





# Operator Spaces: An introduction



21 October 2022

Aristides Katavolos

# Milestones I

-  F. J. Murray and J. Von Neumann.  
On rings of operators.  
*Ann. of Math. (2)*, 37(1):116–229, 1936.
-  I. Gelfand and M. Neumark.  
On the imbedding of normed rings into the ring of operators  
in Hilbert space.  
*Rec. Math. [Mat. Sbornik] N.S.*, 12(54):197–213, 1943.
-  W. Forrest Stinespring.  
Positive functions on  $C^*$ -algebras.  
*Proc. Amer. Math. Soc.*, 6:211–216, 1955.
-  William B. Arveson.  
Subalgebras of  $C^*$ -algebras.  
*Acta Math.*, 123:141–224, 1969.

# Milestones II

-  Man Duen Choi and Edward G. Effros.  
Injectivity and operator spaces.  
*J. Functional Analysis*, 24(2):156–209, 1977.
-  Zhong-Jin Ruan.  
Subspaces of  $C^*$ -algebras.  
*J. Funct. Anal.*, 76(1):217–230, 1988.

Let  $H$  be a Hilbert space. The algebra of all bounded linear operators  $T : H \rightarrow H$  is denoted  $\mathcal{B}(H)$ . It is complete under the norm

$$\|T\| = \sup\{\|Tx\| : x \in \text{ball}(H)\}$$

Moreover, it has an *involution*  $T \rightarrow T^*$  defined via

$$\langle T^*x, y \rangle = \langle x, Ty \rangle \quad \text{for all } x, y \in H.$$

This satisfies

$$\|T^*T\| = \|T\|^2 \quad \text{the } C^* \text{ property.}$$

# $\mathcal{B}(H)$ is a $C^*$ -algebra

Structure of  $\mathcal{B}(H)$ :

- 1 linear space
- 2 ring for composition of operators [thus, an associative algebra]
- 3  $*$ -vector space with identity
- 4 has a complete submultiplicative norm ( $\|TS\| \leq \|T\| \|S\|$ )
- 5 norm satisfies the  $C^*$  property  $\|T^*T\| = \|T\|^2$

# Provisional Definitions

- **Operator space**: A linear subspace of  $\mathcal{B}(H)$  (sometimes assumed closed)
- **Operator system**: A selfadjoint (i.e.  $*$ -closed) linear subspace of  $\mathcal{B}(H)$  containing the identity
- **C\*-algebra**: A selfadjoint,  $\|\cdot\|$ -closed subalgebra of  $\mathcal{B}(H)$

# Function Spaces

A **concrete function space** is a linear subspace  $E \subseteq \ell_\infty(\Gamma)$  for some  $\Gamma$ .

Is  $E/N$  a concrete function space on some  $\Gamma'$ ?

## Remark

*Every normed space can be isometrically represented as a concrete function space.*

Given  $(E, \|\cdot\|)$  and  $n \in \mathbb{N}$ , consider

$\ell_\infty^n(E) := \{([x_1, \dots, x_n] : x_i \in E)\}$  with sup norm.

Note that if  $E \subseteq \ell_\infty(\Gamma)$  then  $\ell_\infty^n(E) \subseteq \ell_\infty(\Gamma \times [n])$  isometrically.

## Remark

*If  $E$  is function system, so is  $\ell_\infty^n(E)$  for all  $n \in \mathbb{N}$ .*

# Operator Spaces

“Quantize”: Replace function in  $\ell_\infty(\Gamma)$  by operators in  $\mathcal{B}(H)$  for some Hilbert space  $H$ .

Given a subspace  $E \subseteq \mathcal{B}(H)$  and  $n \in \mathbb{N}$ , then

$M_n(E) \subseteq M_n(\mathcal{B}(H))$  as linear spaces.

But note  $M_n(\mathcal{B}(H)) \simeq \mathcal{B}(H^n)$  as linear spaces, where

$H^n := \{\vec{h} := [h_1, \dots, h_n] : x_i \in H\}$  with  $\langle \vec{h}, \vec{h}' \rangle := \sum_{k=1}^n \langle h_k, h'_k \rangle_H$

and  $M_n(\mathcal{B}(H)) \xrightarrow{\simeq} \mathcal{B}(H^n) : [a_{ij}] \mapsto A$  where  $A\vec{h} = [\sum_{j=1}^n a_{ij}h_j]$ .

