

# Σεμινάριο Συναρτησιακής Ανάλυσης και Άλγεβρων Τελεστών: Matrix Convexity

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## Definition

Let  $X$  be a compact Hausdorff space. A function system  $R$  is a closed subspace of  $C(X)$  that contains the constant functions and for every  $f \in R$  we have that  $\bar{f} \in R$ .

- We denote the positive elements of a function system  $R$  by  $R^+$ .
- We say that a linear map between two function systems  $\phi : R \rightarrow S$  is positive (or order preserving) and unital if

$$\phi(R^+) \subseteq S^+$$

and

$$\phi(1_R) = 1_S.$$

## Definition

The state space  $\mathcal{S}(R)$  of a function system  $R \subseteq C(X)$  is the set of positive linear functionals (which are automatically bounded) that preserve the unit i.e.

$$\mathcal{S}(R) := \{\phi \in R^* : \phi(f) \geq 0 \text{ for every } f \geq 0 \text{ and } \phi(1_R) = 1\}.$$

- $\mathcal{S}(R)$  is a  $w^*$ -compact convex set of  $(R^*, w^*)$ .

## Definition

We say that a topological vector space  $(X, \mathcal{T})$  is locally convex if  $\mathcal{T}$  is the weak topology of a family of seminorms  $\mathcal{P}$  that separates the points of  $X$ . In particular, a set  $U$  is open if for every  $x_0 \in U$  there exist  $p_1, \dots, p_n \in \mathcal{P}$  and  $\epsilon_1, \dots, \epsilon_n > 0$  such that

$$x_0 \in \bigcap_{i=1}^n \{x \in X : p(x - x_0) < \epsilon\} \subseteq U.$$

## Definition

Let  $F : K \rightarrow C$  be map between convex sets  $K, C$ . We say that  $F$  is affine if

$$F \left( \sum_{i=1}^n \lambda_i x_i \right) = \sum_{i=1}^n \lambda_i F(x_i),$$

for every  $\lambda_1, \dots, \lambda_n \geq 0$  such that  $\sum_{i=1}^n \lambda_i = 1$ .

Let  $X$  be a locally convex space and  $K \subseteq X$  be a compact convex set.

- We set  $A(K) := \{F : K \rightarrow \mathbb{C} : F \text{ is continuous and affine}\}$ .
- $A(K) \subseteq C(K)$  is a function system.
- Each  $x \in K$  naturally defines a state  $\hat{x} \in \mathcal{S}(A(K))$  where

$$\hat{x}(F) = F(x), \text{ for } F \in A(K).$$

There is a correspondence between compact convex sets and function systems, described by the following theorems:

## Theorem

*Let  $K$  be a compact convex set of a locally convex space and let  $\mathcal{S}(A(K))$  be the state space of the continuous affine function on  $K$ . Then the evaluation map*

$$\psi : K \rightarrow (\mathcal{S}(A(K)), w^*) : x \mapsto \hat{x}$$

*is an affine homeomorphism.*

Let  $R$  be a function system, then every  $f \in R$  defines a map

$$\tilde{f} : \mathcal{S}(R) \rightarrow \mathbb{C} : \quad \tilde{f}(s) = s(f),$$

which is  $w^*$ -continuous and affine.

## Theorem

*The map*

$$\phi : R \rightarrow A(\mathcal{S}(R)) : \quad f \mapsto \tilde{f}$$

*is an order preserving isomorphism (i.e.  $\phi^{-1}$  is also positive).*



Operator systems are considered to be the "quantization" of function systems, so a natural question is whether there is a similar correspondence between operator systems and a suitable notion of "quantized" convexity.

There is an affirmative answer to this question and the suitable notion turns out to be matrix convexity.

Let  $E, F$  be vector spaces.

## Definition

A tensor product of  $E$  and  $F$  is a couple  $(M, \phi)$  where  $M$  is a vector space and  $\phi : E \times F \rightarrow M$  is a bi-linear map that satisfy

- 1  $M = \text{span}\{\phi(x, y) : x \in E, y \in F\}$ .
- 2 If  $\{x_i : i \in I\} \subseteq E$  and  $\{y_j : j \in J\} \subseteq F$  are linear independent sets, then  $\{\phi(x_i, y_j) : i \in I, j \in J\} \subseteq M$  is linear independent.

