

# $C^*$ -algebras

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November 2023

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## $C^*$ -algebras

The space of all bounded linear operators  $T : \mathcal{H} \rightarrow \mathcal{H}$  on a Hilbert space  $\mathcal{H}$  is denoted  $\mathcal{B}(\mathcal{H})$ . It is complete under the norm

$$\|T\| = \sup\{\|Tx\| : x \in \mathfrak{b}_1(\mathcal{H})\}$$

( $\mathfrak{b}_1(\mathcal{X})$  the closed unit ball of a normed space  $\mathcal{X}$ ) and is an algebra under composition. Moreover, because it acts on a Hilbert space, it has additional structure: an *involution*  $T \rightarrow T^*$  defined via

$$\langle T^*x, y \rangle = \langle x, Ty \rangle \quad \text{for all } x, y \in \mathcal{H}.$$

This satisfies

$$\|T^*T\| = \|T\|^2 \quad \text{the } C^* \text{ property.}$$

# $C^*$ -algebras

These fundamental properties of  $\mathcal{B}(\mathcal{H})$  (norm-completeness, involution,  $C^*$  property) motivate the definition of an abstract  $C^*$ -algebra.

## Definition

**(a)** A Banach algebra  $\mathcal{A}$  is a complex algebra equipped with a complete norm which is sub-multiplicative:

$$\|ab\| \leq \|a\| \|b\| \quad \text{for all } a, b \in \mathcal{A}.$$

**(b)** An involution is a map on  $\mathcal{A}$  such that

$(a + \lambda b)^* = a^* + \bar{\lambda}b^*$ ,  $(ab)^* = b^*a^*$ ,  $a^{**} = a$  for all  $a, b \in \mathcal{A}$  and  $\lambda \in \mathbb{C}$ .

**(c)** A  $C^*$ -algebra  $\mathcal{A}$  is a Banach algebra equipped with an involution  $a \rightarrow a^*$  satisfying the  $C^*$ -condition

$$\|a^*a\| = \|a\|^2 \quad \text{for all } a \in \mathcal{A}.$$

## $C^*$ -algebras

If  $\mathcal{A}$  has a unit  $\mathbf{1}$  then necessarily  $\mathbf{1}^* = \mathbf{1}$  and  $\|\mathbf{1}\| = 1$ .

### Definition

If  $\mathcal{A}$  is a  $C^*$ -algebra let

$$\mathcal{A}^{\sim} =: \mathcal{A} \oplus \mathbb{C}$$

$$(a, z)(b, w) =: (ab + wa + zb, zw)$$

$$(a, z)^* =: (a^*, \bar{z})$$

$$\|(a, z)\| =: \sup\{\|ab + zb\| : b \in \mathbf{b}_1 \mathcal{A}\}$$

Thus the norm of  $\mathcal{A}^{\sim}$  is defined by identifying each  $(a, z) \in \mathcal{A}^{\sim}$  with the operator  $L_{(a,z)} : \mathcal{A} \rightarrow \mathcal{A} : b \rightarrow ab + zb$  acting on the Banach space  $\mathcal{A}$ .

## $C^*$ -algebras

$\mathbb{C}^2$  with norm

$$\|(x, y)\| = |x| + |y|$$

and pointwise multiplication is not a  $C^*$ -algebra.

$$a = (1, 1)$$

$$\|a^*a\| = \|(1, 1)(1, 1)\| = \|(1, 1)\| = 2$$

$$\|a\|^2 = \|(1, 1)\|^2 = 4$$

# $C^*$ -algebras

A morphism  $\phi : \mathcal{A} \rightarrow \mathcal{B}$  between  $C^*$ -algebras is a linear map that preserves products and the involution.



## $C^*$ -algebras

- $\mathbb{C}$ , the set of complex numbers.
- $C(K)$ , the set of all continuous functions  $f : K \rightarrow \mathbb{C}$ , where  $K$  is a compact Hausdorff space. With pointwise operations,  $f^*(t) = \overline{f(t)}$  and the sup norm,  $C(K)$  is an abelian, unital algebra.
- $C_0(X)$ , where  $X$  is a locally compact Hausdorff space. This consists of all functions  $f : X \rightarrow \mathbb{C}$  which are continuous and 'vanish at infinity': given  $\varepsilon > 0$  there is a compact  $K_{f,\varepsilon} \subseteq X$  such that  $|f(x)| < \varepsilon$  for all  $x \notin K_{f,\varepsilon}$ . With the same operations and norm as above, this is an abelian  $C^*$ -algebra.

