+16$ is compatible with the system. Thus we obtain the extra automorphism $\sigma$ so that $\bar{\sigma}: x \mapsto \frac{-9 b x+b}{-8 b x-9 b}=\frac{9 x-1}{8 x+9}$ The automorphism group in this case is $\mathbb{Z} / 2 \mathbb{Z} \times \mathbb{Z} / 2 \mathbb{Z}$.


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## Minimal Weierstrass Models

- Every hyperelliptic curve of genus $g$ has a model:

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C:=y^{2}+q(x) y+p(x)
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with $\operatorname{deg} q(x) \leq g+1$ and $\operatorname{deg} p(x) \leq 2 g+1$.
Riemann-Roch theorem, Lockhart 1994)

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- In characteristic $p \neq 2$ we can find a model of the form $y^{2}=f(x)$ by completing the square in the left hand side.
- In characteristic 2 this model is given in terms of an Artin-Schreier extension. Set $Y=y / q$ in order to obtain
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Y^{2}+Y=\frac{p}{q^{2}}
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## Automorphisms of Weierstrass Models

- A basis for the space of holomorphic differentials on $C$ is given by

$$
\omega_{i}=\frac{x^{i-1} d x}{2 y+q}=\frac{x^{i-1} d x}{q}, 1 \leq i \leq g
$$

- Every automorphism $\sigma$ of $C$ induces a linear action on the space of holomorphic differentials.
- Write $a((a x+b) /(c x+d))(c x+d)^{b+1}=q^{*}(x) \in \mathbb{F}_{2}[x]$.
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## Automorphisms of Weierstrass Models

## Theorem

Let $C:=y^{2}+q(x) y+p(x)$ be a hyperelliptic curve of genus $g$ over $\overline{\mathbb{F}}_{2}$ with $\operatorname{deg} q(x) \leq g+1$ and $\operatorname{deg} p(x) \leq 2 g+1$. Then every automorphism $\sigma$ of $C$ is of the form

$$
\sigma:(x, y) \longmapsto\left(\frac{a x+b}{c x+d}, \frac{y+h(x)}{(c x+d)^{g+1}}\right)
$$

for some $\left(\begin{array}{ll}a & b \\ c & d\end{array}\right) \in \mathrm{GL}_{2}\left(\overline{\mathbb{F}}_{2}\right)$ and $h(x) \in \overline{\mathbb{F}}_{2}[x]$ of degree at most $g+1$ satisfying

$$
q\left(\frac{a x+b}{c x+d}\right)(c x+d)^{g+1}=q(x), \quad p\left(\frac{a x+b}{c x+d}\right)(c x+d)^{2 g+2}=p(x)+h(x)^{2}+q(x) h(x) .
$$

## Example: $X_{0}(37)$ in characteristic 2

- Weierstrass model:

$$
y^{2}+\left(x^{3}+x^{2}+x+\right) y=x^{5}+x^{3}+x
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- Search for $a, b, c, d$ so that the conditions of the previous theorem is fulfilled. System of equations, Gröbner basis approach.


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- Gröbner basis.

$$
\begin{aligned}
& u_{0}+u_{3}+d^{2} c^{4}+d^{2} c+d c^{8}+d c^{2}+c^{192}+c^{180}+c^{168}+c^{165}+c^{150}+c^{138}+c^{135} \\
& +c^{132}+c^{120}+c^{105}+c^{96}+c^{90}+c^{84}+c^{75}+c^{69}+c^{66}+c^{48}+c^{36}+c^{18}+c^{9} \text {, } \\
& u_{1}+u_{3}+d^{2} c+d c^{8}+c^{168}+c^{138}+c^{120}+c^{105}+c^{90}+c^{75}+c^{72}+c^{60}+c^{48} \\
& +c^{45}+c^{30}+c^{24}+c^{18}+c^{15}+c^{12}+c^{3} \text {, } \\
& u_{2}+\nu_{3}+d^{2} c^{4}+d c^{2}+c^{180}+c^{165}+c^{150}+c^{144}+c^{135}+c^{129}+c^{96}+c^{84}+c^{69} \\
& +c^{66}+c^{60}+c^{48}+c^{45}+c^{36}+c^{33}+c^{30}+c^{18}+c^{15} \text {, } \\
& u_{3}^{2}+u_{3}+d^{2} c^{4}+d^{2} c+d c^{5}+d c^{2}+c^{36}+c^{33}+c^{21}+c^{18}+c^{6}+c^{3} \text {, } \\
& a+d+c^{16}+c \text {, } \\
& b+c^{16} \text {, } \\
& d^{3}+d^{2} c+d c^{2}+c^{192}+c^{144}+c^{132}+c^{129}+c^{72}+c^{48}+c^{33}+c^{24}+c^{18} \\
& +c^{12}+c^{9}+1, \\
& d\left(c^{16}+c\right)+c^{176}+c^{161}+c^{146}+c^{131}+c^{80}+c^{65}+c^{56}+c^{41}+c^{26}+c^{20} \\
& +c^{17}+c^{11}+c^{5}+c^{2} \text {, } \\
& \left(c^{16}+c\right)\left(c^{192}+c^{144}+c^{132}+c^{129}+c^{96}+c^{72}+c^{66}+c^{\mathbf{4 8}}+c^{36}+c^{33}\right. \\
& \left.+c^{24}+c^{18}+c^{12}+c^{9}+c^{6}+c^{3}+1\right) \text {. }
\end{aligned}
$$

