



# Constructing Class invariants

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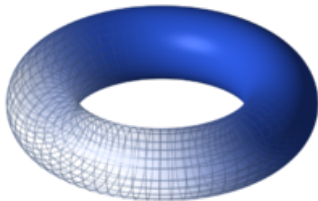
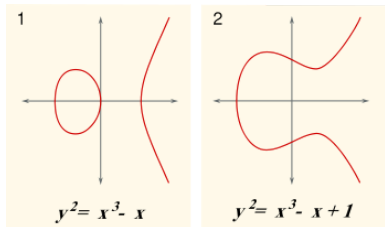
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An elliptic curve defined over a field  $K$  of characteristic  $p > 3$  is a curve given by the equation

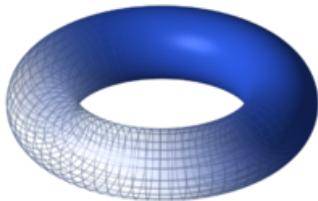
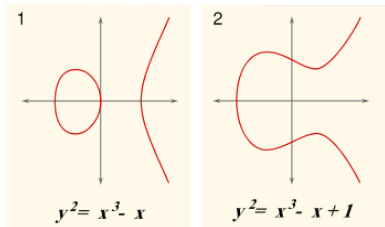
$$E : y^2 = x^3 + ax + b \text{ such that } 4a^3 + 27b^2 \neq 0.$$



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The set of points  $E(K)$  together with a point at infinity is an abelian group.

The set of points  $E(\mathbb{F}_p)$  form a finite abelian group. The following bound holds

$$\#E(\mathbb{F}_p) \leq q + 1 - a_r \leq q + 1 + 2\sqrt{q}.$$

### Discrete logarithm problem

Given elements  $P, Q$  on an abelian group so that  $nP = Q$ . Find  $n$ .

This is a difficult problem, we have to try all possible  $n$ , until we find the correct one.

**Abelian groups are usualy:**  $\mathbb{F}_{p^r}^*, E$ .

Even if the abelian group has a big order then it can be a product of small factors like  $(\mathbb{Z}/2\mathbb{Z})^n$  and the discrete logarithm problem is easy. For the elliptic curve cases, the discrete logarithm problem is difficult if the order of the group has order a prime number, therefore it is a cyclic group.

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## Construct prime order elliptic curves

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1. Randomly: Select random elliptic curves until we hit one with the correct order.
2. Complex multiplication method.

We will focus on the second method

Every elliptic curve over  $\mathbb{C}$  is a quotient of the universal covering space  $\mathbb{C}$  modulo a discrete subgroup - lattice  $L = \mathbb{Z} + \tau\mathbb{Z}$ ,  $\Im(\tau) > 0$ . Lattices  $L, L'$  give the same elliptic curves if and only if

$$\tau' = \frac{a\tau + b}{c\tau + d}, \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}(2, \mathbb{Z}).$$

The quotient map

$$\mathbb{H} \rightarrow \mathrm{SL}(2, \mathbb{Z}) \backslash \mathbb{H} \cong \mathbb{C}$$

is called the  $j$ -invariant. It is a  $\mathrm{SL}(2, \mathbb{Z})$ -invariant function hence periodic. It admits a Fourier expansion at  $q = e^{2\pi i\tau}$ ,

$$j(\tau) = \frac{1}{q} + 744 + 196884q + 21493760q^2 + 864299970q^3 + \dots$$

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**Remarks:** The coefficients of the Fourier expansion are integers. They are related to the dimensions of the irreducible representations of the Monster, the biggest sporadic simple group with order

808017424794512875886459904961710757005754368000000000.

A number field is a finite extension of the field  $\mathbb{Q}$ , i.e. a field

$$K = \mathbb{Q}[x]/f(x),$$

where  $f(x)$  is an irreducible polynomial of  $\mathbb{Q}[x]$ . The ring of algebraic integers  $\mathcal{O}$  is the ring consisted of elements

$$\mathcal{O} = \{x \in K : \text{such that } x \text{ is a root of a monic polynomial } f(x) \in \mathbb{Z}[x]\}.$$

The ring  $\mathcal{O}$  is not a unique factorization domain but it is a Dedekind ring: every ideal is decomposed uniquely as a product of prime ideals.

$$I = P_1^{e_1} \cdots P_r^{e_r}.$$

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We consider the semigroup of ideals of  $\mathcal{O}$ , which is enlarged to a group adding fractional ideals. These are abelian additive subgroups  $I$  of the number field  $K$ , such that for some  $x \in \mathcal{O}$  the set  $xI$  is an ideal of the ring  $\mathcal{O}$ . In this way we construct the group of fractional ideals  $I(\mathcal{O})$ .