## $C^*$ -algebras

- $M_n(\mathbb{C})$ , the set of all  $n \times n$  matrices with complex entries. With matrix operations,  $A^* =$  conjugate transpose, and  $\|A\| = \sup\{\|Ax\|_2 : x \in \ell^2(n), \|x\|_2 = 1\}$ , this is a non-abelian, unital algebra.
- $\mathcal{B}(\mathcal{H})$  is a non-abelian, unital  $C^*$ -algebra.
- $\mathcal{K}(\mathcal{H}) = \{A \in \mathcal{B}(\mathcal{H}) : \overline{A(b_1(\mathcal{H}))}$  compact in  $\mathcal{H}\}$ : the compact operators. This is a closed selfadjoint subalgebra of  $\mathcal{B}(\mathcal{H})$ , hence a  $C^*$ -algebra.

## $C^*$ -algebras

If  $X$  is an index set and  $\mathcal{A}$  is a  $C^*$ -algebra, the Banach space  $\ell^\infty(X, \mathcal{A})$  of all bounded functions  $a : X \rightarrow \mathcal{A}$  (with norm  $\|a\|_\infty = \sup\{\|a(x)\|_{\mathcal{A}} : x \in X\}$ ) becomes a  $C^*$ -algebra with pointwise product and involution.

Its subspace  $c_0(X, \mathcal{A})$  consisting of all  $a : X \rightarrow \mathcal{A}$  such that  $\lim_{x \rightarrow \infty} \|a(x)\|_{\mathcal{A}} = 0$  is a  $C^*$ -algebra. (for each  $\varepsilon > 0$  there is a finite subset  $X_\varepsilon \subseteq X$  s.t.  $x \notin X_\varepsilon \Rightarrow \|a(x)\|_{\mathcal{A}} < \varepsilon$ ).

## $C^*$ -algebras

If  $\mathcal{A}$  is a  $C^*$ -algebra and  $n \in \mathbb{N}$ , the space  $M_n(\mathcal{A})$  of all matrices  $[a_{ij}]$  with entries  $a_{ij} \in \mathcal{A}$  becomes a  $*$ -algebra with product  $[a_{ij}][b_{ij}] = [c_{ij}]$  where  $c_{ij} = \sum_k a_{ik}b_{kj}$  and involution  $[a_{ij}]^* = [d_{ij}]$  where  $d_{ij} = a_{ji}^*$ .

Define a norm on  $M_n(\mathcal{A})$  satisfying the  $C^*$ -condition.

## $C^*$ -algebras

Suppose  $\mathcal{A}$  is  $\mathcal{B}(\mathcal{H})$  for some Hilbert space  $\mathcal{H}$ . Identify  $M_n(\mathcal{B}(\mathcal{H}))$  with  $\mathcal{B}(\mathcal{H}^n)$ : Given a matrix  $[a_{ij}]$  of bounded operators  $a_{ij}$  on  $\mathcal{H}$ , we define an operator  $A$  on  $\mathcal{H}^n$  by

$$A \begin{bmatrix} \xi_1 \\ \vdots \\ \xi_n \end{bmatrix} = \begin{bmatrix} \sum_j a_{1j} \xi_j \\ \vdots \\ \sum_j a_{nj} \xi_j \end{bmatrix}$$

Conversely any  $A \in \mathcal{B}(\mathcal{H}^n)$  defines an  $n \times n$  matrix of operators  $a_{ij}$  on  $\mathcal{H}$  by  $\langle a_{ij} \xi, \eta \rangle_{\mathcal{H}} = \langle A \xi_j, \eta_i \rangle_{\mathcal{H}^n}$ , where  $\xi_j \in \mathcal{H}^n$  is the vector having  $\xi$  at the  $j$ -th entry and zeroes elsewhere (and  $\eta_i$  is defined analogously).

# C\*-algebras

Hence one defines the norm  $\|[a_{ij}]\|$  of  $[a_{ij}] \in M_n(\mathcal{B}(\mathcal{H}))$  to be the norm  $\|A\|$  of the corresponding operator on  $\mathcal{H}^n$ .

For  $n = 2$ :

$$\begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} \xi \\ \eta \end{bmatrix} = \begin{bmatrix} A\xi + B\eta \\ C\xi + D\eta \end{bmatrix}$$

This applies also if  $\mathcal{A}$  is a C\*-subalgebra of  $\mathcal{B}(\mathcal{H})$ .

# the spectrum

## Definition

$\mathcal{A}$  unital C\*-algebra and  $GL(\mathcal{A})$  the group of invertible elements of  $\mathcal{A}$ .  
The spectrum of an element  $a \in \mathcal{A}$  is

$$\sigma(a) = \sigma_{\mathcal{A}}(a) = \{\lambda \in \mathbb{C} : \lambda \mathbf{1} - a \notin GL(\mathcal{A})\}.$$

If  $\mathcal{A}$  is non-unital, the spectrum of  $a \in \mathcal{A}$  is defined by

$$\sigma(a) = \sigma_{\mathcal{A}^{\sim}}(a).$$

In this case, necessarily  $0 \in \sigma(a)$ .

