

# Minimal SU(5) Grand Unification

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# Ingredients of SM

## Principles:

- Locality
- Quantum Mechanics
- Poincare Invariance



QFT

## Structure:

- Gauge Theory
- SSB



Renormalizability

Must include every gauge invariant operators up to dim 4!  
RGEs govern parameters evolution!

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## Particle Content

EW Theory and QCD constitute SM.

- Gauge Group:  $SU(3)_C \times SU(2)_L \times U(1)_Y$
- Particles: belong to representations of the Gauge Group.
- Force Carriers: belong to the adjoint rep of the GG.
- Flavor Sector: CKM Mixing (CP Violations), GIM Mechanism (No FCNC), Yukawa Couplings break  $[U(3)]^5$ .
- Higgs Sector: Breaks GG to  $SU(3)_C \times U(1)_{em}$   
Custodial SU(2) Symmetry  $\rightarrow \rho = 1$ .
- B & L are accidental symmetries, but B+L is broken by Sphaleron.
- $\theta_{QCD}$

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## Open Questions

- Why 5 different reps for the particles?
- Why so many (19/26/28) parameters?
- Gauge Hierarchy Problem: EW vs Planck Scale?
- Neutrino Mass?
- Dark Matter?
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## Particle Content

Multiplet	Color	W. Isospin	Hypercharge
$Q_L$	3	2	+1/3
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$u_L^c$	$\bar{3}$	1	-4/3
$d_L^c$	$\bar{3}$	1	+2/3
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This assignment cancels all triangle anomalies!

Unification requires that the whole multiplet must transform according to the same Poincare rep.

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## Charge Conjugation

Charge conjugation transforms righthanded particles to lefthanded antiparticles  $\psi_{L,R}^c = C\bar{\psi}_{R,L}^T$ .

$$\chi_R \rightarrow \left(1 + \frac{i}{2}\epsilon \cdot \sigma - \frac{1}{2}n \cdot \sigma\right) \chi_R$$

$$\chi_L^c = i\sigma^2 \chi_R^* \rightarrow \left(1 + \frac{i}{2}\epsilon \cdot \sigma + \frac{1}{2}n \cdot \sigma\right) i\sigma^2 \chi_R^*$$

$$\bar{\psi}_L^c \gamma^\mu \chi_L^c = -\psi_R^T C^{-1} \gamma^\mu C \bar{\chi}_R^T = \psi_R^T (\gamma^\mu)^T \bar{\chi}_R^T = -\bar{\chi}_R \gamma^\mu \psi_R$$

$$\bar{\psi}_L^c \not{\partial} \psi_L^c = \bar{\psi}_L^c \gamma^\mu (\partial_\mu \psi_L^c) = -(\partial_\mu \bar{\psi}_R) \gamma^\mu \psi_R = \bar{\psi}_R \not{\partial} \psi_R$$

$$\bar{\chi}_R \chi_L = \left(C^T \left(C \bar{\chi}_R^T\right)\right)^T \chi_L = \chi_L^c C \chi_L \quad (1)$$

# Gauge Sector

SU(5) is the only simple rank 4 group that can be broken to SM!

$$\begin{pmatrix} G_{\mu}^{11} - \frac{2}{\sqrt{30}} B_{\mu} & G_{\mu}^{12} & G_{\mu}^{13} & X_{\mu}^1 & Y_{\mu}^1 \\ G_{\mu}^{21} & G_{\mu}^{22} - \frac{2}{\sqrt{30}} B_{\mu} & G_{\mu}^{23} & X_{\mu}^2 & Y_{\mu}^2 \\ G_{\mu}^{31} & G_{\mu}^{32} & G_{\mu}^{33} - \frac{2}{\sqrt{30}} B_{\mu} & X_{\mu}^3 & Y_{\mu}^3 \\ X_{\mu}^{1\dagger} & X_{\mu}^{2\dagger} & X_{\mu}^{3\dagger} & \frac{1}{\sqrt{2}} \left( A_{\mu}^3 + \sqrt{\frac{3}{5}} B_{\mu} \right) & W_{\mu} \\ Y_{\mu}^{1\dagger} & Y_{\mu}^{2\dagger} & Y_{\mu}^{3\dagger} & W_{\mu}^{\dagger} & \frac{1}{\sqrt{2}} \left( -A_{\mu}^3 + \sqrt{\frac{3}{5}} B_{\mu} \right) \end{pmatrix}$$

$$24 = (8, 1)_0 \oplus (3, 2)_{-5/3} \oplus (\bar{3}, 2)_{+5/3} \oplus (1, 3)_0 \oplus (1, 1)_0$$

