

A general formula in Additive Number Theory

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Abstract

Classical Additive Number Theory in \mathbb{Z} investigates the existence of a finite integer θ such that for a given infinite sequence of increasing non-negative integers $a_\nu = f(\nu)$, $\nu = 1, 2, \dots$ the Diophantine equation

$$f(x_1) + f(x_2) + \dots + f(x_\theta) = n$$

has solutions $x_i \geq 1$ for any $n \geq 0$, and determines, if possible, their number, asymptotically or otherwise (Goldbach, Waring, Hilbert, Hardy-Littlewood, Vinogradov, Erdős, ...). Our approach is different.

We denote by $A(n, N, \theta) = A(n, \theta)$ the number of solutions in integers $x_i \geq 0$ of the Diophantine system:

$$a_1x_1 + a_2x_2 + \dots + a_Nx_N = n \quad (1)$$

$$x_1 + x_2 + \dots + x_N = \theta \quad (1')$$

$A(n, \theta)$ expresses in how many ways n is a sum of θ integers taken from the set $\{a_1, \dots, a_N\}$.

The generating function for the $A(n, \theta)$, $n = 0, 1, \dots$, $\theta = 0, 1, \dots$, is:

$$\sum_{\substack{n=0 \dots \infty \\ \theta=0 \dots \infty}} A(n, \theta) x^n y^\theta = \prod_{\nu=1}^N \frac{1}{1 - x^{a_\nu} y} \quad ,$$

as seen by expanding all the $(1 - x^{a_\nu} y)^{-1}$ formally in power series and, after multiplication, collecting terms with equal exponents in x and y , respectively.

Considering x and y as complex variables we have by Cauchy's theorem for the coefficients of power series of several complex variables:

$$A(n, \theta) = \frac{1}{(2\pi i)^2} \int_{c_1} \int_{c_2} \frac{1}{x^{n+1} y^{\theta+1}} \prod_{\nu=1}^N \frac{1}{1 - x^{a_\nu} y} dx dy \quad , \quad (2)$$

where the integrals are taken over the circles $|x| = c_1 < 1$, $|y| = c_2 < 1$, respectively.

The partial fraction expansion with regard to y of $\prod_{\nu=1}^N (1 - x^{a_\nu} y)^{-1}$ gives

$$\prod_{\nu=1}^N \frac{1}{1 - x^{a_\nu} y} = \sum_{\nu=1}^N \frac{1}{y^{N-1} L'(x^{a_\nu})(1 - x^{a_\nu} y)} \quad ,$$

where $L'(t)$ is the derivative of $L(t) = \prod_{\nu=1}^N (t - x^{a_\nu})$.

Inserting in (2) and expanding $(1 - x^{a_\nu}y)^{-1}$ in power series of y within the circle c_2 we get successively:

$$\begin{aligned} A(n, \theta) &= \frac{1}{(2\pi i)^2} \int_{c_1} \int_{c_2} \frac{1}{x^{n+1}y^{\theta+1}} \left\{ \sum_{\nu=1}^N \frac{1}{y^{N-1}L'(x^{a_\nu})(1 - x^{a_\nu}y)} \right\} dx dy \\ &= \frac{1}{2\pi i} \int_{c_1} \frac{1}{x^{n+1}} \left\{ \frac{1}{2\pi i} \int_{c_2} \frac{1}{y^{\theta+N}} \sum_{\nu=1}^N \frac{1}{L'(x^{a_\nu})(1 - x^{a_\nu}y)} dy \right\} dx \\ &= \frac{1}{2\pi i} \int_{c_1} \frac{1}{x^{n+1}} \left\{ \sum_{\nu=1}^N \frac{1}{2\pi i} \int_{c_2} \frac{1}{y^{\theta+N}L'(x^{a_\nu})} (1 + x^{a_\nu}y + \dots) dy \right\} dx . \end{aligned}$$

Integrating over $|y| = c_2$ we obtain by Cauchy's theorem:

$$\begin{aligned} A(n, \theta) &= \frac{1}{2\pi i} \int_{c_1} \frac{1}{x^{n+1}} \left\{ \sum_{\nu=1}^N \frac{x^{a_\nu(\theta+N-1)}}{L'(x^{a_\nu})} \right\} dx \\ &= \sum_{\nu=1}^N \frac{1}{2\pi i} \int_{c_1} \frac{1}{x^{n+1-a_\nu(\theta+N-1)}} \frac{1}{L'(x^{a_\nu})} dx. \end{aligned} \quad (3)$$

In order to expand $1/L'(x^{a_\nu})$ in a power series of x we transform $L'(x^{a_\nu})$ as follows:

$$\begin{aligned} L'(x^{a_\nu}) &= (x^{a_\nu} - x_1^{a_1}) \dots (x^{a_\nu} - x_{\nu-1}^{a_{\nu-1}})(x^{a_\nu} - x_{\nu+1}^{a_{\nu+1}}) \dots (x^{a_\nu} - x_N^{a_N}) \\ &= x^{a_1+\dots+a_{\nu-1}}(x^{a_\nu-a_1} - 1) \dots (x^{a_\nu-a_{\nu-1}} - 1)x^{a_\nu(N-\nu)}(1 - x^{a_{\nu+1}-a_\nu}) \dots (1 - x^{a_N-a_\nu}) \\ &= (-1)^{\nu-1} x^{a_1+\dots+a_{\nu-1}+a_\nu(N-\nu)}(1 - x^{a_\nu-a_1}) \dots (1 - x^{a_\nu-a_{\nu-1}})(1 - x^{a_{\nu+1}-a_\nu}) \dots (1 - x^{a_N-a_\nu}). \end{aligned}$$