# the spectrum

## Examples

- $\mathcal{A} = M_n(\mathbb{C})$  and  $a \in \mathcal{A}$ , then  $\sigma(a)$  is the set of eigenvalues of  $A$ .
- $\mathcal{A} = C([0, 1])$  and  $f \in \mathcal{A}$ , then:

$$f - \lambda \mathbf{1} \text{ invertible} \Leftrightarrow f(x) - \lambda \mathbf{1}(x) \neq 0, \forall x$$

$$\Leftrightarrow f(x) - \lambda \neq 0, \forall x \Leftrightarrow \lambda \neq f(x), \forall x.$$

$$\Rightarrow \sigma(f) = \{f(x) : x \in [0, 1]\}$$



## the spectrum

### Proposition

*The spectrum  $\sigma(a)$  is a compact nonempty subset of  $\mathbb{C}$ .*

(i)  $\sigma(a)$  is bounded: In a unital  $C^*$ -algebra, if  $\|x\| < 1$  then since  $\sum \|x^n\| \leq \sum \|x\|^n$ , the series  $\sum x^n$  converges absolutely, and so converges to an element  $y$  such that  $(\mathbf{1} - x)y = y(\mathbf{1} - x) = \mathbf{1}$  and  $(\mathbf{1} - x) \in GL(\mathcal{A})$ .

If  $a \in \mathcal{A}$  and  $\lambda \in \mathbb{C}$  satisfies  $|\lambda| > \|a\|$  then:

$$\left\| \frac{a}{\lambda} \right\| < 1 \Rightarrow \mathbf{1} - \frac{a}{\lambda} \text{ is invertible}$$

$$\Rightarrow \lambda \mathbf{1} - a \text{ is invertible} \Rightarrow \lambda \notin \sigma(a)$$

and the spectrum is bounded by  $\|a\|$ .

# the spectrum

The spectral radius of  $a \in \mathcal{A}$  is defined to be

$$\rho(a) = \sup\{|\lambda| : \lambda \in \sigma(a)\}.$$

It satisfies  $\rho(a) \leq \|a\|$ , but equality may fail. In fact, it can be shown that

$$\rho(a) = \lim_n \|a^n\|^{1/n}$$

This is the Gelfand-Beurling formula.

# the spectrum

## Lemma

If  $a = a^*$  then  $\rho(a) = \sup\{|\lambda| : \lambda \in \sigma(a)\} = \|a\|$ .

### proof

$\|a\|^2 = \|a^2\|$  and inductively  $\|a\|^{2^n} = \|a^{2^n}\|$  for all  $n$ . Thus, by the Gelfand - Beurling formula,  $\rho(a) = \lim \|a^{2^n}\|^{2^{-n}} = \|a\|$ .  $\square$

# the spectrum

## Theorem

A morphism  $\pi : \mathcal{A} \rightarrow \mathcal{B}$  is contractive (i.e.  $\|\pi(a)\| \leq \|a\|$  for all  $a \in \mathcal{A}$ ).

**proof** WLOG we may assume that  $\mathcal{A}$  and  $\pi$  are unital.

If  $x, y \in \mathcal{A}$  and  $xy = \mathbf{1}$  then  $\pi(x)\pi(y) = \mathbf{1}$ .

$a - \lambda\mathbf{1}$  invertible implies  $\pi(a) - \lambda\mathbf{1}$  invertible and hence,  
 $\sigma(\pi(a)) \subseteq \sigma(a) \Rightarrow \rho(\pi(a)) \leq \rho(a)$ .

$$\begin{aligned}\|\pi(a)\|^2 &= \|\pi(a)^*\pi(a)\| \\ &= \|\pi(a^*a)\| = \rho(\pi(a^*a)) \leq \rho(a^*a) = \|a^*a\| = \|a\|^2\end{aligned}$$

## Gelfand theory for commutative $C^*$ -algebras

### Theorem (Gelfand-Naimark 1)

Every commutative  $C^*$ -algebra  $\mathcal{A}$  is isometrically  $*$ -isomorphic to  $C_0(\hat{\mathcal{A}})$  where  $\hat{\mathcal{A}}$  is the set of nonzero morphisms  $\phi : \mathcal{A} \rightarrow \mathbb{C}$  which, equipped with the topology of pointwise convergence, is a locally compact Hausdorff space. For each  $a \in \mathcal{A}$  the function  $\hat{a} : \hat{\mathcal{A}} \rightarrow \mathbb{C} : \phi \rightarrow \phi(a)$  is in  $C_0(\hat{\mathcal{A}})$ . The Gelfand transform:

$$\mathcal{A} \rightarrow C_0(\hat{\mathcal{A}}) : a \rightarrow \hat{a}$$

is an isometric  $*$ -isomorphism. The space  $\hat{\mathcal{A}}$  is compact if and only if  $\mathcal{A}$  is unital.

## commutative $C^*$ -algebras

$\mathcal{A}$  unital.