The non-diagonal parts give raise to new interactions carried by the leptoquarks  $X^{+4/3}$ ,  $Y^{-1/3}$ !!!

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## Particle Content

Simplest rep:

$$\bar{5} = (\bar{3}, 1)_{+2/3} \oplus (1, 2)_{-1} \quad 10 = (\bar{3}, 1)_{-4/3} \oplus (3, 2)_{+1/3} \oplus (1, 1)_{+2}$$

Particles:

$$Q_L (3, 2)_{+1/3} \quad L_L (1, 2)_{-1} \quad u_L^c (\bar{3}, 1)_{-4/3} \quad d_L^c (\bar{3}, 1)_{+2/3} \quad \ell_L^c (1, 1)_{+2}$$

$$\psi_{\bar{5}} = \begin{pmatrix} d_L^{1c} \\ d_L^{2c} \\ d_L^{3c} \\ \ell_L \\ -\nu_L \end{pmatrix} \quad \psi_{10} = \begin{pmatrix} 0 & u_L^{3c} & -u_L^{2c} & -u_L^1 & -d_L^1 \\ -u_L^{3c} & 0 & u_L^{1c} & -u_L^2 & -d_L^2 \\ u_L^{2c} & -u_L^{1c} & 0 & -u_L^3 & -d_L^3 \\ u_L^1 & u_L^2 & u_L^3 & 0 & -\ell_L^c \\ d_L^1 & d_L^2 & d_L^3 & \ell_L^c & 0 \end{pmatrix}$$



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## Charge Quantization

$$\hat{Q} \text{ is traceless} \Rightarrow \hat{Q}\psi_{\bar{5}} = 0 \Rightarrow 3\hat{Q}d_L^c + \hat{Q}\ell_L = 0$$

$$\text{Charge Quantization: } \hat{Q}d = \frac{1}{N_c} \hat{Q}\ell$$

$$\hat{Q} = \text{diag} \left( -\frac{1}{3}, -\frac{1}{3}, -\frac{1}{3}, 1, 0 \right)$$

$$\hat{Q} = \hat{I}_3 + \frac{\hat{Y}}{2} \Rightarrow \hat{Y} = \frac{1}{3} \text{diag}(-2, -2, -2, 3, 3)$$

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## Gauge Invariance

The Fields Transform as

$$\psi_5 \rightarrow \psi'_5 = (\mathcal{I} - i\theta^a t^a) \psi_5 \quad \psi_{\bar{5}} \rightarrow \psi'_{\bar{5}} = (\mathcal{I} + i\theta^a t^{aT}) \psi_{\bar{5}}$$

$$\psi_{10}^{ij} \rightarrow \psi_{10}^{ij'} = \left[ \delta_k^i \delta_\ell^j - i\theta^a \left( \delta_\ell^j t_k^{ai} + \delta_k^i t_\ell^{aj} \right) \right] \psi_{10}^{k\ell}$$

As a result the Gauge Interactions are

$$\mathcal{L}_{\bar{5}} = i\bar{\psi}_{\bar{5}} \not{D} \psi_{\bar{5}} = i\bar{\psi}_{\bar{5}} \not{\partial} \psi_{\bar{5}} - \frac{g_5}{\sqrt{2}} \bar{\psi}_{\bar{5}} \not{Y}^T \psi_{\bar{5}},$$

$$\mathcal{L}_{10} = \frac{i}{2} \text{Tr} [\bar{\psi}_{10} \not{\partial} \psi_{10}] + \frac{g_5}{\sqrt{2}} \text{Tr} [\bar{\psi}_{10} \not{Y} \psi_{10}]$$