**Example:** The fractional ideals of  $\mathbb{Z}$  are the elements  $\frac{m}{n}\mathbb{Z}$ ,  $m, n \in \mathbb{Z}$ .

We also consider the subgroup  $PI(\mathcal{O})$  of principal fractional ideals  $a\mathcal{O}$ , where  $a \in K$ .

The quotient is the class group

$$Cl(\mathcal{O}) = \frac{I(\mathcal{O})}{PI(\mathcal{O})}.$$

One can show that the class group is a finite group.

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Consider an extension of number fields  $L/K$ . A prime ideal  $P$  of  $\mathcal{O}_K$  can be seen as an ideal of  $\mathcal{O}_L$  by scalar extension  $P\mathcal{O}_L$ . It does not remain prime so it can be written as

$$P\mathcal{O}_L = \mathfrak{Q}_1^{e_1} \cdots \mathfrak{Q}_r^{e_r},$$

where  $\mathfrak{Q}_i$  are prime ideals of  $\mathcal{O}_L$ .

If all  $e_i = 1$  then we will say that  $P$  is not ramified in the extension  $L/K$ . If no ideal is ramified then the extension is called *unramified*.

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### Theorem

For every number field there is a Galois extension  $H_K$  defined to be the maximal unramified abelian extension of . For the Galois group is the class group of  $K$   $\text{Gal}(H_K/K) = \text{Cl}(\mathcal{O}_K)$ .

The field  $H_K$  is called the Hilbert's class field.

**Remarks:** Unramified extensions in Riemann surface theory correspond to topological coverings. Fields with class group  $\text{Cl}(\mathcal{O}_K) = \{1\}$  cannot have unramified covers therefore are in some sense "simply connected". For example  $\mathbb{Q}$  simply connected. In this direction: The fact that every ideal of  $\mathbb{Z}$  is principal is the number theoretical analogon to the topological theorem: "every vector bundle over simply connected manifold is globally trivial". The group

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Suppose that  $K = \mathbb{Q}(\sqrt{-d})$ ,  $d > 0$  with  $d$  square free. We compute that

$$\mathcal{O}_K = \begin{cases} \mathbb{Z}[\sqrt{-d}] & \text{if } -d \equiv 2, 3 \pmod{4} \\ \mathbb{Z}\left[\frac{1+\sqrt{-d}}{2}\right] & \text{if } -d \equiv 1 \pmod{4} \end{cases}$$

We will show soon that these are the endomorphisms of an elliptic curve with complex multiplication.

## Quadratic forms of discriminant $D$

$$ax^2 + bxy + cy^2; b^2 - 4ac = -D, a, b, c \in \mathbb{Z} \quad (a, b, c) = 1$$

K.F. Gauss Disquisitiones Arithmeticae.

We will say that two quadratic forms are equivalent if there is an element in  $SL(2, \mathbb{Z})$  sending one to the other. The equivalence classes are in one to one correspondence to the class group and they can be computed easily since a full set of representatives is given by elements  $(a, b, c)$  such that

$$|b| \leq a \leq \sqrt{\frac{D}{3}}, a \leq c, (a, b, c) = 1, b^2 - 4ac = -D$$

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## Complex multiplication

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We consider the ring of endomorphisms of an elliptic curve. In most of the cases  $\text{End}(E) \cong \mathbb{Z}$ .

$$[n] : E \rightarrow E \quad P \mapsto nP$$

There are cases where  $\text{End}(E)$  is an order in an imaginary quadratic field. For example

$$\text{End}(\mathbb{C}/\mathbb{Z}[i]) = \mathbb{Z}[i].$$

### Finite fields

Frobenius endomorphism Frobenius  $F : x \mapsto x^p$  is an element in  $\text{End}(E)$ . It satisfies a characteristic polynomial

$$x^2 - \text{tr}(F)x + q = 0.$$

$$N_p = p + 1 \pm \text{tr}(F)$$



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### *theorem*

Consider  $\tau \in \mathbb{H}$ , which is a root of a monic polynomial in  $\mathbb{Z}[x]$  of degree 2. We set  $E_\tau = \mathbb{C}/(\mathbb{Z} + \tau\mathbb{Z})$ . Then

