Deformations of Curves with automorphisms representations on Riemann-Roch spaces

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- 2 Deformation theory of curves with automorphisms
- 3 Computation with infinitesimals
- 4 Beyond Infenitesimals

Deformations of Curves with automorphisms

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The decomposition group G(P) of a point on X admits the following ramification filtration

$$G(P) = G_0(P) \supset G_1(P) \supset G_2(P) \supset \dots,$$
(1)

 $G_i(P) = \{\sigma \in G(P) : v_P(\sigma(t)) - t \ge i + 1\}$, and t is a local uniformizer at P and v_P is the corresponding valuation. Eaithful representation of $G_1(P)$ in GL(I(mP)), where

 $L(iP) := \{ f \in k(X)^* : \operatorname{div}(f) + iP \ge 0 \} \cup \{ 0 \}.$

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Lemma

Let $1 \le m \le 2g - 1$ be the smallest pole number not divisible by the characteristic. There is a faithful representation

$$\rho: G_1(P) \to \operatorname{GL}(L(mP))$$
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- The flag of vector spaces L(iP) for i ≤ m is preserved, so the representation matrices are upper triangular, or in other words G₁(P) is a subgroup of the Borel group of the flag.
- 2 We assume that $m = m_0 > m_1 > \cdots > m_r = 0$, are the pole numbers $\leq m$. Therefore, a basis for the vector space L(mP) is given by

$$\left\{1, \frac{u_i}{t^{m_i}}, \frac{1}{t^m}: \text{ where } 1 < i < r, p \mid m_i \text{ and } u_i \text{ are certain units}\right\}$$

With respect to this basis, an element $\sigma\in G_1(P)$ acts on $1/t^m$ by

$$\sigma \frac{1}{t^m} = \frac{1}{t^m} + \sum_{i=1}^r c_i(\sigma) \frac{u_i}{t^{m_i}},$$

and then it maps the local uniformizer t to

$$\sigma(t) = \frac{\zeta t}{\left(1 + \sum_{i=1}^{r} c_i(\sigma) u_i t_{i=1}^{m} \overline{c_i}^{m}\right)^{1/m}} \tag{3}$$

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- Among wildly ramified covers the simplest are the weakly ramified covers i.e. covers where $G_2(P) = \{1\}$ at all ramified points.
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Remark

There are curves with two dimensional represenations attached to wild points:

$$F: \sum_{\nu=0}^{n} a_{n} y^{p^{\nu}} = \sum_{\mu=0}^{m} b_{\mu} x^{\mu}, \qquad (4)$$

so that $m \not\equiv 0 \mod p$, $a_n, a_0, b_0 \neq 0$, $n \geq 1, m \geq 2$, studied by H. Stichtenoth. Let P_{∞} be the unique place above the place p_{∞} of the function field k(x). Weierstrass semigroup at P_{∞} is given by $m\mathbb{Z}_{\geq 0} + p^n\mathbb{Z}_{\geq 0}$. Select $m < p^n$ the first pole number is 0 and the second is *m* therefore d = 2. The ramification filtration of $G = \operatorname{Gal}(F/k(x))$ at P_{∞} is given by:

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The relation to deformation theory

Problem:

$$D_P: \mathcal{C} \to \operatorname{Sets}, A \mapsto \begin{cases} \text{lifts } G(P) \to \operatorname{Aut}(A[[t]]) \text{ of } \rho \text{ mod-}\\ \text{ulo conjugation with an element}\\ \text{of } \operatorname{ker}(\operatorname{Aut}A[[t]] \to k[[t]]) \end{cases}$$

A is a local Artin Λ -algebra with residue field k. For the mixed characteristic case we consider Λ to be a complete Noetherian local ring with residue field k. Usually Λ is an algebraic extension of the ring of Witt vector W(k). For the equicharacteristic case we take $\Lambda = k$.