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## Coupling Constants

We separate the gauge bosons as

$$\mathcal{V}_\mu = \mathcal{G}_\mu + \mathcal{A}_\mu + \mathcal{B}_\mu + \mathcal{X}_\mu + \mathcal{Y}_\mu$$

$$\mathcal{L}_I = \mathcal{L}_I^{QCD} + \mathcal{L}_I^{SU(2)} + \mathcal{L}_I^{U(1)} + \mathcal{L}_I^X + \mathcal{L}_I^Y$$

$$\mathcal{L}_I^{U(1)} = \sqrt{\frac{3}{5}} \frac{g_5}{2} \left( \frac{1}{3} (\bar{u}_L \mathcal{B} u_L + \bar{d}_L \mathcal{B} d_L) + \frac{4}{3} \bar{u}_R \mathcal{B} u_R - \frac{2}{3} \bar{d}_R \mathcal{B} d_R - (\bar{\ell}_L \mathcal{B} \ell_L + \bar{\nu}_L \mathcal{B} \nu_L) - 2 \bar{\ell}_R \mathcal{B} \ell_R \right)$$

$$\mathcal{L}_I^{SU(2)} = \frac{g_5}{2} \left( \bar{u}_L \mathcal{A}^3 u_L - \bar{d}_L \mathcal{A}^3 d_L + \sqrt{2} \bar{d}_L \mathcal{W}^\dagger u_L + \sqrt{2} \bar{u}_L \mathcal{W} d_L + \bar{\nu}_L \mathcal{A}^3 \nu_L - \bar{e}_L \mathcal{A}^3 e_L + \sqrt{2} \bar{e}_L \mathcal{W}^\dagger \nu_L + \sqrt{2} \bar{\nu}_L \mathcal{W} e_L \right)$$

$$\begin{aligned} \mathcal{L}_I^{SU(3)} = \frac{g_5}{\sqrt{2}} & \left( \bar{d}^1 \mathcal{G}^{11} d^1 + \bar{d}^1 \mathcal{G}^{12} d^2 + \bar{d}^1 \mathcal{G}^{13} d^3 + \bar{d}^2 \mathcal{G}^{21} d^1 + \bar{d}^2 \mathcal{G}^{22} d^2 + \bar{d}^2 \mathcal{G}^{23} d^3 \right. \\ & + \bar{d}^3 \mathcal{G}^{31} d^1 + \bar{d}^3 \mathcal{G}^{32} d^2 + \bar{d}^3 \mathcal{G}^{33} d^3 + \bar{u}^1 \mathcal{G}^{11} u^1 + \bar{u}^1 \mathcal{G}^{12} u^2 + \bar{u}^1 \mathcal{G}^{13} u^3 \\ & \left. + \bar{u}^2 \mathcal{G}^{21} u^1 + \bar{u}^2 \mathcal{G}^{22} u^2 + \bar{u}^2 \mathcal{G}^{23} u^3 + \bar{u}^3 \mathcal{G}^{31} u^1 + \bar{u}^3 \mathcal{G}^{32} u^2 + \bar{u}^3 \mathcal{G}^{33} u^3 \right) \end{aligned}$$

We predict

$$g_5 = \sqrt{\frac{5}{3}} g' = g = g_s \quad \tan^2 \theta_W = 0.6$$

## Coupling Constants

We separate the gauge bosons as

$$\mathcal{V}_\mu = \mathcal{G}_\mu + \mathcal{A}_\mu + \mathcal{B}_\mu + \mathcal{X}_\mu + \mathcal{Y}_\mu$$

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$$\mathcal{L}_I^{U(1)} = \sqrt{\frac{3}{5}} \frac{g_5}{2} \left( \frac{1}{3} (\bar{u}_L \mathcal{B} u_L + \bar{d}_L \mathcal{B} d_L) + \frac{4}{3} \bar{u}_R \mathcal{B} u_R - \frac{2}{3} \bar{d}_R \mathcal{B} d_R - (\bar{\ell}_L \mathcal{B} \ell_L + \bar{\nu}_L \mathcal{B} \nu_L) - 2 \bar{\ell}_R \mathcal{B} \ell_R \right)$$

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## The Running Couplings

Problem:

$$\tan^2 \theta_W = 0.30073 \pm 0.00025 @ M_Z$$

Not really... The prediction refers to GUT scale!