- $\hat{\mathcal{A}}$  is the set of all nonzero multiplicative linear forms ( characters)

$$\phi : \mathcal{A} \rightarrow \mathbb{C}.$$

$$\phi(\mathbf{1})^2 = \phi(\mathbf{1}) \Rightarrow \phi(\mathbf{1}) = 1 \text{ (for if } \phi(\mathbf{1}) = 0 \text{ then}$$

$$\phi(a) = \phi(a\mathbf{1}) = 0 \text{ for all } a, \text{ a contradiction).}$$

Each  $\phi \in \hat{\mathcal{A}}$  satisfies  $\|\phi\| \leq 1$  and  $\|\phi\| = \phi(\mathbf{1}) = 1$ . The topology on  $\hat{\mathcal{A}}$  is pointwise convergence:  $\phi_i \rightarrow \phi$  iff  $\phi_i(a) \rightarrow \phi(a)$  for all  $a \in \mathcal{A}$ .

## commutative $C^*$ -algebras

- The inequality  $|\phi(a)| \leq \|a\|$  shows that  $\hat{\mathcal{A}}$  is contained in the space  $\prod_{a \in \mathcal{A}} \mathbb{D}_a$ , the Cartesian product of the compact spaces  $\mathbb{D}_a = \{z \in \mathbb{C} : |z| \leq \|a\|\}$ ; and the product topology is the topology of pointwise convergence.

$\hat{\mathcal{A}}$  is closed in this product: if  $\phi_i \rightarrow \psi$  pointwise, then it is clear that  $\psi$  is linear and multiplicative, because each  $\phi_i$  is linear and multiplicative, and  $\psi \neq 0$  because  $\psi(\mathbf{1}) = \lim_i \phi_i(\mathbf{1}) = 1$ ; thus  $\psi \in \hat{\mathcal{A}}$ .

## commutative $C^*$ -algebras

- The Gelfand map  $\mathcal{G} : a \rightarrow \hat{a}$ . For each  $a \in \mathcal{A}$  the function

$$\hat{a} : \hat{\mathcal{A}} \rightarrow \mathbb{C} \quad \text{where} \quad \hat{a}(\phi) = \phi(a), \quad (\phi \in \hat{\mathcal{A}})$$

is continuous by the definition of the topology on  $\hat{\mathcal{A}}$ . This gives a well defined map

$$\mathcal{G} : \mathcal{A} \rightarrow C(\hat{\mathcal{A}}) : a \rightarrow \hat{a}.$$

If  $a, b \in \mathcal{A}$ , since each  $\phi \in \hat{\mathcal{A}}$  is linear, multiplicative and  $*$ -preserving, we have

$$\widehat{(a+b)}(\phi) = \phi(a+b) = \phi(a) + \phi(b) = \hat{a}(\phi) + \hat{b}(\phi)$$

$$\widehat{(ab)}(\phi) = \phi(ab) = \phi(a)\phi(b) = \hat{a}(\phi)\hat{b}(\phi)$$

$$\widehat{(a^*)}(\phi) = \phi(a^*) = \overline{\phi(a)} = \overline{\hat{a}(\phi)}$$



## commutative $C^*$ -algebras

therefore

$$\mathcal{G}(a+b) = \mathcal{G}(a) + \mathcal{G}(b), \quad \mathcal{G}(ab) = \mathcal{G}(a)\mathcal{G}(b) \quad \text{and} \quad \mathcal{G}(a^*) = (\mathcal{G}(a))^*$$

$$\hat{a}(\phi) = \phi(a) \Rightarrow \|\hat{a}(\phi)\| \leq \|\phi\| \|a\| \Rightarrow \|\hat{a}\| \leq \|a\|$$

It can be seen that  $\mathcal{G}$  is isometric.

## commutative $C^*$ -algebras

- The Gelfand map is onto  $C(\hat{\mathcal{A}})$ . Consider the range  $\mathcal{G}(\mathcal{A})$ : it is a  $*$ -subalgebra of  $C(\hat{\mathcal{A}})$ , because  $\mathcal{G}$  is a  $*$ -homomorphism. It contains the constants, because  $\mathcal{G}(\mathbf{1}) = \mathbf{1}$ . It separates the points of  $\hat{\mathcal{A}}$ , because if  $\phi, \psi \in \hat{\mathcal{A}}$  are different, they must differ at some  $a \in \mathcal{A}$ , so

$$\mathcal{G}(a)(\phi) = \phi(a) \neq \psi(a) = \mathcal{G}(a)(\psi).$$

By the Stone -- Weierstrass Theorem,  $\mathcal{G}(\mathcal{A})$  must be dense in  $C(\hat{\mathcal{A}})$ . But it is closed, since  $\mathcal{A}$  is complete and  $\mathcal{G}$  is isometric. Hence  $\mathcal{G}(\mathcal{A}) = C(\hat{\mathcal{A}})$ . □

## commutative $C^*$ -algebras

When  $\mathcal{A}$  is abelian but non-unital every  $\phi \in \hat{\mathcal{A}}$  extends uniquely to a character  $\phi^\sim \in \widehat{\mathcal{A}^\sim}$  by  $\phi^\sim(\mathbf{1}) = 1$ , and there is exactly one  $\phi_\infty \in \widehat{\mathcal{A}^\sim}$  that vanishes on  $\mathcal{A}$ . Thus  $\mathcal{A}$  is  $*$ -isomorphic the algebra of those continuous functions on the 'one-point compactification'  $\hat{\mathcal{A}} \cup \{\phi_\infty\}$  of  $\hat{\mathcal{A}}$  which vanish at  $\phi_\infty$ ; this algebra is in fact isomorphic to  $C_0(\hat{\mathcal{A}})$ .