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An easier problem

- Automorphism groups of formal power series is a difficult object to understand.
- For a k-algebra A with maximal ideal m_A , consider the multiplicative group $L_n(A) < GL_n(A)$, of invertible lower triangular matrices with entries in A, and invertible elements λ in the diagonal, such that $\lambda 1 \in m_A$.
- Consider the following functor from the category C of local Artin k-algebras to the category of sets

 $F:A\in Ob(\mathcal{C})\mapsto$

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 $\begin{array}{c} \mbox{Representing } G_1(P) \mbox{ in vector space automorphisms} \\ \mbox{Deformation theory of curves with automorphisms} \\ \mbox{Computation with infinitesimals} \\ \mbox{Beyond Infenitesimals} \\ \mbox{Beyond Infenitesimals} \end{array}$

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Global Deformation functors

Deformation of the couple (X, G) over the local Artin ring A is a proper, smooth family of curves

$$\mathcal{X} \to \operatorname{Spec}(A)$$

together with a group homomorphism $G \to \operatorname{Aut}_A(\mathcal{X})$ together with a *G*-equivariant isomorphism ϕ from the fibre over the closed point of *A* to the original curve *X*:

$$\phi: \mathcal{X} \otimes_{\operatorname{Spec}(A)} \operatorname{Spec}(k) \to X.$$

Two deformations $\mathcal{X}_1, \mathcal{X}_2$ are considered to be equivalent if there is a *G*-equivariant isomorphism ψ that reduces to the identity in the special fibre and making the following diagram commutative:



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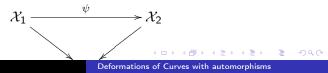
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Global Deformation Functor

The global deformation functor is defined:

$$D_{\mathrm{gl}}: \mathcal{C} \to \mathrm{Sets}, \mathrm{A} \mapsto \begin{cases} \mathsf{Equivalence classes} \\ \mathsf{of deformations of} \\ \mathsf{couples}(X, G) \mathsf{ over } A \end{cases}$$

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Local Global Principle

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$D_{\rm loc} = \prod D_{P_i}$

P_i runs over all wild ramified points.

- There is a smooth morphism $\phi: D_{\mathrm{gl}} \rightarrow D_{\mathrm{loc}}$
- The global deformation ring R_{gl} and the deformation rings R_i of the deformation functors D_{P_i} are related

$$R_{\rm gl} = (R_1 \hat{\otimes} R_2 \hat{\otimes} \cdots \hat{\otimes} R_r) [[U_1, \ldots, U_N]],$$

where $N = \dim_k H^1(X/G, \pi^G_*(\mathcal{T}_X))$, and R_i is the deformation ring of D_{P_i} .

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Relative Ramification

Lemma

Let $\mathcal{X} \to \operatorname{Spec} A$ be an A-curve, admitting a fibrewise action of the finite group G, where A is a Noetherian local ring. Let $S = \operatorname{Spec} A$, and $\Omega_{\mathcal{X}/S}$, $\Omega_{\mathcal{Y}/S}$ be the sheaves of relative differentials of \mathcal{X} over S and \mathcal{Y} over S, respectively. Let $\pi : \mathcal{X} \to \mathcal{Y}$ be the quotient map. The sheaf

$$\mathcal{L}(-D_{\mathcal{X}/\mathcal{Y}})=\Omega_{\mathcal{X}/S}^{-1}\otimes_{\mathcal{S}}\pi^*\Omega_{\mathcal{Y}/S}.$$

is the ideal sheaf the horizontal Cartier divisor $D_{\mathcal{X}/\mathcal{Y}}$. The intersection of $D_{\mathcal{X}/\mathcal{Y}}$ with the special and generic fibre of \mathcal{X} gives the ordinary branch divisors for curves.

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Lifting matrix representations

Assumptions:

- R is a complete local regular integer domain.
- $\mathcal{X} \to \operatorname{Spec} R$ be a deformation of the couple (X, G).
- *P* is a wild ramified point of the special fibre *X*.
- There is a a 2-dimensional representation
 - $\rho: G_1(P) \to \operatorname{GL}_k(H^0(X, \mathcal{L}(mP)))$ attached to P.
- There is a *G*-invariant horizontal divisor that intersects the special fibre at *mP*. Not always possible!