1.  $\text{End}(E_\tau) = E_\tau$ .
2.  $j(\tau) = j(E_\tau)$  is an algebraic integer. Its irreducible polynomial is given by the equation

$$H_D(x) = \prod_{[\alpha, b, c] \in \text{CL}(\mathbb{K})} \left( x - j \left( \frac{-b + \sqrt{-D}}{2a} \right) \right) \in \mathbb{Z}[x].$$

3. The element  $j(\tau)$  generates the Hilber class field.

### *Kronecker-Weber theorem*

Every abelian extension is a subfield of a cyclotomic  $\mathbb{Q} \left( \exp \left( \frac{2\pi i}{n} \right) \right)$ .

### *Kronecker's Jugendtraum*

Produce Hilbert's class fields as special values of complex functions.

### *What is known?*

Complex multiplication for elliptic curves.

Generalization of imaginary quadratic extensions CM-fields and abelian varieties with complex multiplication (Shimura).

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1. We have to construct the  $j$ -invariant.

2. By Hasse bound we have that

$$Z := 4p - (p + 1 - m)^2 \geq 0 \Rightarrow Z = Dv^2.$$

3. The equation

$$4p = u^2 + Dv^2$$

for some  $u$  satisfies  $m = p + 1 \pm u$ . The negative number  $-D$  is called CM-discriminant for the prime  $p$

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$$x^2 - \text{tr}(F)x + p \mapsto \Delta = \text{tr}(F)^2 - 4p = -Dv^2.$$



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## Reduction of elliptic curve modulo $\text{mod } p$

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$(\mathbb{C})$



$(\mathbb{F}_p)$

$\tau \in \text{End}(E(\mathbb{C}))$



$F \in \text{End}(E(\mathbb{F}_p))$

$j$  is a root of  $H_D(x) \in \mathbb{Z}[x]$



$j$  is a root of  $H_D(x) \text{ mod } p \in \mathbb{F}_p[x]$

1. Select a prime  $p$ . Select the smallest  $D$  together with  $u, v \in \mathbb{Z}$  such that  $4p = u^2 + Dv^2$ .
2. If one of  $p + 1 - u, p + 1 + u$  has order a prime number we proceed to elliptic curve construction. If not we try a different  $p$ .
3. Compute the Hilbert polynomial  $H_D(x) \in \mathbb{Z}[x]$  using the values of the  $j$ -invariant. Next compute the polynomial  $H_D(x) \bmod p$ . One root if the  $j$  invariant we are looking for which can be given by (for  $j \neq 0, 1728$ )

$$y^2 = x^3 + 3kc^2x + 2kc^3, k = j/(1728 - j), c \in \mathbb{F}_p$$



*There is a problem!*

---

The coefficients of the Hilbert polynomial grow very fast The Hilbert polynomial for  $\mathbb{Q}(\sqrt{-299})$  is:

$$\begin{aligned} & x^8 + 391086320728105978429440x^7 \\ & - 28635280874816126174326167699456x^6 \\ & + 2094055410006322146651491130721133658112x^5 - \\ & 186547260770756829961971675685151791296544768x^4 \\ & + 6417141278133218665289808655954275181523718111232x^3 \\ & - 19207839443594488822936988943836177115227877227364352x^2 \\ & + 45797528808215150136248975363201860724351225694802411520x - \\ & 18273883965326272223717626628647422907813731016193733558272 \end{aligned}$$

### Dedekind's $\eta$ -function

$$\eta(\tau) = \exp\left(\frac{2\pi i\tau}{24}\right) \prod_{n=1}^{\infty} (1 - q^n), \quad q = \exp(2\pi i\tau), \tau \in \mathbb{H}.$$

which leads to the Weber functions:

$$f(z) = e^{-\pi i/24} \frac{\eta\left(\frac{\tau+1}{2}\right)}{\eta(\tau)}, \quad f_1(\tau) = \frac{\eta\left(\frac{\tau}{2}\right)}{\eta(\tau)}, \quad f_2(\tau) = \sqrt{2} \frac{\eta(2\tau)}{\eta(\tau)}.$$

Which can also produce the Hilbert class field (D. Zagier- N. Yui).

*What is special about them?*

They are modular functions

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We consider the group

$$\Gamma(N) = \left\{ A := \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}(2, \mathbb{Z}) \text{ with } A \equiv I_2 \pmod{N} \right\}.$$

The quotient space  $\Gamma(N) \backslash \mathbb{H}$  is a Riemann surface  $Y(N)$  which can be compactified to a compact Riemann surface  $X(N)$  adding some points on the line  $\mathrm{Im}(s) = 0$ . The meromorphic functions on  $X(N)$  are called modular functions of level  $N$ .