## commutative $C^*$ -algebras

### Example

$c_0$  the space of sequences converging to 0.

$\phi_n : c_0 \rightarrow \mathbb{C}, \phi_n((a_k)) = a_n$ . Then  $\hat{c}_0 \simeq \mathbb{N}$ .

$(\phi_n)$  converges pointwise to the zero character, since

$$\lim_n \phi_n((a_k)) = \lim_n a_n = 0.$$

Thus,  $\hat{c}_0$  is not compact.

## commutative $C^*$ -algebras

### Example

Consider the unitization  $c$  of  $c_0$  which is the space of convergent sequences.

Extend  $\phi_n$  to  $c$  by the same formula  $\phi_n^\sim((a_k)) = a_n$ .

A new nonzero character appears:  $\phi_\infty((a_k)) = \lim(a_k)$ .

This is the pointwise limit of the  $\phi_n^\sim$ , since

$$\lim_n \phi_n^\sim((a_k)) = \lim_n (a_n) = \phi_\infty((a_n)).$$

$\hat{c}$  is the one point compactification of  $\mathbb{N}$ .

## commutative $C^*$ -algebras

### remark

*When  $\mathcal{A}$  is non-abelian there may be no characters.  $M_2(\mathbb{C})$  has no ideals, hence the only character is the trivial one.*

## positivity

### Definition

Let  $\mathcal{A}$  be a  $C^*$ -algebra. An element  $a \in \mathcal{A}$  is selfadjoint if  $a = a^*$ .

### Definition

An element  $a \in \mathcal{A}$  is positive (written  $a \geq 0$ ) if  $a = a^*$  and  $\sigma(a) \subseteq \mathbb{R}_+$ .  
We write  $\mathcal{A}_+ = \{a \in \mathcal{A} : a \geq 0\}$ .

### Definition

If  $a, b$  are selfadjoint, we define  $a \leq b$  by  $b - a \in \mathcal{A}_+$ .

# positivity

## Theorem

Let  $\mathcal{A}$  be a  $C^*$ -algebra and  $a \in \mathcal{A}$ . The following are equivalent:

- $a$  is positive
- $a = b^2$  for some positive  $b \in \mathcal{A}$
- $a = b^*b$  for some  $b \in \mathcal{A}$
- If  $\mathcal{A} \subseteq \mathcal{B}(\mathcal{H})$ ,  $\langle ax, x \rangle \geq 0, \forall x \in \mathcal{H}$  (complex  $\mathcal{H}$ )



## Examples

- In  $C(X)$ :  $f \geq 0$  iff  $f(t) \in \mathbb{R}_+$  for all  $t \in X$  because  $\sigma(f) = f(X)$ .
- In  $\mathcal{B}(\mathcal{H})$ :  $T \geq 0$  iff  $\langle T\xi, \xi \rangle \geq 0$  for all  $\xi \in \mathcal{H}$ .
- In  $M_2(\mathbb{C})$ :

$$\begin{bmatrix} 2 & -1 \\ -1 & 2 \end{bmatrix}^* \begin{bmatrix} 2 & -1 \\ -1 & 2 \end{bmatrix} = \begin{bmatrix} 5 & -4 \\ -4 & 5 \end{bmatrix}$$

## positivity

### Proposition

*Every positive element of a  $C^*$ -algebra has a unique positive square root. In fact*

$$a \in \mathcal{A}_+ \quad \text{if and only if there exists } b \in \mathcal{A}_+ \text{ such that } a = b^2.$$

### Proposition

*For any  $C^*$ -algebra the set  $\mathcal{A}_+$  is a cone:*

$$a, b \in \mathcal{A}_+, \lambda \geq 0 \quad \implies \quad \lambda a \in \mathcal{A}_+, a + b \in \mathcal{A}_+.$$

## positivity

### remark

Any morphism  $\pi : \mathcal{A} \rightarrow \mathcal{B}$  between  $C^*$ -algebras preserves order:

$$a \geq 0 \quad \Rightarrow \quad \pi(a) \geq 0.$$

**proof** If  $a = a^*$  and  $\sigma(a) \subseteq [0, +\infty)$  then  $\pi(a)^* = \pi(a^*)$  and

$$\sigma(\pi(a)) \subseteq \sigma(a) \cup \{0\} \subseteq [0, +\infty)$$

so  $\pi(a) \geq 0$ .