## Proposition

*A tensor product of  $E$  and  $F$  exists, is unique up to isomorphism and satisfies the following universal property: if  $\psi : E \times F \rightarrow G$  is a bi-linear map then there exists a linear map  $\Psi : M \rightarrow G$  that makes the following diagram commutative*

$$\begin{array}{ccc} E \times F & \xrightarrow{\psi} & G \\ \downarrow \phi & \nearrow \Psi & \\ M & & \end{array}$$

- From now on we will denote  $M$  by  $E \otimes F$  and  $\phi(x, y)$  by  $x \otimes y$ .
- $E \otimes F \simeq F \otimes E$  and  $E \otimes (F \otimes G) \simeq (E \otimes F) \otimes G$ .

## Example

Let  $V$  be a vector space. Then  $V \otimes M_n \simeq M_n(V)$  via the isomorphism

$$v \otimes [\gamma_{ij}] \rightarrow [\gamma_{ij}v].$$

In particular,

$$M_n(M_m) \simeq M_m(M_n) \simeq M_n \otimes M_m.$$

## Definition

A  $C^*$ -algebra  $A$  is a Banach space equipped with a multiplication and a map  $*$  :  $A \rightarrow A$  where for every  $a, b \in A$  and every  $\lambda \in \mathbb{C}$  the following properties are satisfied:

- $\|ab\| \leq \|a\|\|b\|$ ,
- $(a + \lambda b)^* = a^* + \bar{\lambda}b^*$ ,
- $a^{**} = a$ ,
- $(ab)^* = b^*a^*$ ,
- $\|a^*\| = \|a\|$ ,
- $\|a^*a\| = \|a\|^2$ .

## Definition

A  $*$ -homomorphism between two  $C^*$ -algebras  $A$  and  $B$  is a map  $\phi : A \rightarrow B$  that satisfies the following properties for every  $a, b \in A$  and  $\lambda \in \mathbb{C}$ :

- $\phi(a + \lambda b) = \phi(a) + \lambda\phi(b)$ ,
- $\phi(ab) = \phi(a)\phi(b)$ ,
- $\phi(a^*) = \phi(a)^*$ .

If  $\phi$  is also bijective we say that  $\phi$  is a  $*$ -isomorphism.

## Definition

We say that an element  $a$  of a  $C^*$ -algebra  $A$  is positive if  $a = c^*c$  for some  $c \in A$ . We denote by  $A^+$  the set of all positive elements in  $A$ .

## Definition

Let  $A$  be a unital  $C^*$ -algebra i.e. there exists a unique element  $1_A \in A$  such that  $1_A a = a 1_A = a$  for all  $a \in A$ . We say that  $\phi : A \rightarrow \mathbb{C}$  is a state of  $A$  if  $\phi(A^+) \subseteq \mathbb{R}^+$  and  $\phi(1_A) = 1$ . We denote the set of states of  $A$  by  $\mathcal{S}(A)$ .

## Proposition

*For each self-adjoint element  $a$  (i.e.  $a^* = a$ ) in a unital  $C^*$  algebra  $A$  there exists a state  $\phi \in \mathcal{S}(A)$  such that*

$$|\phi(a)| = \|a\|.$$

## Definition

We say that a state  $\phi \in \mathcal{S}(A)$  is faithful if

$$\phi(a^*a) > 0$$

for every  $0 \neq a \in A$ .



Let us denote by  $B(\mathcal{H})$  the bounded operators on some Hilbert space  $\mathcal{H}$ .

## Definition

Let  $\pi : A \rightarrow B(\mathcal{H})$  be an injective  $*$ -homomorphism. Let  $\xi$  be in  $\mathcal{H}$ .