The Riemann surfaces  $X(N)$  correspond to algebraic curves defined over the field  $\mathbb{Q}(\zeta_N)$ . The Fourier expansions of modular functions of level  $N$  have coefficients in  $\mathbb{Q}(\zeta_N)$ .

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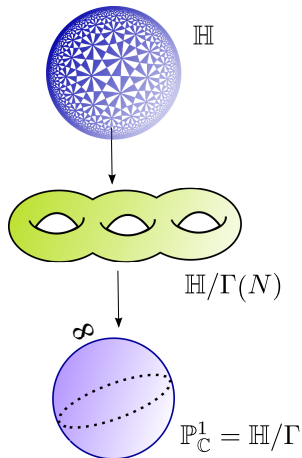
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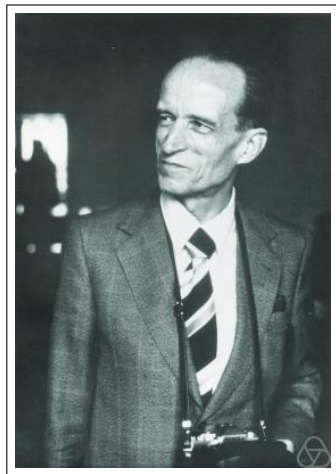
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# Modular functions of level $N$



$$\begin{array}{c} \mathcal{M}(X(N)) \\ \uparrow \\ \mathbb{C}(t) \end{array} \left. \vphantom{\begin{array}{c} \mathcal{M}(X(N)) \\ \uparrow \\ \mathbb{C}(t) \end{array}} \right\} \text{SL}(2, \mathbb{Z}/N\mathbb{Z})$$

*“There are five elementary arithmetical operations: addition, subtraction, multiplication, division, and modular forms.”*





### Theorem

Let  $\mathcal{O} = \mathbb{Z}[\theta]$  be the ring of algebraic integers of the imaginary quadratic field  $K$ , and  $x^2 + Bx + C$  the minimal polynomial of  $\theta$ . We consider a natural number  $N > 1$  and  $x_1, \dots, x_n$  the generators of the group  $(\mathcal{O}/N\mathcal{O})^*$ ,  $x_i = a_i + b_i\theta \in \mathbb{Z}[\theta]$ . We also consider the matrix

$$A_i = \begin{pmatrix} a_i - Bb_i & -Cb_i \\ b_i & a_i \end{pmatrix}.$$

If  $f$  is a modular function of level  $N$  and for all matrices  $A_i$  we have

$$f(\theta) = f^{A_i}(\theta), \mathbb{Q}(j) \subset \mathbb{Q}(\theta),$$

then  $f(\theta)$  generates the Hilbert class field.



$$t_n = \sqrt{3} \frac{\eta(3\tau_n)\eta(\frac{1}{3}\tau_n + \frac{2}{3})}{\eta^2(\tau_n)},$$

$$\tau_n = -\frac{1}{2} + i\frac{\sqrt{n}}{2}, n \equiv 11 \pmod{24}$$

$n$	$p_n(t)$
11	$t - 1$
35	$t^2 + 1 - 1$
59	$t^3 + 2t - 1$
83	$t^3 + 2t^2 + 2t - 1$
107	$t^3 - 2t^2 + 4t - 1$

**Claim**  $p_n$  generate the Hilbert class field.



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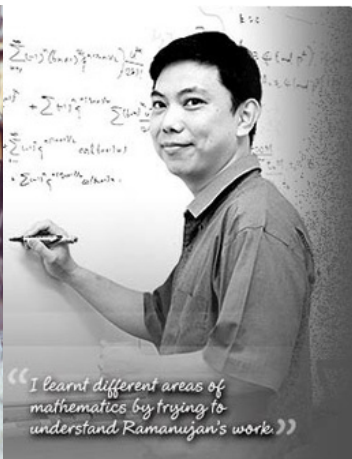
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They proved that Ramanujan was right and they asked how polynomials for other values of  $n$  can be constructed  
E. Konstantinou and A.K. answered this question

$$p_{299}(x) = x^8 + x^7 - x^6 - 12x^5 + 16x^4 - 12x^3 + 15x^2 - 13x + 1.$$









## Can we find new invariants?

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Shimura's reciprocity law allows us to verify that a modular function generates the Hilbert's class field. Can we construct such modular functions?