We ignore threshold corrections and assume desert! Then  
1-loop RGEs for  $SU(N)$ :

$$\frac{1}{g_i^2(\mu)} = \frac{1}{g_i^2(Q)} + b_i \log(Q^2/\mu^2) \quad b_N = \frac{1}{(4\pi)^2} \left[ -\frac{11}{3}N + \frac{4}{3}n_g \right]$$

$$b_1 = \frac{1}{4\pi^2} \quad b_2 = -\frac{5}{24\pi^2} \quad b_3 = -\frac{7}{16\pi^2}$$

$$g_1 = g' = 0.357 \quad g_2 = g = 0.652 \quad g_3 = g_s = 1.221 @ M_Z$$

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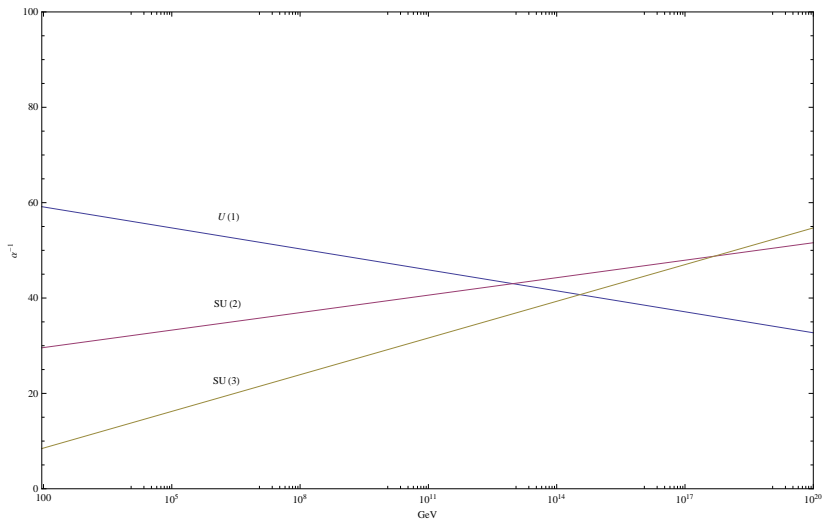
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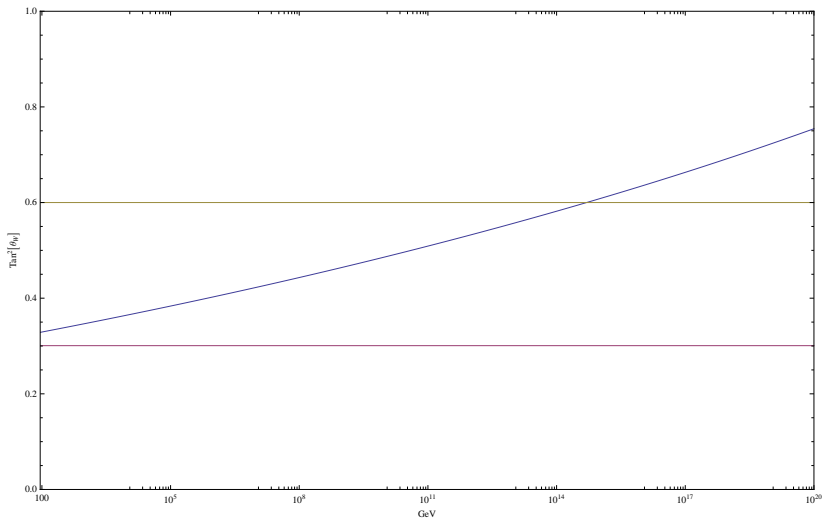
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# Coupling Unification



$\tan^2 \theta_W$ 



## Lets sum up!

- Particles
- Coupling Constants
- Gauge Bosons
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## The 24-Higgs

We introduce 24 Higgs fields in the adjoint rep of SU(5).