- 1 We say that  $\xi$  is cyclic for  $\pi(A)$  if

$$\overline{\pi(A)\xi} = \mathcal{H}.$$

- 2 We say that  $\xi$  is separating for  $\pi(A)$  if

$$\pi(a)\xi = 0 \Rightarrow a = 0.$$

## Theorem

*Let  $\phi$  be a faithful state of a  $C^*$ -algebra  $A$ . Then there exists an injective  $*$ -homomorphism  $\pi : A \rightarrow B(\mathcal{H})$  for some Hilbert space  $\mathcal{H}$  and a  $\xi \in \mathcal{H}$  such that  $\xi$  is separating and cyclic for  $\pi(A)$  and*

$$\phi(a) = \langle \pi(a)\xi, \xi \rangle,$$

*for all  $a \in A$ .*

Let  $M_n(B(\mathcal{H}))$  be the algebra of all  $n \times n$ -matrices with entries from  $B(\mathcal{H})$ , with the usual matrix multiplication. For  $[T_{ij}] \in M_n(B(\mathcal{H}))$  we set

$$[T_{ij}]^* := [T_{ji}^*].$$

Consider the Hilbert space direct sum  $\mathcal{H}^{(n)} = \mathcal{H} \oplus \dots \oplus \mathcal{H}$ . Let  $T = [T_{ij}]$  be an element of  $M_n(B(\mathcal{H}))$ , then we can regard it as an element of  $B(\mathcal{H}^{(n)})$  via the matrix multiplication rule :

$$[T_{ij}] \begin{bmatrix} \xi_1 \\ \vdots \\ \xi_n \end{bmatrix} = \begin{bmatrix} \sum_{j=1}^n T_{1j} \xi_j \\ \vdots \\ \sum_{j=1}^n T_{nj} \xi_j \end{bmatrix} \in \mathcal{H}^{(n)}.$$

One can prove that this defines a bounded operator of  $\mathcal{H}^{(n)}$  and that this correspondence yields a  $*$ -isomorphism between  $M_n(B(\mathcal{H}))$  and  $B(\mathcal{H}^{(n)})$ . Therefore we obtain a norm on  $M_n(B(\mathcal{H}))$  which makes it a  $C^*$ -algebra.

## Definition

Let  $B(\mathcal{H})$  denote the bounded operators of a Hilbert space  $\mathcal{H}$ .

- A closed linear subspace  $V \subseteq B(\mathcal{H})$  will be called an operator space.
- An operator space  $S \subseteq B(\mathcal{H})$  that is self-adjoint and contains the identity operator will be called a (unital) operator system.
- The space  $M_n(V)$  inherits a norm from  $M_n(B(\mathcal{H}))$  and  $M_n(S)$  also inherits a positive cone of elements  $C_n = \{x \in M_n(S) : x \in M_n(B(\mathcal{H}))^+\}$ .

Let  $V, W$  be operator spaces and  $\phi : V \rightarrow W$  a linear map.

- For each  $n$  we define the  $n$ -th amplification

$\phi_n : M_n(V) \rightarrow M_n(W)$  of  $\phi$  to be the map such that

$$\phi_n([x_{ij}]) = [\phi(x_{ij})].$$

- If  $\sup_n \|\phi_n\| < \infty$ , we say that  $\phi$  is completely bounded and we set

$$\|\phi\|_{cb} = \sup_n \|\phi_n\|.$$

- If  $\|\phi\|_{cb} \leq 1$  we say that  $\phi$  is completely contractive.

Let  $S, T$  be operator systems and  $\phi : S \rightarrow T$  be a linear map.

- Let  $C_n \subseteq M_n(S)$  and  $D_n \subseteq M_n(T)$  be the positive cones of  $M_n(S)$  and  $M_n(T)$ , respectively.
- We say that  $\phi$  is completely positive if

$$\phi_n(C_n) \subseteq D_n,$$

for all  $n$ .