## states

### Definition

Let  $\mathcal{A}$  be a  $C^*$ -algebra. A linear form on  $\mathcal{A}$  is positive if  $f(a^*a) \geq 0$   
 $\forall a \in \mathcal{A}$ .

### Lemma

Let  $f$  be a positive linear form on  $\mathcal{A}$ . Then

- 1  $f(b^*a) = \overline{f(a^*b)}$
- 2  $|f(b^*a)|^2 \leq f(a^*a)f(b^*b)$
- 3  $f(a^*) = \overline{f(a)}$
- 4  $|f(a)|^2 \leq f(e)f(a^*a)$

# states

## Lemma

Let  $f$  be a positive linear form on  $\mathcal{A}$ . Then  $f$  is bounded and  $\|f\| = f(e)$ .

## Definition

Let  $\mathcal{A}$  be a  $C^*$ -algebra. A state is a linear form on  $\mathcal{A}$  which is positive and satisfies  $f(e) = 1$ .

# states

The set of states  $S(\mathcal{A})$  of a  $C^*$ -algebra  $\mathcal{A}$  is a  $w^*$ -compact set of the dual of  $\mathcal{A}$ . It is convex, hence by the Krein-Milman theorem it has extreme points.

## Definition

A state is pure if it is an extreme point of  $S(\mathcal{A})$ .

## Examples

- $\mathcal{C}(X)$ , for  $X$  compact. A state on  $\mathcal{C}(X)$  is a probability measure. A pure state is a Dirac measure.
- $\mathcal{B}(\mathcal{H})$  for a Hilbert space  $\mathcal{H}$ . If  $\xi \in \mathcal{H}$ ,  $f(a) = \langle a\xi, \xi \rangle$  is a state. These are called vector states.

## states

### Examples

- Let  $\mathcal{D}$  be the  $C^*$ -algebra of  $2 \times 2$  diagonal complex matrices. A linear form on  $\mathcal{D}$  is of the form

$$f\left(\begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix}\right) = xa + yd$$

for some  $x, y \in \mathbb{C}$ .

$f$  is a state if and only if

$x + y = 1$  and  $xa + yd \geq 0$  when  $a \geq 0$  and  $d \geq 0$ .

That is  $x \geq 0$  and  $y \geq 0$ .

$f$  is pure iff  $x = 0$  or  $y = 0$ .



## the GNS construction

### Examples

- For a  $C^*$ -algebra  $\mathcal{A}$ , if  $\pi : \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H})$  is a representation and  $\xi \in \mathcal{H}$  a unit vector, then  $\phi(a) = \langle \pi(a)\xi, \xi \rangle$  is a state.

## the GNS construction

Conversely,

### Theorem (Gelfand, Naimark, Segal)

For every state  $f$  on a  $C^*$ -algebra  $\mathcal{A}$  there is a triple  $(\pi_f, \mathcal{H}_f, \xi_f)$  where  $\pi_f$  is a representation of  $\mathcal{A}$  on  $\mathcal{H}_f$  and  $\xi_f \in \mathcal{H}_f$  a cyclic<sup>a</sup> unit vector such that

$$f(a) = \langle \pi_f(a)\xi_f, \xi_f \rangle \quad \text{for all } a \in \mathcal{A}.$$

The GNS triple  $(\pi_f, \mathcal{H}_f, \xi_f)$  is uniquely determined by this relation up to unitary equivalence.

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<sup>a</sup>i.e.  $\pi_f(\mathcal{A})\xi_f$  is dense in  $\mathcal{H}_f$ .

## the universal representation

### Theorem (Gelfand, Naimark)

For every  $C^*$ -algebra  $\mathcal{A}$  there exists a representation  $(\pi, \mathcal{H})$  which is one to one (called faithful).

**Idea of proof** Enough to assume  $\mathcal{A}$  unital. Let  $\mathcal{S}(\mathcal{A})$  be the set of all states. For each  $f \in \mathcal{S}(\mathcal{A})$  consider  $(\pi_f, \mathcal{H}_f)$  and 'add them up' to obtain  $(\pi, \mathcal{H})$ . Why is this faithful? Because

### Lemma

For each nonzero  $a \in \mathcal{A}$  there exists  $f \in \mathcal{S}(\mathcal{A})$  such that  $f(a^*a) > 0$ .

... and then

$$\|\pi(a)\xi_f\|^2 = \langle \pi(a^*a)\xi_f, \xi_f \rangle = \langle \pi_f(a^*a)\xi_f, \xi_f \rangle = f(a^*a) > 0$$

so  $\pi(a) \neq 0$ .

## von Neumann algebras

### Definition

A von Neumann algebra is a unital wot closed  $*$ -subalgebra of  $\mathcal{B}(\mathcal{H})$ .