Inserting in (3) for $L'(x^{a_\nu})$ their above expressions and after calculations in the exponents, we obtain:

$$A(n, \theta) = \sum_{\nu=1}^N \frac{(-1)^{\nu-1}}{2\pi i} \int_{c_1} \frac{1}{x^{n+1+a_1+\dots+a_{\nu-1}-(\theta+\nu)a_\nu}} P_\nu(x) dx \quad (4)$$

where

$$P_\nu(x) = \frac{1}{(1 - x^{a_\nu-a_1}) \dots (1 - x^{a_\nu-a_{\nu-1}})(1 - x^{a_{\nu+1}-a_\nu}) \dots (1 - x^{a_N-a_\nu})} .$$

Since all exponents $a_\nu - a_\mu$ are positive, we can expand for $|x| \leq c_1$ the factors $P_\nu(x)$ in power series of x :

$$P_\nu(x) = \sum_{\lambda=0}^{\infty} B_\nu(\lambda) x^\lambda$$

where $B_\nu(\lambda)$ are respectively the number of non-negative integer solutions of the linear Diophantine equations

$$(a_\nu - a_1)x_1 + \dots + (a_\nu - a_{\nu-1})x_{\nu-1} + (a_{\nu+1} - a_\nu)x_\nu + \dots + (a_N - a_{N-1})x_{N-1} = \lambda .$$

Substituting in (4) the $P_\nu(x)$ by their respective power series and using again Cauchy's theorem we finally arrive at

$$A(n, \theta) = \sum_{\nu=1}^N (-1)^{\nu-1} B_\nu(s_\nu) , \quad (5)$$

with $s_\nu = n + \sum_{i=1}^{\nu} a_i - (\nu + \theta)a_\nu$ and $B_\nu(s_\nu)$ respectively, the number of solutions of each of the following linear Diophantine equations

$$(a_\nu - a_1)x_1 + \dots + (a_\nu - a_{\nu-1})x_{\nu-1} + (a_{\nu+1} - a_\nu)x_\nu + \dots + (a_N - a_{\nu-1})x_{N-1} = s_\nu \quad (6)$$

$$\nu = 1, \dots, N .$$

This formula reduces the investigation of the number of solutions of the initial system to that of the number of solutions of N linear Diophantine equations involving the difference sets (positive) $\{a_\nu - a_\mu\}$ and the numbers s_ν .

Geometrically speaking this means that the number of *Gitterpunkte* in the intersection of the two N -dimensional planes (1) and (1') in the positive quadrant $x_i \geq 0$ is equal to the alternate sum of the number of *Gitterpunkte* of the $(N - 1)$ -dimensional planes (6) in the same quadrant.

As standard examples, theorems and conjectures we may cite $a_\nu = (\nu - 1)^2$, $\theta = 4$ (Lagrange), $a_\nu = (\nu - 1)^k$ (Waring), $a_\nu = \nu$ -th prime, $\theta = 2$, n even (Goldbach), $a_\nu = \nu^p$, $\theta = 2$, $n = n_1^p$, $p \geq 3$ (Fermat).

Obviously in order to attack the problem for a given sequence we have to take into account that N is linked to n by a function $N(n)$ (ex.g. for Lagrange $N(n) = [n^{\frac{1}{2}}]$). This complicates the matter but still an ad hoc suggestion would be to approximate the $B_\nu(s_\nu)$ as follows

$$B_\nu(s_\nu) \sim \frac{s_\nu^{N-2}}{(N-2)!(a_\nu - a_1) \cdots (a_N - a_\nu)} ,$$

which is valid for $n \rightarrow \infty$ but fixed N (Polya-Szegö, Aufgaben und Lehrsätze aus der Analysis I; loosing no generality the differences $a_\nu - a_\mu$ can be assumed free of common divisors > 1).

Summing over ν (we write N for short of $N(n)$) and reverting again to the polynomials $L(t)$, written now as $L_N(t)$, we obtain from (5)

$$\frac{1}{(N-2)!} \sum_{\nu=1}^N \frac{s_\nu^{N-2}}{L'_N(a_\nu)} , \quad (7)$$

as a plausible heuristic estimate of $A(n, \theta)$ for $n \rightarrow \infty$.

The behaviour of the expressions involving n and θ :

$$\frac{n + \sum_{i=1}^{\nu} a_i - (\nu + \theta)a_\nu}{|a_\nu - a_\mu|} \quad \text{The cutting points of the planes (6) with the coordinate axes,}$$

$$\frac{n + \sum_{i=1}^{\nu} a_i - (\nu + \theta)a_\nu}{\sqrt{\sum_{\nu=1}^N (a_\nu - a_\mu)^2}} \quad \text{The distances of the planes (6) from the origin,}$$

would play, we believe, a decisive role in any such attempt.

As to its form the sum (7) bears a striking resemblance to the sums

$$\sum_{\nu=1}^N \frac{a_{\nu}^t}{L'_N(a_{\nu})}$$

encountered in Lagrange interpolation with a_{ν} replaced by s_{ν} in the numerator. As known these expressions considered as functions of the exponent t are equal to:

$$\left\{ \begin{array}{ll} \frac{(-1)^{N-1}}{a_1 \cdots a_N} \sum_{\sum i=-t-1} \frac{1}{a_1^{i_1} \cdots a_N^{i_N}} & \text{for } t \leq -1 \\ 0 & \text{for } 0 \leq t \leq N-2 \\ 1 & \text{for } t = N-1 \\ \sum_{\sum i=t-N+1} a_1^{i_1} \cdots a_N^{i_N} & \text{for } N \leq t \end{array} \right.$$

Above facts may prove, eventually, useful in further developments.