## Definition

A matrix convex set  $K = \{K_n\}_{n \in \mathbb{N}}$  in a vector space  $V$  is a collection of non-empty convex sets  $K_n \subseteq M_n(V)$  such that:

- For  $a \in M_{r,n}$  with  $a^*a = I_n$  we have  $a^*K_r a \subseteq K_n$
- For  $m, n \in \mathbb{N}$  we have

$$K_m \oplus K_n := \left\{ \begin{bmatrix} x & 0 \\ 0 & y \end{bmatrix} : \text{where } x \in K_n \text{ and } y \in K_m \right\} \subseteq K_{m+n}.$$

## Proposition

*A collection  $K = \{K_n\}_n$  where  $K_n \subseteq M_n(V)$ , is a matrix convex set of  $V$  if and only if*

$$\sum_{i=1}^k \gamma_i^* u_i \gamma_i \in K_n,$$

*for all  $u_i \in K_{n_i}$  and  $\gamma_i \in M_{n_i, n}$  such that  $\sum_{i=1}^k \gamma_i^* \gamma_i = I_n$ .*

*We call the element  $\sum_{i=1}^k \gamma_i^* u_i \gamma_i$  a matrix convex combination.*



Proof. ( $\Rightarrow$ )

Suppose that  $K = \{K_n\}_n$  is a matrix convex set of  $V$  and let  $u_i \in K_{n_i}$  and  $\gamma_i \in M_{n_i, n}$  for  $i = 1, \dots, k$  such that  $\sum_{i=1}^k \gamma_i^* \gamma_i = I_n$ . Then

$$\sum_{i=1}^k \gamma_i^* u_i \gamma_i = \begin{bmatrix} \gamma_1 & 0 & \cdots & 0 \\ 0 & \gamma_2 & \cdots & 0 \\ \vdots & & \ddots & \\ 0 & 0 & \cdots & \gamma_k \end{bmatrix}^* [u_1 \oplus u_2 \cdots \oplus u_k] \begin{bmatrix} \gamma_1 & 0 & \cdots & 0 \\ 0 & \gamma_2 & \cdots & 0 \\ \vdots & & \ddots & \\ 0 & 0 & \cdots & \gamma_k \end{bmatrix}.$$

( $\Leftarrow$ )

The first condition of the definition is obviously satisfied. For the second let  $u$  be in  $K_n$  and  $w$  be in  $K_m$  then

$$u \oplus w = \begin{bmatrix} I_n \\ 0 \end{bmatrix} u \begin{bmatrix} I_n & 0 \end{bmatrix} + \begin{bmatrix} 0 \\ I_m \end{bmatrix} w \begin{bmatrix} 0 & I_m \end{bmatrix} \in K_{n+m}$$

and

$$\begin{bmatrix} I_n \\ 0 \end{bmatrix} \begin{bmatrix} I_n & 0 \end{bmatrix} + \begin{bmatrix} 0 \\ I_m \end{bmatrix} \begin{bmatrix} 0 & I_m \end{bmatrix} = I_{n+m}.$$

Finally, to see that every  $K_n$  is convex note that if  $u_1, \dots, u_k \in K_n$  and  $0 \leq \lambda_1, \dots, \lambda_k \leq 1$  s.t.  $\sum_{i=1}^k \lambda_i = 1$  then

$$\sum_{i=1}^k \lambda_i u_i = \begin{bmatrix} \sqrt{\lambda_1} & \cdots & \sqrt{\lambda_k} \end{bmatrix} [u_1 \oplus \cdots \oplus u_k] \begin{bmatrix} \sqrt{\lambda_1} \\ \vdots \\ \sqrt{\lambda_k} \end{bmatrix} \in K_n.$$

## Example

The matrix interval  $[aI, bI] = \{[aI_n, bI_n]\}_n$  where for each  $n$  we have  $[aI_n, bI_n] = \{x \in M_n : aI_n \leq x \leq bI_n\}$  is a matrix convex set of  $\mathbb{C}$ .

## Example

Let  $V \subseteq B(\mathcal{H})$  be an operator space and for each  $n \in \mathbb{N}$  set  $K_n(V) = \{u \in M_n(V) : \|u\| \leq 1\}$ . Then  $K(V) = \{K_n(V)\}_n$  is a matrix convex set of  $V$ .

## Example

Let  $S \subseteq B(\mathcal{H})$  be an operator system and for each  $n \in \mathbb{N}$  set  $C_n(S) = \{u \in M_n(S) : u \geq 0\}$ . Then  $C(S) = \{C_n(S)\}_n$  is a matrix convex set of  $S$ .