- there is a free *R*-module *M* of rank 2 generated by 1, \tilde{f} so that $M := \langle 1, \tilde{f} \rangle_R \subset H^0((\mathcal{X}, \mathcal{L}(\alpha D)))$, where $1 \leq \alpha \in \mathbb{N}$ and $M \otimes_R k = H^0(X, \mathcal{L}(mP))$.
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Lifting Matrix Representations

The basis element \tilde{f} is of the form

$$\tilde{f} = \frac{1}{(T^m + a_{m-1}T^{m-1} + \dots + a_1T_1 + a_0)}u(T),$$
 (5)

where $a_0, \ldots, a_{m-1} \in m_R$ and u(T) is a unit in R[[T]] reducing to $1 \mod m_R$.

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Finding the horizontal divisor D

Problem: Two horizontal divisors can collapse to the same point in the special fibre There are conditions:

• For a curve X and a branch point P of X we will

$$\operatorname{ar}_{P}(\sigma) = \sum_{i=1}^{s} \operatorname{ar}_{P_{i}}(\sigma)$$

denote by $i_{G,P}$ the order function of the filtration of G at P. The Artin representation of the group G is defined by $\operatorname{ar}_P(\sigma) = -f_P i_{G,P}(\sigma)$ for $\sigma \neq 1$ and $\operatorname{ar}_P(1) = f_P \sum_{\sigma \neq 1} i_{G,P}(\sigma)$

The integer i_{G,P}(σ) is equal to the multiplicity of P × P in the intersection of Δ.Γ_σ in the relative A-surface X ×_{SpecA} X, where Δ is the diagonal and Γ_σ is the graph of σ.

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Numerical conditions

Corollary

Assume that $V = G_1(P)$ is an elementary abelian group with more than one $\mathbb{Z}/p\mathbb{Z}$ components. If V can be lifted to characteristic zero, then $\frac{|V|}{p} \mid m+1$.

Proof.

The group V acts on the generic fibre, where the possible stabilizers of points are cyclic groups. Since V is not cyclic it can not fix any point P_i in the intersection of the branch locus with the generic fibre. Only a cyclic component of V can fix a point P_i . Since V act on the set of points P_i , each orbit has |V|/p elements. For any element $\sigma \in V$ the Artin representation $\arg_{P_i}(\sigma) = 1$ (no wild ramification at the generic fibre). The number of $\{P_i\}$ is m + 1 and the desired result follows.

Conditions for the existence of D

We are looking for a $G_1(P)$ -invariant divisor intersecting the special fibre at mP

Let $T = {\bar{P}_i}_{i=1,...,s}$ be the set of horizontal branch divisors that restricts to P in the special fibre of X. This space is acted on by $G_1(P)$, since \bar{P}_i are all components of the branch divisor. Each of the \bar{P}_i is fixed by some element of G but not necessarily by the whole group $G_1(P)$, unless $G_1(P)$ is isomorphic to $\mathbb{Z}/p\mathbb{Z}$. Let O(T) be the set of orbits of T under the action of the group $G_1(P)$, on T. A horizontal divisor D supported on T, is invariant under the action of $G_1(P)$ if and only if, the divisor D is of the form:

$$D = \sum_{C \in O(T)} n_C \sum_{P \in C} P,$$

i.e., horizontal Cartier divisors that are in the same orbit of the action of $G_1(P)$ must appear with the same weights $D_{E} \mapsto E_{E} = E$

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Easy numerical conditions

• If one orbit of $G_1(P)$ acting on T is a singleton, i.e., there is a \overline{P}_i fixed by the whole group $G_1(P)$, then the semigroup

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$$\sum_{C\in O(T)} n_C \# C, \quad n_C \in \mathbb{N},$$

is the semigroup of natural numbers, and we are done. This is the case when the group $G_1(P)$ is cyclic.

If #T ≠ 0modp then there is at least one orbit that is a singleton. Indeed, if all orbits have more than one element then all orbits must have cardinality divisible by p, and since the set T is the disjoint union of orbits it must also have cardinality divisible by p.

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Lemma

If m is the first pole number that is not divisible by the characteristic, and $p \nmid m + 1$ then there is an orbit that consists of only one element.

Proof.

Since $G_1(P)$ is abelian P_i in the same orbit are fixed by the same subgroup $H \subset G_1(P)$. Fix a P_i . Its orbit has p^a elements $0 \le a$. If a = 0 then P_i is fixed by the whole group $G_1(P)$. Consider all P_j 's fixed by $\langle \sigma \rangle$. If their orbits have more than one element then the union of their orbits has order a power of p. This implies that the sum of the Artin representations at the generic fibre is divisible by pwhile on the special fibre it is m + 1, a contradiction.