## Remark

*If  $E$  is an operator space on  $H$ , then, for all  $n \in \mathbb{N}$ ,  $M_n(E)$  is an operator space on  $H^n$ .*

So the embedding  $j : E \xrightarrow{\simeq} \mathcal{B}(H)$  defines a sequence of norms  $\{\|\cdot\|_{M_n(E)} : n \in \mathbb{N}\}$ .



# Operator Spaces

## Definition

An **operator space**  $E$  is a pair  $(E, j)$  where  $E$  is a linear space and  $j : E \rightarrow \mathcal{B}(H)$  a linear embedding. If

$$\|[x_{ij}]\|_{M_n(E)} \stackrel{\text{def}}{=} \|[j(x_{ij})]\|_{\mathcal{B}(H^n)} \quad (x_{ij} \in E),$$

<sup>1</sup> the sequence of norms  $\{\|\cdot\|_{M_n(E)} : n \in \mathbb{N}\}$  is called the **operator space structure** on  $E$  induced by  $j$ .

## Definition

An **operator space structure on a normed space**  $(E, \|\cdot\|)$  is the operator space structure induced by a linear **isometric** embedding  $j : E \rightarrow \mathcal{B}(H)$  for some Hilbert space  $H$ .

(Thus  $\|j(x)\|_{\mathcal{B}(H)} = \|x\|_E$  for all  $x \in E$ )

---

<sup>1</sup>Thanks, Dimos!

# Completely bounded maps

**Notation** Given a linear map  $\phi : \mathcal{B}(H) \rightarrow \mathcal{B}(H')$ , for any  $n \in \mathbb{N}$  define  $\phi_n : \mathcal{B}(H^n) \rightarrow \mathcal{B}(H'^n) : [a_{ij}] \mapsto [\phi(a_{ij})]$ .

## Definition

A linear map  $\phi : E \rightarrow F$  between operator spaces is said to be **completely bounded** if (each  $\phi_n : M_n(E) \rightarrow M_n(F)$  is bounded and)  $\|\phi\|_{cb} := \sup_n \|\phi_n\| < \infty$ .

The map  $\phi$  is said to be **completely isometric** if each  $\phi_n : M_n(E) \rightarrow M_n(F)$  is an isometry.

Thus if  $j : E \hookrightarrow \mathcal{B}(H)$  is an isometric embedding, the induced operator space structure on  $E$  is by definition the one making  $j_n : (M_n(E), \|\cdot\|_{M_n(E)}) \rightarrow (\mathcal{B}(H^n), \|\cdot\|_{\mathcal{B}(H^n)})$  isometric for all  $n$ , i.e. making  $j$  a complete isometry.

# The minimal operator space structure on $(E, \|\cdot\|_E)$

## Remark

*Every normed space  $(E, \|\cdot\|_E)$  admits an operator space structure.*

*Proof* Embed  $E \hookrightarrow \ell_\infty(\Gamma)$  isometrically, then embed  $\ell_\infty(\Gamma) \hookrightarrow \mathcal{B}(\ell_2(\Gamma)) = \mathcal{B}(H)$  as diagonal operators. Let  $j$  be the composite, so  $\|j(x)\|_{\mathcal{B}(H)} = \|x\|_E$  for all  $x \in E$ . For  $n \in \mathbb{N}$  and  $x = [x_{ij}] \in M_n(E)$ , define  $\|x\|_{M_n(E)} := \|j_n(x)\|_{\mathcal{B}(H^n)}$ .  $\square$

This op. space structure is called **the minimal op. structure**  $\min E$  on  $(E, \|\cdot\|_E)$ . It has the universal property:

If  $F$  is an op. space and  $\phi : F \rightarrow E$  a bounded linear map, then  $\|\phi\|_{cb} = \|\phi\|$ .

For  $n \in \mathbb{N}$  and  $x = [x_{ij}] \in M_n(E)$ ,

$$\|[x_{ij}]\|_{\min} = \sup\{\|[\phi(x_{ij})]\|_{\mathcal{B}(H^n)} : \phi : E \rightarrow \mathbb{C} \text{ contraction}\}$$

(using  $\text{ball}(E^*)$  for  $\Gamma$ ).

# The maximal operator space structure on $(E, \|\cdot\|_E)$

Let  $\mathcal{S}$  be the family of all isometric embeddings  $\phi : E \rightarrow \mathcal{B}(H_\phi)$  (this is not empty since  $\min E$  exists).