Let  $V, W$  be operator spaces and  $CB(V, W)$  denote the space of completely bounded maps from  $V$  to  $W$  equipped with the norm  $\|\cdot\|_{cb}$ . For each  $n$  we identify  $M_n(CB(V, W))$  with  $CB(V, M_n(W))$ . Consider an element  $[\phi_{ij}] \in M_n(CB(V, W))$  and  $v \in V$ . Then we can identify  $[\phi_{ij}]$  with an element of  $CB(V, M_n(W))$  via

$$[\phi_{ij}]v = [\phi_{ij}v].$$

## Example

Set

$$\mathcal{CC}_n(V, W) = \{\phi \in CB(V, M_n(W)) \text{ such that } \|\phi\|_{cb} \leq 1\},$$

then  $\mathcal{CC}(V, W) = \{\mathcal{CC}_n(V, W)\}_n$  is a matrix convex set of  $CB(V, W)$ .

## Example

Let  $S, T$  be operator systems. For each  $n$  set

$$\mathcal{CP}_n(S, T) = \{\phi \in CB(S, T) \text{ such that } \phi \text{ is completely positive}\},$$

then  $\mathcal{CP}(S, T) = \{\mathcal{CP}_n(S, T)\}_n$  is a matrix convex set.

## Proposition

If  $K = \{K_n\}_n$  is a matrix convex set in  $V$  and  $0 \in K_1$ , then  $a^*K_r a \subseteq K_n$  for any  $a \in M_{r,n}$  with  $\|a\| \leq 1$ .

**Proof.** Since  $0 \in K_1$  we have  $0 = 0 \oplus 0 \oplus \dots \oplus 0 \in K_n$ . Set  $b = [1 - a^*a]^{1/2}$ , then for any  $u \in K_r$  we have

$$a^*ua = [a^* \quad b^*] (u \oplus 0) \begin{bmatrix} a \\ b \end{bmatrix},$$

where

$$[a^* \quad b^*] \begin{bmatrix} a \\ b \end{bmatrix} = I.$$

# The Bipolar Theorem

Let  $V, W$  be vector spaces.

## Definition

A pairing of  $V, W$  is a bilinear function

$$F = \langle \cdot, \cdot \rangle : V \times W \rightarrow \mathbb{C}$$

such that

- $\langle v, w \rangle = 0$  for all  $w \in W$  implies  $v = 0$ ,
  - $\langle v, w \rangle = 0$  for all  $v \in V$  implies  $w = 0$ .
- 
- When such a pairing exists we say that  $V$  and  $W$  are in duality and that  $V$  is the dual of  $W$  and  $W$  is the dual of  $V$ .

Having a pairing of  $V$ ,  $W$  determines for each  $n$ , a pairing of  $M_n(V)$  and  $M_n(W)$  by

$$M_n(V) \times M_n(W) \rightarrow \mathbb{C} : ([v_{ij}], [w_{ij}]) \mapsto \sum_{i,j} \langle v_{i,j}, w_{ij} \rangle.$$

Thus,  $M_n(V)$  and  $M_n(W)$  are in duality for every  $n$ .



If two vector spaces are in duality, then each one determines a weak topology on its dual and the weakly continuous functionals on the space can be identified with the elements of the dual space.

- Therefore, if  $V$  and  $V'$  are in duality we can define a weak topology on  $M_n(V)$ .
- A net  $v^\lambda = [v_{ij}^\lambda]$  converges to an element  $v$  with respect to this topology if and only if

$$f(v_{ij}^\lambda) = \langle v_{ij}^\lambda, E_{ij} \otimes f \rangle \xrightarrow{\lambda} \langle v_{ij}, E_{ij} \otimes f \rangle = f(v_{ij}),$$

for every  $i, j$  and  $f \in V'$ .

Let  $V$  and  $V'$  be in duality and  $K$  a convex subset of  $V$ .