- The last element is a polynomial on c of degree 192. It is a product of 12 irreducible polynomials of degree 8 over $\mathbb{F}_{2}$. Total number of solutions in $\overline{\mathbb{F}}_{2}$ is 480 .

On the Automorphism Groups of modular curves $X_{0}(N)$

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& a+d+c^{16}+c \text {, } \\
& b+c^{16} \text {, } \\
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## Example: $X_{0}(37)$ in characteristic 2

- However, since for each root $\alpha$ of $x^{3}+1$ in $\mathbb{F}_{4}$, $\left(u_{0}, u_{1}, u_{2}, u_{3}, a, b, c, d\right)$ and ( $\left.u_{0}, u_{1}, u_{2}, u_{3}, \alpha a, \alpha b, \alpha c, \alpha d\right)$ give the same automorphism, we find that

$$
|G|=480 / 3=160, \quad|\bar{G}|=|G| / 2=80 .
$$

- $\bar{G}$ is the semi-direct product of an elementary abelian 2-group of order 16 by a cyclic group of order 5 .
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## Example: $X_{0}(37)$ in characteristic 2

- However, since for each root $\alpha$ of $x^{3}+1$ in $\mathbb{F}_{4}$, $\left(u_{0}, u_{1}, u_{2}, u_{3}, a, b, c, d\right)$ and ( $\left.u_{0}, u_{1}, u_{2}, u_{3}, \alpha a, \alpha b, \alpha c, \alpha d\right)$ give the same automorphism, we find that

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## Automorphisms of Hyperelliptic Modular Curves

| $N$ | Genus | Generic Aut. | Exceptional primes | Except. Aut. |
| :---: | :---: | :---: | :--- | :--- |
| 22 | 2 | $(\mathbb{Z} / 2 \mathbb{Z})^{2}$ | 3,29 | $D_{6}$ |
|  |  |  | 101 | $D_{4}$ |
| 23 | 2 | $\mathbb{Z} / 2 \mathbb{Z}$ | $3,13,29,43,101,5623$ | $D_{2}$ |
| 26 | 2 | $(\mathbb{Z} / 2 \mathbb{Z})^{2}$ | 7,31 | $D_{6}$ |
|  |  |  | 41,89 | $D_{4}$ |
| 28 | 2 | $D_{6}$ | 3 | $\mathrm{GL}_{2}(3)$ |
|  |  |  | 5 | $B$ |
|  |  |  | 11 | $V_{6}$ |
| 29 | 2 | $\mathbb{Z} / 2 \mathbb{Z}$ | 19 | $D_{4}$ |
|  |  |  | $5,67,137,51241$ | $D_{2}$ |
| 30 | 3 | $(\mathbb{Z} / 2 \mathbb{Z})^{3}$ | 23 | $V_{8}$ |

## Automorphisms of Hyperelliptic Modular Curves

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| 31 | 2 | $\mathbb{Z} / 2 \mathbb{Z}$ | 3 | $\mathbb{Z} / 2 \mathbb{Z} \times \mathbb{Z} / 4 \mathbb{Z}$ |
| :--- | :--- | :--- | :--- | :--- |
|  |  | 5, | $D_{2}$ |  |
|  |  |  | $11,37,67,131,149$ |  |
| 33 | 3 | $(\mathbb{Z} / 2 \mathbb{Z})^{3}$ | 2 | $G L_{2}(2) \times \mathbb{Z} / 2 \mathbb{Z}$ |
|  |  |  | 19 | $\mathbb{Z} / 2 \mathbb{Z} \times \mathbb{Z} / 4 \mathbb{Z}$ |
|  |  |  | $(\mathbb{Z} / 2 \mathbb{Z})^{3}$ |  |
| 35 | 3 | $(\mathbb{Z} / 2 \mathbb{Z})^{2}$ | - | - |
| 37 | 2 | $(\mathbb{Z} / 2 \mathbb{Z})^{2}$ | 2 | $E_{32-} \times(\mathbb{Z} / 5 \mathbb{Z})$ |
|  |  |  | 3 | $\mathbb{Z} / 3 \mathbb{Z} \times(\mathbb{Z} / 2 \mathbb{Z})$ |
|  |  |  | 7,31 | $D_{6}$ |
|  |  |  | 29,61 | $D_{4}$ |
| 39 | 3 | $(\mathbb{Z} / 2 \mathbb{Z})^{2}$ | 5 | $\mathbb{Z} / 2 \mathbb{Z} \times \mathbb{Z} / 4 \mathbb{Z}$ |