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 $\begin{array}{c} \mbox{Representing } G_1(P) \mbox{ in vector space automorphisms} \\ \mbox{Deformation theory of curves with automorphisms} \\ \mbox{Computation with infinitesimals} \\ \mbox{Beyond Infenitesimals} \\ \mbox{Beyond Infenitesimals} \end{array}$

Limited application in lifting from positive characteristic

If the elementary abelian group $G_1(P)$ has more than two cyclic components, then there is no horizontal $G_1(P)$ -invariant divisor D contained in the branch locus and intersecting the special fibre at P with multiplicity m.

Indeed, since the stabilizers of elements in the generic fibre are cyclic groups of order p, all orbits of elements are divisible by p. Therefore, a $G_1(P)$ -invariant divisor should have degree divisible by p. This, can not happen since (m, p) = 1.

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2-dimensional representations

$$\sigma\left(\frac{1}{t^m}\right) = \frac{1}{t^m} + c(\sigma),$$

Define the following representation of V to automorphisms of formal powerseries rings:

$$\rho: V \to \operatorname{Aut}(k[[t]])$$

 $\sigma \mapsto \rho_{\sigma},$

where

$$\rho_{\sigma}(t) = \frac{t}{(1+c(\sigma)t^m)^{1/m}} = t \left(1 + \sum_{\nu=1}^{\infty} \binom{-1/m}{\nu} c(\sigma)^{\nu} t^{\nu m}\right)$$

Deformations of Curves with automorphisms

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Small extensions

Let

$$0 \to \ker \pi \to \mathcal{A}' \to \mathcal{A} \to 0$$

be a small extension, i.e. $\ker \pi \cdot m_{A'} = 0$, where $m_{A'}, m_A$ are the maximal ideals of A, A' respectively. Assume we have the following data:

•
$$C(\sigma) = c(\sigma) + \delta(\sigma)$$

•
$$\lambda(\sigma) = 1 + \lambda_1(\sigma)$$
,

•
$$f = f + \Delta$$
.

Where $\delta(\sigma), \lambda_1(\sigma) \in m_{A'}, \Delta \in m_{A'}((t))$.

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Then we have:

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This implies that $(f = 1/t^m)$:

$$\tilde{\rho}_{\sigma}\left(\frac{1}{t^{m}}\right) = \frac{\lambda(\sigma)}{t^{m}} + c(\sigma) + \left(\delta(\sigma) + \lambda(\sigma)\Delta - \tilde{\rho}_{\sigma}\Delta\right),$$

or equivalently:

$$\tilde{\rho}_{\sigma}(t) = \rho_{\sigma}(t) + t \left(\sum_{\nu=0}^{\infty} \binom{-1/m}{\nu} \sum_{k=1}^{\nu} \binom{\nu}{k} E^{k} c(\sigma)^{\nu-k} t^{m\nu} \right), \quad (6)$$

where

$$E = \delta(\sigma) + \lambda(\sigma)\Delta - \tilde{\rho}_{\sigma}\Delta + \frac{\lambda_1(\sigma)}{t^m} \in m_{\mathcal{A}'}((t)).$$

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Suppose that we can extend $\rho_{\sigma}(t)$ to a homomorphism $\tilde{\rho}_{\sigma,A} \in \operatorname{Aut} A[[t]]$. A further extension of ρ_{σ} over A' is then given by

$$\tilde{
ho}_{\sigma,\mathcal{A}'}(t) = \tilde{
ho}_{\sigma,\mathcal{A}}(t) +
ho_{\sigma}'(t),$$

where $ho'_{\sigma}(t) \in \ker \pi[[t]]$. Since $\Delta \in m_{A'}((t))$ and since $\ker \pi \cdot m_{A'} = 0$

$$\tilde{\rho}_{\sigma,\mathcal{A}'}(\Delta) = \tilde{\rho}_{\sigma,\mathcal{A}}(\Delta).$$

Thus, equation (6) allows us to compute the value of $\tilde{\rho}_{\sigma,A'}(t)$ from the value of $\tilde{\rho}_{\sigma,A}(t)$.