### Theorem

*$\mathcal{A}$  a unital  $*$ -subalgebra of  $\mathcal{B}(\mathcal{H})$ . The following are equivalent:*

- 1  $\mathcal{A} = \mathcal{A}''$
- 2  $\mathcal{A}$  is wot closed

## the center

$\mathcal{A}$  von Neumann algebra,  $p$  a central projection,  $a \in \mathcal{A}$

$$a = (p + p^\perp)a(p + p^\perp) = \\ pap + pap^\perp + p^\perp ap + p^\perp ap^\perp = pap + p^\perp ap^\perp.$$

$$\mathcal{A} = p\mathcal{A}p \oplus p^\perp\mathcal{A}p^\perp,$$

$$\mathcal{A} = \left\{ \begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix} : x \in p\mathcal{A}p, y \in p^\perp\mathcal{A}p^\perp \right\}$$

### Definition

A von Neumann algebra is a factor iff its center is  $\mathbb{C}I$ .

# $vN(G)$

$G$  locally compact topological group,  $\lambda$  the left regular representation of  $G$

## Definition

The von Neumann algebra of  $G$  is the wot closure of the linear span of the  $\lambda(x), x \in G$ .

## Examples

- $vN(\mathbb{R})$  is  $L^\infty(\mathbb{R})$ .
- $vN(\mathbb{T})$  is  $\ell^\infty(\mathbb{Z})$ .
- $vN(\mathbb{Z})$  is  $L^\infty(\mathbb{T})$ .

$G$  discrete,  $\lambda$  the left regular representation of  $G$ ,  
 $\{e_x : x \in G\}$  basis of  $\ell^2(G)$ .

$$\langle \lambda(x)e_y, e_z \rangle = \langle e_{xy}, e_z \rangle = \delta_{xy,z} 1$$

$$\langle \lambda(x)e_y, e_z \rangle$$

depends only on  $zy^{-1}$ .

## Example

$$G = \mathbb{Z}$$

$$a = \begin{pmatrix} \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ \dots & a_0 & a_1 & a_2 & a_3 & a_4 & \dots \\ \dots & a_{-1} & a_0 & a_1 & a_2 & a_3 & \dots \\ \dots & a_{-2} & a_{-1} & a_0 & a_1 & a_2 & \dots \\ \dots & a_{-3} & a_{-2} & a_{-1} & a_0 & a_1 & \dots \\ \dots & a_{-4} & a_{-3} & a_{-2} & a_{-1} & a_0 & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \end{pmatrix}.$$



$a \in vN(\mathcal{G})$ .

$$ae_e = \sum_{x \in \mathcal{G}} c_x e_x = \sum_{x \in \mathcal{G}} c_x \lambda(x) e_e$$

$(c_x) \in \ell^2$ .

## the center

$a$  in the center of  $vN(G)$ ,

$$\lambda(y)ae_e = \lambda(y) \left( \sum_{x \in G} c_x e_x \right) = \left( \sum_{x \in G} c_x e_{yx} \right) = \left( \sum_{x \in G} c_{y^{-1}xy} e_{xy} \right)$$

and

$$\begin{aligned} a\lambda(y)e_e &= ae_y = a\rho(y^{-1})e_e = \rho(y^{-1})ae_e = \\ &\rho(y^{-1}) \left( \sum_{x \in G} c_x e_x \right) = \sum_{x \in G} c_x e_{xy} \end{aligned}$$

Thus

$$c_x = c_{y^{-1}xy}$$

for all  $y \in G$ .

$x \mapsto c_x$  is constant on conjugacy classes and since  $(c_x) \in \ell^2$  it is 0 on infinite conjugacy classes.

### Theorem

*If  $G$  is icc (that is, every conjugacy class except the class of  $e$  is infinite) then  $vN(G)$  factor.*

### Examples

- $F_2$
- $S_\infty = \{ \phi : \mathbb{N} \rightarrow \mathbb{N}, 1-1 \} : \exists k_\phi, \phi(n) = n, \forall n \geq k_\phi \}$
- $\left\{ \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} : a \in \mathbb{Q}^*, b \in \mathbb{Q} \right\}$

### Theorem (Cuntz)

$$K_0(C^*(F_n)) = \mathbb{Z}, \quad K_1(C^*(F_n)) = \mathbb{Z}^n.$$

Hence  $C^*(F_m)$  is not isomorphic to  $C^*(F_n)$

### Question

Is  $vN(F_2)$  isomorphic to  $vN(F_3)$ ?

# $C^*(G)$

$G$  locally compact topological group,  $f, g \in L^1(G)$

$$f * g(x) = \int_{y \in G} f(xy^{-1})g(y)d\mu(y)$$

$$f^*(x) = \overline{f(x^{-1})}$$

$L^1(G)$  with multiplication and involution as above is the group algebra of  $G$ .