We define a  $5 \times 5$  traceless matrix  $\Sigma$  transforming according to  $5 \times \bar{5} = 24 \oplus 1$ .

$$\Sigma = 2\phi^a t^a$$

$$\mathcal{L}_{kin}^{\Sigma} = \frac{1}{4} \text{Tr} \left[ (\mathcal{D}_{\mu} \Sigma)^{\dagger} \mathcal{D}^{\mu} \Sigma \right] \quad \mathcal{D}_{\mu} \Sigma = \partial_{\mu} \Sigma - i \frac{g_5}{\sqrt{2}} [\mathcal{V}_{\mu}, \Sigma]$$

## SU(5) Breaking

The VEV must have the form

$$\langle \Sigma \rangle = v \, \text{diag} \left( 1, 1, 1, -\frac{3}{2}, -\frac{3}{2} \right)$$

Gauge Bosons Mass Matrix

$$m_{ab}^2 V_\mu^a V^{\mu b} = \frac{g_5^2}{8} \text{Tr} [[V_\mu, \langle \Sigma \rangle] [V^\mu, \langle \Sigma \rangle]]$$

As a result we get

$$m_X^2 = m_Y^2 = \frac{25}{8} g_5^2 v^2$$

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## The 24-potential

The potential is

$$V(\Sigma) = \frac{\mu^2}{2} \text{Tr} [\Sigma^2] + \frac{a}{4} [\text{Tr} [\Sigma^2]]^2 + \frac{b}{2} \text{Tr} [\Sigma^4]$$

$$a > 0, \quad 15a + 7b > 0, \quad \mu^2 < 0$$

At minimum

$$V(\langle \Sigma \rangle) = \frac{15}{2} \left( \frac{\mu^2}{2} v^2 + \frac{15}{8} a v^4 + \frac{7}{8} b v^4 \right)$$

This leads to

$$\frac{\partial}{\partial v} V(\langle \Sigma \rangle) = 0 \quad \Rightarrow \quad \mu^2 + \frac{15}{2} a v^2 + \frac{7}{2} b v^2 = 0$$

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## 24-Higgs Masses

We write:

$$\Sigma = \langle \Sigma \rangle + \begin{pmatrix} H_{11}^8 + \sqrt{\frac{2}{15}} H_0 & H_{12}^8 & H_{13}^8 & \tilde{H}_1^X & \tilde{H}_1^Y \\ H_{21}^8 & H_{22}^8 + \sqrt{\frac{2}{15}} H_0 & H_{23}^8 & \tilde{H}_2^X & \tilde{H}_2^Y \\ H_{31}^8 & H_{32}^8 & H_{33}^8 + \sqrt{\frac{2}{15}} H_0 & \tilde{H}_3^X & \tilde{H}_3^Y \\ \tilde{H}_1^{X\dagger} & \tilde{H}_2^{X\dagger} & \tilde{H}_3^{X\dagger} & \frac{1}{\sqrt{2}} H_Z - \sqrt{\frac{3}{10}} H_0 & H^+ \\ \tilde{H}_1^{Y\dagger} & \tilde{H}_2^{Y\dagger} & \tilde{H}_3^{Y\dagger} & H^- & -\frac{1}{\sqrt{2}} H_Z - \sqrt{\frac{3}{10}} H_0 \end{pmatrix}$$

The mass spectrum is:

$$m_8^2 = \frac{5}{2} b v^2 \quad m_Z^2 = m_{\pm}^2 = 10 b v^2 \quad m_0^2 = -2 \mu^2$$

$\tilde{H}^X$  &  $\tilde{H}^Y$  are would be Goldstone Bosons absorbed by  $X$  &  $Y$  Gauge Bosons.



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## The 5-Higgs

The Higgs 5plet is  $H = (h^1 \ h^2 \ h^3 \ h^+ \ h^0)^T$

VEV must have the form  $\langle H \rangle = \frac{1}{\sqrt{2}} (0 \ 0 \ 0 \ 0 \ v_0)^T$

The potential is

$$V(H) = \mu_0^2 |H|^2 + \lambda |H|^4 \quad \mu_0^2 < 0, \quad \lambda > 0$$

Minimalization yields

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As in SM  $W$  &  $Z$  Bosons acquire mass

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Obviously this mass has to be at the EW scale!