On the Automorphism Groups of modular curves $X_{0}(N)$

## Automorphisms of Hyperelliptic Modular Curves

$N$ Genus Generic Aut Exceptional primes Except. Aut.

| 41 | 3 | $\mathbb{Z} / 2 \mathbb{Z}$ | 17 | $D_{2}$ |
| :--- | :--- | :---: | :--- | :--- |
| 46 | 5 | $(\mathbb{Z} / 2 \mathbb{Z})^{2}$ | 3 | $(\mathbb{Z} / 2 \mathbb{Z})^{3}$ |
| 47 | 4 | $\mathbb{Z} / 2 \mathbb{Z}$ | - | - |
| 48 | 3 | $\mathbb{Z} / 2 \mathbb{Z} \times S_{4}$ | 7 | $A,\|A\|=672$ |
| 50 | 2 | $(\mathbb{Z} / 2 \mathbb{Z})^{2}$ | 3 | $D_{6}$ |
|  |  |  | 37 | $D_{4}$ |
| 59 | 5 | $\mathbb{Z} / 2 \mathbb{Z}$ | - | - |
| 71 | 6 | $\mathbb{Z} / 2 \mathbb{Z}$ | - | - |

## The canonical embedding

## Theorem

Let $\omega_{1}, \ldots, \omega_{g}$ be a basis of $H^{0}\left(X_{0}(N), \Omega^{1}\right)$, and suppose that $X_{0}(N)$ is not hyperelliptic. The map

$$
\begin{gathered}
\Phi: X_{0}(N) \rightarrow \mathbb{P}^{g-1}, \\
P \mapsto\left(1: \frac{\omega_{2}}{\omega_{1}}: \ldots: \frac{\omega_{g}}{\omega_{1}}\right)
\end{gathered}
$$

gives an embedding of $X_{0}(N)$ in $\mathbb{P}^{g-1}$.
Every automorphism of $X_{0}(N)$ is the restriction of an automorphism of the ambient space $\mathbb{P}^{g-1}$. The automorphism group of $\mathbb{P}_{k}^{g-1}$ equals $\operatorname{PGL}(g, k)$.

## $g=3$, non hyperelliptic

- All non-hyperelliptic curves of genus 3 are hypersurfaces in $\mathbb{P}^{2}$.


On the Automorphism Groups of modular curves $X_{0}(N)$

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| $X_{0}(34)$ | $x^{4}+y^{4}-z^{4}+x^{3} y+x y^{3}-2 x^{2} y^{2}+3 x y z^{2}=0$ |
| :--- | :--- |
| $X_{0}(43)$ | $2 x^{3} y+6 x^{2} y^{2}+11 x y^{3}+9 y^{4}-x^{3} z-6 x^{2} y z-14 x y^{2} z$ |
|  | $-12 y^{3} z+2 x^{2} z^{2}+8 x y z^{2}+10 y^{2} z^{2}-x z^{3}+z^{4}=0$ |
| $X_{0}(45)$ | $x^{4}+y^{4}+81 z^{4}-2 x^{2} y^{2}-2 x^{2} y^{2}-2 x^{2} z^{2}-$ |
|  | $18 y^{2} z^{2}-16 x y^{2} z=0$ |
| $X_{0}(64)$ | $x^{4}+y^{4}-z^{4}=0$ |

## Linear automorphisms

- Idea: Compute all matrices $A=\left(a_{i j}\right)$ such that

$$
f(A x)=\lambda_{A} f(x)
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- Difficult problem to solve.


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## Projective Duality

- Consider the Gauss map

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\begin{gathered}
X \rightarrow X^{*} \\
\left.\left(x_{0}, x_{1}, x_{2}\right) \mapsto\left(\frac{\partial f}{\partial x}: \frac{\partial f}{\partial y}: \frac{\partial f}{\partial z}\right)\right|_{\left(x_{0}, y_{0}, z_{0}\right)}
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- Every automorphism induces a linear action (by $A^{-1}$ ) on the dual curve.
- A simpler problem (the derivatives are simpler than the original polynomials)


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Y_{1}:=\frac{\partial f}{\partial x}=4 x^{3}, Y_{2}:=\frac{\partial f}{\partial y}=4 y^{3}, Y_{3}:=\frac{\partial f}{\partial z}=-4 z^{3}
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- Find $a_{i j}$ such that


The group is bigger than $\left(\mu_{4} \times \mu_{4}\right) \rtimes S_{3}$ only in characteristic 3 , since then raising to the third power is linear! - $\operatorname{Aut}\left(X_{0}(64), 3\right) \cong \operatorname{PGU}\left(3, \mathbb{F}_{9}\right)$.

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