- The polar of  $K$  is the set

$$K^\circ = \{f \in V' : \operatorname{Re} \langle v, f \rangle \leq 1 \text{ for all } v \in K\}.$$

- $K^\circ$  is a weakly closed subset of  $V'$  that contains 0.

## Theorem

*If  $K$  is a convex and weakly closed subset of  $V$  that contains 0, then*

$$K^{\circ\circ} = K.$$

The proof of the preceding theorem is essentially the same with the case  $V = \mathbb{R}^n$  but one has to use the following separation theorem:

## Theorem

*Let  $V$  be a complex locally convex space and let  $K$  and  $C$  be two disjoint closed convex subsets of  $V$ . If  $C$  is compact, then there is a continuous linear functional  $f : V \rightarrow \mathbb{C}$ , an  $a \in \mathbb{R}$  and an  $\epsilon > 0$  such that for all  $x \in K$  and  $y \in C$ ,*

$$\operatorname{Re} f(x) \leq a < a + \epsilon \leq \operatorname{Re} f(y).$$

Let  $V, V'$  be in duality and  $K = \{K_n\}$  be a matrix convex set of  $V$ .

- We say that  $K$  is closed if each  $K_n$  is a weakly closed subset of  $M_n(V)$ .

## Theorem

*Let  $V, V'$  be in duality and let  $K = \{K_n\}_n$  be a closed matrix convex set of  $V$  with  $0 \in K_1$ . For any  $u_0 \notin K_n$  there exists a weakly continuous  $\phi : V \rightarrow M_n$  such that  $\operatorname{Re}(\phi_r|K_r) \leq I_n \otimes I_r$  for all  $r \in \mathbb{N}$  and  $\operatorname{Re}(\phi(u_0)) \not\leq I_n \otimes I_n$ .*

In order to prove this theorem we are going to need a few lemmas.

## Lemma

*Let  $\mathcal{E}$  be a cone of real continuous affine functions on a compact convex subset  $K$  of a vector space  $V$  and that for each  $e \in \mathcal{E}$ , there is a corresponding point  $p_e \in K$  with  $e(p_e) \geq 0$ . Then there is a point  $p_0 \in K$  such that  $e(p_0) \geq 0$  for every  $e \in \mathcal{E}$ .*

Proof.

- The sets  $\{e \geq 0\} := \{p \in K : e(p) \geq 0\}$  are non-empty and compact.
- It suffices to prove that they have the finite intersection property.
- Suppose that

$$\{e_1 \geq 0\} \cap \dots \cap \{e_n \geq 0\} = \emptyset,$$

for some  $e_1, \dots, e_n \in \mathcal{E}$ .

- Define  $\theta : K \rightarrow \mathbb{R}^n$  where

$$\theta(p) = (e_1(p), \dots, e_n(p)),$$

then  $\theta$  is continuous and affine and thus  $\theta(K)$  is a compact convex set.

- Then

$$\theta(K) \cap (\mathbb{R}^n)^+ = \emptyset,$$

where  $(\mathbb{R}^n)^+ = \{(x_1, \dots, x_n) : x_1, \dots, x_n \geq 0\}$ .

- There exists a linear functional  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  such that  $f((\mathbb{R}^n)^+) \geq 0$  and  $f(\theta(K)) < 0$ .

# The Bipolar Theorem

- $f(x_1, \dots, x_n) = c_1x_1 + \dots + c_nx_n$ , for some  $c_1, \dots, c_n \geq 0$ .
- Then  $\{e \geq 0\} = \emptyset$  for

$$e = f \circ \theta = c_1e_1 + \dots + c_ne_n \in \mathcal{E},$$

which is a contradiction.



## Lemma

*Let  $V, V'$  be in duality and  $K = \{K_n\}_n$  be a matrix convex set of  $V$  such that  $0 \in K_1$ . If  $F : M_n(V) \rightarrow \mathbb{C}$  is a weakly continuous linear function that satisfies  $\operatorname{Re} F|_{K_n} \leq 1$  then there exists a  $p \in \mathcal{S}(M_n)$  such that for all  $v \in K_r$  and  $\gamma \in M_{r,n}$  we have*

$$\operatorname{Re} F(\gamma^* v \gamma) \leq p(\gamma^* \gamma).$$

# The Bipolar Theorem

Proof.