All known such invariants came out from extremely talented Mathematicians.



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All known such invariants came out from extremely talented Mathematicians.

We can construct finitely dimensional vector spaces  $V$  consisted of modular functions of level  $N$  such that  $GL(2, \mathbb{Z}/N\mathbb{Z})$  is acting on  $V$ . We write  $a \in GL(2, \mathbb{Z}/N\mathbb{Z})$  as  $b \cdot \begin{pmatrix} 1 & 0 \\ 0 & d \end{pmatrix}$ ,  $d \in \mathbb{Z}/N\mathbb{Z}^*$  and  $b \in SL(2, \mathbb{Z}/N\mathbb{Z})$ .

The group  $SL(2, \mathbb{Z}/N\mathbb{Z})$  is generated by the elements  $S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$   
and  $T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ .

The action of  $S$  on functions  $g \in V$  is defined by  $g \circ S = g(-1/z) \in V$  and the action of  $T$  by  $g \circ T = g(z+1) \in V$ .

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Finally the action  $\begin{pmatrix} 1 & 0 \\ 0 & d \end{pmatrix}$  is given by the action of elements  $\sigma_d \in \text{Gal}(\mathbb{Q}(\zeta_N)/\mathbb{Q})$  on Fourier coefficients.

Since every element in  $\text{SL}(2, \mathbb{Z}/N\mathbb{Z})$  is a word in  $S, T$  we have a function  $\rho$

$$\begin{array}{ccc} & \xrightarrow{\rho} & \\ \left(\frac{0}{N0}\right)^* & \xrightarrow{\phi} \text{GL}(2, \mathbb{Z}/N\mathbb{Z}) \longrightarrow & \text{GL}(V), \end{array} \quad (1)$$

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The function  $\rho$  as it is defined is not a homomorphism, but it satisfies the cocycle condition

$$\rho(\sigma\tau) = \rho(\tau)\rho(\sigma)^T \quad (2)$$

and gives rise to a class in  $H^1(G, GL(V))$ , where  $G = (\mathcal{O}/N\mathcal{O})^*$ . The restriction of  $\rho$  on the subgroup  $H = \ker \phi \subset G$  defined as

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We select the vector space  $V_n$  of invariant polynomials of degree  $n$ .

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Modified version of Glasby-Howlett probabilistic algorithm

$$B_Q := \sum_{\sigma \in G/H} \rho(\sigma) Q^\sigma. \quad (4)$$

If we find a  $2 \times 2$  matrix in  $GL(2, \mathbb{Q}(\zeta_N))$  so that  $B_Q$  is invertible, then  $P := B_Q^{-1}$ .

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## Example

For  $n = -571$  the group  $H$  has order 144 and  $G$  has order 3456. We compute that the polynomials

$$l_1 := g_0 g_2 + \zeta_{72}^6 g_1 g_3, \quad l_2 := g_0 g_3 + (-\zeta_{72}^{18} + \zeta_{72}^6) g_1 g_2$$

are invariant under the action of  $H$ .

### Final invariants

$$e_1 := (-12\zeta_{72}^{18} + 12\zeta_{72}^6) g_0 g_3 + 12\zeta_{72}^6 g_0 g_3 + 12g_1 g_2 + 12g_1 g_3,$$
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# Examples

Invariant	polynomial
Hilbert	$t^5 + 400497845154831586723701480652800t^4 +$ $818520809154613065770038265334290448384t^3 +$ $4398250752422094811238689419574422303726895104t^2$ $- 16319730975176203906274913715913862844512542392320t$ $+ 15283054453672803818066421650036653646232315192410112$
$g_0^{12} g_1^{12} + g_2^{12} g_3^{12}$	$t^5 - 5433338830617345268674t^4 + 90705913519542658324778088t^3$ $- 3049357177530030535811751619728t^2$ $- 390071826912221442431043741686448t$ $- 12509992052647780072147837007511456$
$e_1$	$t^5 - 936t^4 - 60912t^3 - 2426112t^2 - 40310784t - 3386105856$
$e_2$	$t^5 - 1512t^4 - 29808t^3 + 979776t^2 + 3359232t - 423263232$

$$\nu_{N,0} := \sqrt{N} \frac{\eta \circ \begin{pmatrix} N & 0 \\ 0 & 1 \end{pmatrix}}{\eta} \quad \text{and} \quad \nu_{k,N} := \frac{\eta \circ \begin{pmatrix} 1 & k \\ 0 & N \end{pmatrix}}{\eta}, \quad 0 \leq k \leq N-1.$$