## Proposition

$(\pi, \mathcal{H})$  unitary representation of  $G$ . Then  
 $f \mapsto \pi(f) = \int_G f(x)\pi(x)d\mu(x)$  satisfies

- 1  $\pi : L^1(G) \rightarrow \mathcal{B}(\mathcal{H})$  is linear
- 2  $\pi(f * g) = \pi(f)\pi(g)$
- 3  $\pi(f^*) = \pi(f)^*$
- 4  $\overline{\pi(L^1(G))}\mathcal{H} = \mathcal{H}$

Conversely, if

If  $\phi : L^1(G) \rightarrow \mathcal{B}(\mathcal{H})$  satisfies the above statements, then there exists a unitary representation  $\pi$  of  $G$  st  $\phi(f) = \pi(f)$

# $C^*(G)$

## Definition

Define a norm on  $L^1(G)$ :

$$\|f\| = \sup_{\pi \in \hat{G}} \|\pi(f)\|.$$

$C^*(G)$  is the completion of  $L^1(G)$  wrt to this norm and is a  $C^*$  algebra. It is called the  $C^*$ -algebra of  $G$ .

There is a 1-1 correspondence between unitary representation of  $G$  and non-degenerate  $*$  representations of  $C^*(G)$ .

# $C^*(G)$

## Proposition

$G$  abelian

$C^*(G)$  is  $C_0(\hat{G})$ .

## Examples

- $C^*(\mathbb{R})$  is  $C_0(\mathbb{R})$ .
- $C^*(\mathbb{T})$  is  $C_0(\mathbb{Z})$ .
- $C^*(\mathbb{Z})$  is  $C_0(\mathbb{T})$ .
- $G$  compact

$C^*(G)$  is the  $c_0$  sum  $\sum_{\pi \in \hat{G}} \oplus \mathcal{B}(\mathcal{H}_\pi)$



## primitive ideals

### Definition

An ideal of a  $C^*$ -algebra  $\mathcal{A}$  is primitive if it is the kernel of an irreducible representation of  $\mathcal{A}$ .  $\text{Prim}(\mathcal{A})$  is the set of the primitive ideals.

Consider the space  $\text{Prim}(\mathcal{A})$  with the hull-kernel topology:

If

$$U \subseteq \text{Prim}(\mathcal{A})$$

then

$$\bar{U} = \{I \in \text{Prim}(\mathcal{A}) : I \supseteq \bigcap_{J \in U} J\}.$$

The space  $\text{Prim}(\mathcal{A})$  is  $T_0$ . Denote  $\text{Prim}(G) = \text{Prim}(C^*(G))$ .

There is a map  $\hat{G} \rightarrow \text{Prim}(G)$ ,  $\pi \mapsto \ker \pi$ .

## Definition

$G$  is of type I if: whenever  $\pi$  is a representation of  $G$  such that  $\pi(G)''$  is a factor, then  $\pi(G)''$  is a factor of type I (that is  $\pi$  is a multiple of an irreducible representation).

## Proposition

$G$  second countable locally compact group. The following are equivalent

- 1  $G$  is type I.
- 2 The map  $\hat{G} \rightarrow \text{Prim}(G)$  is 1 - 1.
- 3 If  $(\pi, \mathcal{H}) \in \hat{G}$ , then  $\pi(C^*(G))$  contains the compact operators on  $\mathcal{H}$ .

## Proposition

- 1 If  $G$  is abelian then it is of type I
- 2 If  $G$  is compact then it is of type I
- 3 If  $G$  is discrete then it is of type I iff it contains an abelian normal subgroup of finite index.

## Example

$$G = \{ \langle a, b \rangle : a^2 = e, aba = b^{-1} \}$$
$$G \text{ as a set is } \{ b^n, b^n a : n \in \mathbb{Z} \}$$

## Examples

- The Heisenberg group  $H$ :

$\lambda \in \mathbb{R}^*, \pi_\lambda L^2(\mathbb{R})$ :

$$(\pi_\lambda(x, y, z)f)(t) = e^{i\lambda z} e^{-i\lambda y t} f(t - x)$$

$(s, t) \in \mathbb{R}^2$ , define  $\pi_{s,t}$  acting on  $\mathbb{C}$ :

$$\pi_{s,t}(x, y, z) = e^{i(sx + ty)}$$

$$\hat{H} = \{\pi_\lambda : \lambda \in \mathbb{R}^*\} \cup \{\pi_{s,t} : (s, t) \in \mathbb{R}^2\}$$

## Examples

- Discrete Heisenberg  $H_d$ :

$$u = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, v = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}, w = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

$\pi$  irreducible then  $\pi(w) = e^{2\pi i\theta}$  for some  $\theta$ .

If  $\theta$  irrational  $\pi(u), \pi(v)$  generate the irrational rotation algebra  $A_\theta$ .

If  $\rho_1, \rho_2$  are two inequivalent representations of  $A_\theta$ ,  $\rho_1\pi, \rho_2\pi$  are inequivalent representations of  $C^*(G)$  with the same kernel

$$\ker \rho_1\pi = \ker \rho_2\pi = \ker \pi.$$