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First Order infinitesimals

V is the elementary abelian group $G_1(P)$.

$$V = \oplus_{i=1}^{s} V_i$$

where $V_i \cong \mathbb{Z}/p\mathbb{Z}$.

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First Order infinitesimals

Proposition

Let
$$m + 1 = \sum_{i \ge 0} b_i p^i$$
 be the p-adic expansion of m . If $\left\lfloor \frac{2b_0}{p} \right\rfloor = \left\lfloor \frac{b_0 + b_{\nu-1}}{p} \right\rfloor$ for all $2 \le \nu \le s$, then the map

$$\Psi: H^{1}(V, \mathcal{T}_{\mathcal{O}}) \to \bigoplus_{\nu=1}^{s} H^{1}(V_{\nu}, \mathcal{T}_{\mathcal{O}}),$$
(7)

sending $v\mapsto \sum_{\nu=1}^s \operatorname{res}_{V\to V_i} v$ is an isomorphism. Moreover

$$H^{1}(V, \mathcal{T}_{\mathcal{O}}) \cong \bigoplus_{i=2, \binom{i/m}{p-1}=0}^{m+1} b_{i} \frac{1}{t^{i}}, \qquad (8)$$

where $b_i \in \text{Hom}(V, k)$.

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Comparison

The map

$$\mathcal{T}_{\mathcal{O}} \to k[[t]]/t^{m+1}$$
 (9)
 $f(t)rac{d}{dt} \to f(t)/t^{m+1}$

is a V-equivariant isomorphism.

Proposition

Assume that P is a wild ramified point of X with a two dimensional representation attached to it. An extension $\tilde{\rho}_{\sigma}$ gives rise to the following cocyle in $H^1(V, \frac{1}{t^{m+1}}k[[t]])$:

$$\alpha(\sigma) = \frac{1}{m} \left(\frac{\lambda_1(\sigma)}{t^m} + \lambda_1(\sigma)c(\sigma) - \delta(\sigma) + \sum_{\mu=0}^{m-1} \frac{2m - \mu}{m} \frac{a_{\mu,1}c(\sigma)}{t^{m-\mu}} \right)$$

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Also

$$\left. \frac{d}{d\epsilon} \tilde{\rho}_{\sigma} \circ \rho_{\sigma}^{-1} \right|_{\epsilon=0}$$

is the image of $\tilde{\rho}$ in $H^1(V, \frac{1}{t^{m+1}}k[[t]])$.

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Some conclusions

Corollary

If $G_1(P) = \mathbb{Z}/p\mathbb{Z}$ or if the assumptions of proposition 3.1 hold then the tangent vector corresponding to $0 \neq \frac{d}{dt} \in H^1(V, \mathcal{T}_{\mathcal{O}})$ is an obstructed deformation.

Proof.

The element $\frac{d}{dt}$ corresponds to $\frac{1}{t^{m+1}} \in H^1(V, \frac{1}{t^{m+1}}k[[t]])$. It is impossible to obtain a vector in the direction of $\frac{1}{t^{m+1}}$ using a matrix representation, i.e. an element in $F(\cdot)$. Notice that since we have assumed that the representation attached to P is two dimensional we have that m > 1.

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More obstructions

Corollary

Assume that $p \nmid m+1$ and the assumptions for computing $H^1(V, \mathcal{T}_{\mathcal{O}})$ hold. Assume also that V is an elementary abelian group with more than one component. Unubstructed deformations should satisfy $b_i(\sigma) = \lambda_i c(\sigma)$ for some element $\lambda_i \in k$.

Proof.

Condition $p \nmid m + 1$ implies that every deformation is coming from a matrix representation and condition follows by using the image of deformations comming from matrix representations.

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Representing Matrix Deformations

Let H be a p-group with identity e and let $\rho: H \to L_n(k)$ be a faithful representation of H. Let $\Lambda[H, n]$ be the commutative Λ -algebra generated by X_{ij}^g for $g \in H, 1 \le j \le i \le n$, such that

$$X_{ij}^e = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{if } i \neq j \end{cases}$$

$$X_{ij}^{gh} = \sum_{l=1}^{n} X_{il}^{g} X_{lj}^{h} \text{ for } g, h \in H \text{ and } 1 \leq i,j \leq n.$$
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and

$$X_{ij}^g = 0$$
 for $i < j$ and for all $g \in H$.