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Then  $m_h^2 = -\mu_0^2$

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## It couldn't be so easy...

We have broken SU(5) according to the pattern

$$SU(5) \xrightarrow{GUT\ Scale} SU(3)_C \times SU(2)_L \times U(1)_Y \xrightarrow{EW\ Scale} SU(3) \times U(1)_{em}$$

But...

- The 5's color triplet is massless at tree level. Too rapid Proton Decay!!!
- Gauge Bosons couple  $\Sigma$  to  $H$  at 1-loop. Renormalizability requires coupling at tree level!

$$V(\Sigma, H) = \alpha |H|^2 \text{Tr} [\Sigma^2] + \beta \bar{H} \Sigma^2 H$$

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The total potential is:

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The modified vacuum is:

$$\langle \Sigma \rangle = v \text{diag} \left( 1, 1, 1, -\frac{3}{2} - \frac{1}{2}\epsilon, -\frac{3}{2} + \frac{1}{2}\epsilon \right) \quad \langle H \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ v_0 \end{pmatrix}$$

where  $\epsilon = \frac{3}{20} \frac{\beta v_0^2}{b v^2}$  to order  $\mathcal{O}(v_0^2/v^2)$ .

## The Total Potential

The total potential is:

$$V(\Sigma, H) = \frac{\mu^2}{2} \text{Tr} [\Sigma^2] + \frac{a}{4} [\text{Tr} [\Sigma^2]]^2 + \frac{b}{2} \text{Tr} [\Sigma^4] + \alpha |H|^2 \text{Tr} [\Sigma^2] \\ + \beta \bar{H} \Sigma^2 H + \mu_0^2 |H|^2 + \lambda |H|^4$$

The modified vacuum is:

$$\langle \Sigma \rangle = v \text{diag} \left( 1, 1, 1, -\frac{3}{2} - \frac{1}{2}\epsilon, -\frac{3}{2} + \frac{1}{2}\epsilon \right) \quad \langle H \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ v_0 \end{pmatrix}$$

where  $\epsilon = \frac{3}{20} \frac{\beta v_0^2}{b v^2}$  to order  $\mathcal{O}(v_0^2/v^2)$ .

## The modified Vacuum

The  $\epsilon$  terms affect EW breaking but their result is negligible.

The vacuum condition yields

$$\mu^2 + \frac{15}{2}av^2 + \frac{7}{2}bv^2 + \alpha v_0^2 + \frac{3}{10}\beta v_0^2 = 0$$

$$\mu_0^2 + \lambda v_0^2 + \frac{15}{2}\alpha v^2 + \left(\frac{9}{4} - \frac{3}{2}\epsilon\right)\beta v^2 = 0$$

The cross-term saves Renormalizability, but the interaction between  $\Sigma$  and  $H$  requires extreme fine tuning in order to keep the SM's Higgs at the EW scale. Hierarchy Problem appears again!



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## Modified Mass Spectrum

The Modified Mass Spectrum is quite complicated.

- The already heavy Higgs  $\tilde{H}^8$  &  $H^\pm$  receive correction  $\mathcal{O}(v_0^2)$ .
- A combination of  $h$ ,  $H_Z$  &  $H_0$  remains at EW Scale while the other two are at the GUT scale.
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## Mass Terms

The particles transform according to  $\bar{5}$  & 10. The product of these reps are

$$\bar{5} \times \bar{5} = \bar{10} \oplus \bar{15}, \quad \bar{5} \times 10 = 5 \oplus \bar{45}, \quad 10 \times 10 = \bar{5} \oplus 45 \oplus 50$$

Only 5 & 45 contain neutral components, thus couple to matter at tree level. 10 & 50 could contribute only to mass renormalization.