- Set  $\mathcal{E}$  to be the set of continuous affine real functions on  $\mathcal{S}(M_n)$  of the form

$$e_{v,\gamma}(p) = p(\gamma^*\gamma) - \operatorname{Re} F(\gamma^*v\gamma),$$

where  $v \in K_r$  and  $\gamma \in M_{r,n}$  and  $r \in \mathbb{N}$ .

- For  $c \in \mathbb{R}^+$  we have that

$$ce_{v,\gamma} = e_{v,c^{1/2}\gamma}$$

- For  $v, w \in K_r$  and  $\beta, \gamma \in M_{r,n}$  we have that

$$e_{v,\gamma} + e_{w,\beta} = e_{u,\alpha},$$

where  $u = v \oplus w$  and  $\alpha = \begin{bmatrix} \gamma \\ \beta \end{bmatrix}$ .

# The Bipolar Theorem

We proved that  $\mathcal{E}$  is a cone. We prove now that for  $e := e_{v,\gamma} \in \mathcal{E}$  there exists a state  $p_e \in \mathcal{S}(M_n)$  such that  $e(p_e) \geq 0$ . Wlog we may assume that  $\gamma \neq 0$ .

- Let  $p_e$  be a state of  $M_n$  such that

$$p_e(\gamma^* \gamma) = \|\gamma\|^2,$$

- Set  $\beta = \gamma / \|\gamma\|$ , then

$$\beta^* v \beta \in K_n,$$

since  $0 \in K_1$  and thus

$$\operatorname{Re} F(\gamma^* v \gamma) = \|\gamma\|^2 \operatorname{Re} F(\beta^* v \beta) \leq p_e(\gamma^* \gamma).$$

- From the previous lemma we are done.

Proof of the theorem.

- From the classical bipolar theorem since  $K_n$  is a weakly closed convex set that contains the origin and  $u_0 \notin K_n$  there exists a weakly continuous linear functional  $F$  on  $M_n(V)$  such that

$$\operatorname{Re} F|_{K_n} \leq 1 < \operatorname{Re} F(u_0).$$

- From the previous lemma there exists a state  $p$  of  $M_n$  such that

$$\operatorname{Re} F(\gamma^* v \gamma) \leq p(\gamma^* \gamma),$$

for all  $\gamma \in M_{r,n}$  and  $v \in K_r$  and  $r \in \mathbb{N}$ .

# The Bipolar Theorem

- We may pick  $1 > \epsilon > 0$  such that  $G := (1 - \epsilon)F$  and  $q := (1 - \epsilon)p + \epsilon\tau$ , where  $\tau : M_n \rightarrow \mathbb{C}$  is the normalized trace, satisfy

$$\operatorname{Re}G(\gamma^*v\gamma) \leq q(\gamma^*\gamma)$$

and

$$\operatorname{Re}G|_{K_n} \leq 1 < \operatorname{Re}G(u_0).$$

- The state  $q$  is faithful and therefore it induces a representation  $\pi : M_n \rightarrow B(\mathcal{H})$  on a finite dimensional Hilbert space  $\mathcal{H}$ , with a separating and cyclic vector  $\xi$  where

$$q(\alpha) = \langle \pi(\alpha)\xi, \xi \rangle.$$

# The Bipolar Theorem

- For a row matrix  $\gamma = [\gamma_1 \dots \gamma_n]$  we define  $\tilde{\gamma} \in M_n$  where

$$\tilde{\gamma} = \begin{bmatrix} \gamma_1 & \gamma_2 & \dots \\ 0 & 0 & \dots \\ \dots & \dots & \dots \end{bmatrix}$$

and we set  $\tilde{M}_{1,n}$  be the linear space of all such matrices.

- Since  $\xi$  is separating

$$\mathcal{H}_0 = \pi(\tilde{M}_{1,n})\xi$$

is  $n$ -dimensional and also

$$B_v(\pi(\tilde{\alpha})\xi, \pi(\tilde{\beta})\xi) = G(\beta^*v\alpha),$$

is a well-defined sesquilinear form for every  $v \in V$ .