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Representing Matrix representations

For every Λ -algebra A we have a canonical bijection

 $\operatorname{Hom}_{\Lambda-\operatorname{Alg}}(\Lambda[H, n], A) \cong \operatorname{Hom}(H, L_n(A)),$

where a Λ -algebra homomorphism $f : \Lambda[H, n] \to A$ corresponds to the group homomorphism ρ_f that sends $g \in H$ to the matrix $(f(X_{ij}^g))$.

The representation $\rho: H \to L_n(k)$ corresponds to a homomorphism $\Lambda[H, n] \to k$. Its kernel is a maximal ideal, which we denote by m_{ρ} . We take the completion R(H) of $\Lambda[H, n]$ at m_{ρ} . The canonical map $\Lambda[H, n] \to R(H)$, gives rise to a map $\rho_{R(H)}: H \to L_n(R(H))$, such that the diagram:



Deformations of Curves with automorphisms

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Deformations of Curves with automorphisms

Elementary Abelian Groups

- Equicharacteristic Deformations. Set x_i = X^{gi}₂₁ − c(gi), gi generates the group as a Z-module. R(H) := k[[x₁,...,x_n]].
- Liftings to characteristic zero. Set $y_i = X_{22}^{g_i} 1$. Condition $\sum_{\nu=0}^{p-1} (X_{22}^g)^{\nu} = 0$. and commuting relation $X_{21}^g - X_{21}^h + X_{22}^g X_{21}^h - X_{22}^h X_{21}^g = 0$. Interesting case is that with one component. After computing the conjugation classes we obtain:

$$R' := \Lambda[[y]] \left/ \left\langle \sum_{\nu=1}^{p} \binom{p}{\nu} y^{\nu-1} \right\rangle \right.$$

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Obstructions

Lemma

Let $\tilde{\rho}_{\sigma,A} = {\{\tilde{\rho}_{\sigma,A}(t)\}_{\sigma \in V}}$ be a representation of $V \to \operatorname{Aut}A[[t]]$, and consider the corresponding element in F(A). If this element in F(A) can be lifted to an element in F(A') then $\tilde{\rho}_{\sigma,A}$ can be lifted to a representation $V \to \operatorname{Aut}A'[[t]]$.

Proof.

Consider extensions of the homomorphisms $\tilde{\rho}_{\sigma,A'} \in \operatorname{Aut} A'[[t]]$ for every $\sigma \in V$. The element $\tilde{\rho}_{\sigma,A'}\tilde{\rho}_{\tau,A'}\tilde{\rho}_{\sigma\tau,A'}^{-1}$ is a 2-cocycle and gives rise to a cohomology class in $H^2(V, \mathcal{T}_{\mathcal{O}})$. If the liftings are comming from liftings of matrix representations then there is no group theoretic obstruction in lifting $\tilde{\rho}_{\sigma,A}$ to $\tilde{\rho}_{\sigma,A'}$ since a simple computation shows that the lifts defined by satisfy the relations

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Proposition

Assume that the hypotheses of proposition relating matrix representations to local representation hold. Consider the ring R_1 defined by

 $R_{1} = \begin{cases} k & \text{in the equicharacteristic case} \\ R' & \text{in the mixed characteristic case} \end{cases}$

Let b = 1 if $p \mid m+1$ and b = 2 if $p \nmid m+1$. Let Σ be the subset of numbers $b \leq i \leq m$ so that $\left(\frac{i}{m}\right) = 0$. Consider the ring $\overline{R} := R_1[[X_i : i \in \Sigma]]$ and the k-vector space $W \subset H^1(V, \mathcal{T}_{\mathcal{O}})/\langle d/dt \rangle$ generated by elements $\lambda_i c(\sigma) t^{m+1-i} \frac{d}{dt}$. There is a surjection $R_P \to \overline{R}$ that induces an isomorphism $W \cong \operatorname{Hom}(\overline{R}, k[\epsilon]/\epsilon^2)$. The Krull dimension of R_P is equal to $\#\Sigma$.

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