In the Minimal SU(5) we use only 5-Higgs to generate mass for the fermions. The couplings are:

$$\begin{aligned} \mathcal{L}_{mass} &= Y_{ij}^D \psi_{5i\alpha}^T C \psi_{10j\alpha\beta} H_\beta + Y_{ij}^U \frac{1}{4} \epsilon_{\alpha\beta\gamma\delta\epsilon} \psi_{10i\alpha\beta}^T C \psi_{10j\gamma\delta} H_\epsilon + H.C. \\ &= -\frac{v_0}{2} Y_{ij}^D \left( \bar{d}_{iR} d_{jL} + \bar{\ell}_{iL} \ell_{jR} \right) - \frac{v_0}{\sqrt{2}} Y_{ij}^U \bar{u}_{iR} u_{jL} + H.C. \end{aligned}$$

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## Mass Eigenstates

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$$\mathcal{L}_{mass} = \bar{d}'_L \mathcal{M}'_d d'_R + \bar{\ell}'_L \mathcal{M}'_{\ell} \ell'_R + \bar{u}'_L \mathcal{M}'_u u'_R + H.C.$$

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# Mixing

The  $\bar{5}$  is expressed as

$$\begin{pmatrix} d_L^c \\ \ell_L \\ -\nu_L \end{pmatrix}$$

and 10 is expressed as

$$\begin{pmatrix} V_{CKM}^\dagger K u_L^c \\ V_{CKM}^\dagger u_L \\ d_L \\ \ell_L^c \end{pmatrix}$$

## X&Y Interactions 1

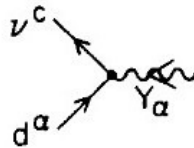
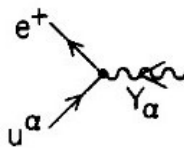
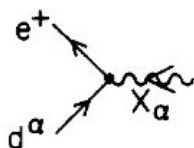
Interactions of  $X$  &  $Y$  break the accidental symmetries  $B$  &  $L$ , but leave  $B - L$  unbroken.

$$\mathcal{L}_I^X = \frac{g_5}{\sqrt{2}} \left( \bar{d}_L^i X^i e_L^c - \bar{e}_L^i X^i d_L^c + \epsilon_{ijk} \bar{u}_L^{ic} K X^j u_L^k + H.C. \right) \quad (2)$$

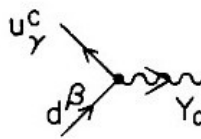
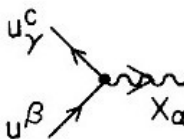
$$\mathcal{L}_I^Y = \frac{g_5}{\sqrt{2}} \left( \bar{\nu}_L^i Y^i d_L^{ic} - \bar{u}_L^i V_{CKM}^\dagger Y^i e_L^c + \epsilon_{ijk} \bar{u}_L^{ic} K V_{CKM}^\dagger Y^j d_L^k + H.C. \right) \quad (3)$$

$X$  &  $Y$  Bosons are called Leptoquarks.

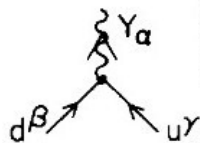
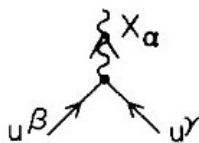
## $X$ & $Y$ Interactions 2



lepto-quark



diquark



## Proton Decay

Proton Decay is the low energy remnant of many GUTs. In terms of SMs fields Proton Decay is a non-renormalizable dim 6 operator

$$\mathcal{L}_{eff} = \frac{G_X}{\sqrt{2}} \bar{Q} \gamma^\mu Q \bar{e} \gamma_\mu Q$$

We estimate

$$\tau_p \simeq \frac{M_X^4}{m_p^2} = \mathcal{O}(10^{30}) \text{ years}$$

Super-Kamiokande has set the following limits based on  $p \rightarrow e^+ \pi^0$  and  $p \rightarrow \mu^+ \pi^0$  decay modes

$$8.2 \times 10^{33} \text{ \& } 6.6 \times 10^{33} \text{ years} \quad @ 90\% \text{ c.l.} \quad (4)$$



## Summary

- Minimal SU(5) is the prototype GUT.
- Probably its ruled out by the data.
- Gauge Hierarchy Problem. We need SuSy.
- Non Minimal models are viable.
- SuSy GUTs arise as low energy effective theories of string theory.

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