The max structure corresponds to the embedding given by the 'direct sum' (suitable defined) of all the embeddings  $\phi : E \rightarrow \mathcal{B}(H_\phi)$ .

Each  $\phi$  induces a norm  $\|\cdot\|_n^\phi$  on each  $M_n(E)$  given by  $\|[x_{ij}]\|_n^\phi = \|[\phi(x_{ij})]\|_{\mathcal{B}(H_\phi^n)}$ . The max norm is defined to be the supremum of these norms:

$$\|[x_{ij}]\|_{\max} = \sup\{\|[\phi(x_{ij})]\|_{\mathcal{B}(H_\phi^n)} : (\phi, H_\phi) \in \mathcal{S}, [x_{ij}] \in M_n(E)\}$$

(this supremum is finite, since <sup>2</sup>

$$\|[\phi(x_{ij})]\|_{\mathcal{B}(H_\phi^n)} \leq \left(\sum_{i,j} \|\phi(x_{ij})\|_{\mathcal{B}(H_\phi)}^2\right)^{1/2} = \left(\sum_{i,j} \|x_{ij}\|_E^2\right)^{1/2}.$$

---

<sup>2</sup>Thanks, Mihalis!

# The maximal and minimal operator space structures

The **maximal operator space structure**  $\max E$  on  $(E, \|\cdot\|_E)$  has the universal property:

If  $V$  is an op. space and  $\psi : E \rightarrow V$  a bounded linear map, then  $\|\psi\|_{cb} = \|\psi\|$ .

To compare:

For  $n \in \mathbb{N}$  and  $x = [x_{ij}] \in M_n(E)$ ,

$$\|[x_{ij}]\|_{\min} = \sup\{\|[ \phi(x_{ij}) ]\|_{\mathcal{B}(H^n)} : \phi : E \rightarrow \mathbb{C} \text{ contraction}\}$$

$$\|[x_{ij}]\|_{\max} = \sup\{\|[ \phi(x_{ij}) ]\|_{\mathcal{B}(H_\phi^n)} : \phi : E \rightarrow \mathcal{B}(H_\phi) \text{ contraction}\}$$

(by the universal property of  $\max$ , using contractions instead of isometries does not increase  $\|\cdot\|_{\max}$ ).

## Example: $\min(\ell^1[d])$ and $\max(\ell^1[d])$

Let  $d \in \mathbb{N}$  and  $H = L^2(\mathbb{T}^d)$ . For  $k \in [d]$  let  $V_k \in \mathcal{B}(H)$  be multiplication by the  $k$ -th coordinate function:

$(V_k f)(z_1, \dots, z_d) = z_k f(z_1, \dots, z_d)$  ( $f \in H$ ). Then

$V_1, \dots, V_d \in \mathcal{B}(H)$  are **commuting** unitaries. Write

$\mathcal{C}_d = \text{span}\{V_1, \dots, V_d\} \subseteq \mathcal{B}(H)$ .

The map

$$J: \ell^1[d] \rightarrow \mathcal{C}_d \subseteq \mathcal{B}(H) : [a_k] \mapsto \sum_{k=1}^d a_k V_k$$

is a linear isometry, and  $J(\ell^1[d]) \simeq \min(\ell^1[d])$  completely isometrically.

## $\min(\ell^1[d])$ and $\max(\ell^1[d])$ continued

Let  $\mathbb{F}_d$  be the free group in  $d$  generators  $u_1, \dots, u_d$  and let  $(\pi, H_\pi)$  be the **universal** unitary representation of  $\mathbb{F}_d$  (the direct sum of all unitary representations on (separable) Hilbert spaces). Let  $U_1, \dots, U_d \in \mathcal{B}(H_\pi)$  be the images of the generators:  $U_k = \pi(u_k)$ . These are **free** unitaries. Write  $\mathcal{L}_d = \text{span}\{U_1, \dots, U_d\} \subseteq \mathcal{B}(H_\pi)$ .

The map

$$J_\pi : \ell^1[d] \rightarrow \mathcal{L}_d \subseteq \mathcal{B}(H_\pi) : [a_k] \mapsto \sum_{k=1}^d a_k U_k$$

is a linear isometry, and  $J_\pi(\ell^1[d]) \simeq \max(\ell^1[d])$  completely isometrically.