# The Bipolar Theorem

- Since  $B_v$  is defined on a finite dimensional space it is bounded and therefore there exists a  $\phi(v) \in B(\mathcal{H}_0)$  such that

$$G(\beta^* v \alpha) = \langle \phi(v) \pi(\tilde{\alpha}) \xi, \pi(\tilde{\beta}) \xi \rangle.$$

- The map

$$\phi : V \rightarrow B(\mathcal{H}_0),$$

is linear and weakly continuous.

- For  $v \in M_n(V)$  we have that

$$v = [v_{ij}] = \sum_{i,j} e_i v_{ij} e_j^*,$$

where  $e_i = \begin{bmatrix} 0 \\ \vdots \\ 1 \\ 0 \\ \vdots \end{bmatrix} \in M_{n,1}$ .

# The Bipolar Theorem

- We may identify  $\mathcal{H}_0$  with  $\mathbb{C}^n$  and  $B(\mathcal{H}_0)$  with  $M_n$  by fixing a basis of  $\mathcal{H}_0$ .
- If we denote by  $f_j$  the row matrix  $e_j^*$  then

$$G(v) = \sum_{i,j} \langle \phi(v_{ij})\pi(\tilde{f}_i)\xi, \pi(\tilde{f}_j)\xi \rangle = \langle \phi_n(v)\eta_0, \eta_0 \rangle,$$

where  $\eta_0 = \begin{bmatrix} \pi(\tilde{f}_1)\xi \\ \vdots \\ \pi(\tilde{f}_n)\xi \end{bmatrix} \in (\mathcal{H}_0)^n$  and

$$\|\eta_0\|^2 = \sum_{j=1}^n \|\pi(\tilde{f}_j)\xi\|^2 = \sum_{j=1}^n q(f_j^* f_j) = q(I) = 1.$$



- Let  $v = [v_{ij}] \in K_r$ . We wish to show that

$$\operatorname{Re}(\phi_r(v)) \leq I_r \otimes I_n.$$

After the identification of  $M_r \otimes M_n$  with  $M_r(M_n)$  we obtain that this is equivalent to

$$\operatorname{Re} \langle \phi_r(v)\eta, \eta \rangle = \langle \operatorname{Re}(\phi_r(v))\eta, \eta \rangle \leq \langle \eta, \eta \rangle,$$

for every  $\eta \in (\mathbb{C}^n)^r$ .

- Let  $\eta = \begin{bmatrix} \pi(\tilde{\alpha}_1)\xi \\ \vdots \\ \pi(\tilde{\alpha}_r)\xi \end{bmatrix}$  be in  $(\mathcal{H}_0)^r$ , where  $\alpha_i \in M_{1,n}$ .
- Then

$$\|\eta\|^2 = \sum_{i=1}^n \|\pi(\tilde{\alpha}_i)\xi\|^2 = \sum_{i=1}^n q(\alpha_i^* \alpha_i) = q(\alpha^* \alpha),$$

where  $\alpha = \begin{bmatrix} \alpha_1 \\ \vdots \\ \alpha_r \end{bmatrix} \in M_{r,n}$ .

- Thus,

$$\begin{aligned}\langle \operatorname{Re}(\phi_r(v))\eta, \eta \rangle &= \operatorname{Re} \left\langle \sum_{i,j} \phi(v_{ij})\pi(\tilde{\alpha}_j)\xi, \pi(\tilde{\alpha}_i)\xi \right\rangle \\ &= \operatorname{Re} \sum_{i,j} G(\alpha_i^* v_{ij} \alpha_j) \\ &= \operatorname{Re} G(\alpha^* v \alpha) \\ &\leq q(\alpha^* \alpha) = \|\eta\|^2\end{aligned}$$

- On the other hand,

$$\operatorname{Re} \langle \phi_n(u_0)\eta_0, \eta_0 \rangle = G(u_0) > 1,$$

and thus

$$\operatorname{Re}(\phi_n(u_0)) \not\leq I_n \otimes I_n.$$

Σας ευχαριστώ πολύ!