These are known to be modular functions of level  $24N$ . Notice that  $\sqrt{N} \in \mathbb{Q}(\zeta_N) \subset \mathbb{Q}(\zeta_{24 \cdot N})$  and an explicit expression of  $\sqrt{N}$  in terms of  $\zeta_N$  can be given by using Gauss sums

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## Action of $SL(2, \mathbb{Z})$

In order to describe the  $SL(2, \mathbb{Z})$ -action we have to describe the action of the two generators  $S, T$  of  $SL(2, \mathbb{Z})$  given by  $S : z \mapsto -\frac{1}{z}$  and  $T : z \mapsto z + 1$ . Keep in mind that

$$\eta \circ T(z) = \zeta_{24}\eta(z) \text{ and } \eta \circ S(z) = \zeta_8^{-1}\sqrt{iz}\eta(z).$$

We compute that

$$\nu_{N,0} \circ S = \nu_{0,N} \text{ and } \nu_{N,0} \circ T = \zeta_{24}^{N-1}\nu_{N,0},$$

$$\nu_{0,N} \circ S = \nu_{N,0} \text{ and } \nu_{0,N} \circ T = \zeta_{24}^{-1}\nu_{1,N},$$

for  $1 \leq k < N - 1$  and  $N$  is prime

$$\nu_{k,N} \circ S = \left(\frac{-c}{n}\right) i^{\frac{1-n}{2}} \zeta_{24}^{N(k-c)} \text{ and } \nu_{k,N} \circ T = \zeta_{24}^{-1}\nu_{k+1,N},$$

where  $c = -k^{-1} \pmod{N}$ .





## Example = 5

Assume that  $N = 5$  and  $D = -91$ . We compute that the group  $H$  of determinant 1 has invariants

$$\nu_{5,0} + (\zeta^{25} - \zeta^5)\nu_{3,5} \text{ and } \nu_{0,5} + (\zeta^{31} - \zeta^{23} - \zeta^{19} - \zeta^{15} + \zeta^7 + \zeta^3)\nu_{1,5}.$$

Using our method we arrive at the final invariants:

$$\begin{aligned} l_1 &= (-1224\zeta^{28} + 612\zeta^{20} + 2740\zeta^{16} + 1516\zeta^4 - 612)\nu_{5,0} \\ &\quad + (4256\zeta^{28} - 2128\zeta^{20} - 1516\zeta^{16} + 2740\zeta^4 + 2128)\nu_{0,5} \\ &\quad + (-1224\zeta^{31} - 2740\zeta^{27} + 612\zeta^{15} + 1224\zeta^{11} + 1516\zeta^3)\nu_{1,5} \\ &\quad + (1516\zeta^{29} - 612\zeta^{25} + 1224\zeta^{13} - 1516\zeta^9 - 2740\zeta)\nu_{3,5}, \end{aligned}$$

$$\begin{aligned} l_2 &= (-1952\zeta^{28} + 976\zeta^{20} + 2128\zeta^{16} + 176\zeta^4 - 976)\nu_{5,0} \\ &\quad + (2304\zeta^{28} - 1152\zeta^{20} - 176\zeta^{16} + 2128\zeta^4 + 1152)\nu_{0,5} \\ &\quad + (-1952\zeta^{31} - 2128\zeta^{27} + 976\zeta^{15} + 1952\zeta^{11} + 176\zeta^3)\nu_{1,5} \\ &\quad + (176\zeta^{29} - 976\zeta^{25} + 1952\zeta^{13} - 176\zeta^9 - 2128\zeta)\nu_{3,5}. \end{aligned}$$

The  $\mathbb{Q}$ -vector space generated by these two functions consists of class functions.



We can now compute the corresponding polynomials:

$$t^2 - 3060t - 28090800 \text{ and } t^2 - 4880t - 71443200.$$

Just for comparison the Hilbert polynomial corresponding to the  $j$  invariant is:

$$t^2 + 10359073013760t - 3845689020776448.$$



## Questions - further research

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1. **Select the best invariants** Minimizing height in a lattice
2. By examples we see that the best invariants are in the case of monomials
3. There are cases  $n \bmod 24$  where no monomial invariants exist. In these cases our method gives us the best known results.



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