# Normalizers & Approximate Units for Inclusions of *C*\*-Algebras

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# Inclusions

Here are the objects of interest in today's talk.

## Definition

An *inclusion* is a pair of  $C^*$ -algebras  $(\mathbb{C}, \mathcal{D})$  with  $\mathcal{D} \subseteq \mathbb{C}$  and  $\mathcal{D}$  abelian.

## Definition

The inclusion  $(\mathcal{C}, \mathcal{D})$ 

- is a *MASA inclusion* if  $\mathcal{D}$  is a MASA in  $\mathcal{C}$ ;
- has the *approximate unit property (AUP)* if  $\mathcal{D}$  contains an approximate unit for  $\mathcal{C}$ .
- is *regular* if the set of *normalizers*

$$\mathbb{N}(\mathbb{C}, \mathbb{D}) := \{ \mathbf{v} \in \mathbb{C} : \mathbf{v} \mathbb{D} \mathbf{v}^* \cup \mathbf{v}^* \mathbb{D} \mathbf{v} \subseteq \mathbb{D} \}$$

has dense span in C;

• is *singular* if  $\mathcal{N}(\mathcal{C}, \mathcal{D}) = \mathcal{D}$ .

# **Cartan Inclusions**

Among the nicest inclusions are Cartan inclusions.

## Definition (Renault)

 $({\mathfrak C},{\mathfrak D})$  is a Cartan inclusion if

- $(\mathfrak{C}, \mathfrak{D})$  is a regular MASA inclusion;
- $\exists$  a faithful conditional expectation  $\mathbb{E} : \mathfrak{C} \to \mathfrak{D}$ ; and
- $(\mathfrak{C}, \mathfrak{D})$  has the AUP.
- Renault introduced these as a C\*-analog of Cartan MASA in W\*-algebras (Feldman-Moore); Cartan incln's extend theory of C\*-diagonals (Kumjian). Cartan inclusions have a groupoid model which makes them "fancy matrix algebras".
- Renault included the AUP condition in definition b/c the groupoid models have it & it appears needed due to examples similar to those I'll discuss now.

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# Some Examples With & Without AUP

### Examples

Let  $\mathcal{H} = L^2(\mathbb{T})$  with o.n. basis  $\{\zeta_n\}_{n \in \mathbb{Z}}$  where  $\zeta_n(z) = z^n$ , put

 $\mathcal{M} := \{ \text{multiplication op's on } \mathcal{B}(\mathcal{H}) \text{ by } f \in C(\mathbb{T}) : f(1) = 0 \}$  $\mathcal{D}_a := \overline{\text{span}} \{ \zeta_n \zeta_n^* : n \in \mathbb{Z} \} \quad \text{(the subscript in } \mathcal{D}_a \text{ denotes "atomic")}.$ 

Then  $(\mathcal{M} + \mathcal{K}(\mathcal{H}), \mathcal{D}_a)$  and  $(\mathcal{M} + \mathcal{K}(\mathcal{H}), \mathcal{M})$  are MASA inclusions, but

- (M + K(H), D<sub>a</sub>) does not have AUP and is neither regular nor singular,
- 2  $(\mathcal{M} + \mathcal{K}(\mathcal{H}), \mathcal{M})$  has the AUP and is singular.

A modification of (2) gives a singular MASA incl'n w/o AUP:

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# Example: A Singular MASA Inclusion Without the AUP

With  $\mathcal{M}$  and  $\mathcal{H}$  as above, let  $\{\xi_k : k \in \mathbb{N}\} \subseteq \mathcal{H} \setminus \{0\}$  be dense in  $\mathcal{H}$ .

Put  $p_n = \text{proj } \mathbb{C}\xi_n$ , set  $P = \bigoplus_{n \in \mathbb{N}} p_n$  and for  $T \in \mathcal{M}$ , let  $\tilde{T} = \bigoplus_{n \in \mathbb{N}} T$ . Let

$$\mathfrak{D} := \{ \tilde{T} : T \in \mathfrak{M} \}$$
 and  $\mathfrak{C} := C^*(\{ P \} \cup \mathfrak{D} ).$ 

#### Fact

 $(\mathcal{C}, \mathcal{D})$  is a singular MASA inclusion without the AUP.

(If  $(\tilde{u}_{\lambda})$  is an a.u. for  $\mathcal{D}$ , then

$$\|P - \tilde{u}_{\lambda}P\| = \sup_{n} \|(I - u_{\lambda})p_{n}\| = \|I - u_{\lambda}\| = 1$$

b/c  $u_{\lambda}(1) = 0.)$ 

# Characterizing the AUP for Regular Incl'ns

## Proposition

Let  $(\mathbb{C}, \mathbb{D})$  be an inclusion.

**)** 
$$(\mathcal{C}, \mathcal{D})$$
 has  $AUP \Rightarrow \forall v \in \mathcal{N}(\mathcal{C}, \mathcal{D}), v^*v \in \mathcal{D}.$ 

If (C, D) is regular & v\*v ∈ D∀v ∈ N(C, D), then (C, D) has AUP.

So: a reg. incl'n  $(\mathcal{C}, \mathcal{D})$  has AUP  $\Leftrightarrow v^*v \in \mathcal{D} \forall v \in \mathcal{N}(C, \mathcal{D})$ .

#### The proof is easy:

(1) If  $(u_{\lambda})$  an a.u. for  $\mathcal{D}$  & an a.u. for  $\mathcal{C}$ , then

 $v^*v = \lim_{\lambda} v^*u_{\lambda}v \in \mathcal{D}.$ 

(2) Let  $(u_{\lambda})$  be an a.u. for  $\mathcal{D}$  and  $v \in \mathcal{N}(\mathcal{C}, \mathcal{D})$ . As  $v^*v \in \mathcal{D}$ , get  $\|vu_{\lambda} - v\|^2 = \|(u_{\lambda}v^* - v^*)(vu_{\lambda} - v)\| \to 0$ . By regularity,  $(u_{\lambda})$  an a.u. for  $\mathcal{C}$ .

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For a general incl'n  $(\mathcal{C}, \mathcal{D})$  and  $v \in \mathcal{N}(\mathcal{C}, \mathcal{D})$ ,  $v^*v$  may not belong to  $\mathcal{D}$  (e.g. when  $\mathcal{D}$  is a proper ideal of  $\mathcal{C} = C_0(\mathbb{R})$ ). However,

## Proposition (The Commutation Prop'n)

Let  $(\mathbb{C}, \mathbb{D})$  be any inclusion,  $v \in \mathbb{N}(\mathbb{C}, \mathbb{D})$ . Then for every  $d \in \mathbb{D}$ ,

 $v^*vd = dv^*v \in \mathcal{D}$  and  $vv^*d = dvv^* \in \mathcal{D}$ .

Also, if  $\rho_1$ ,  $\rho_2$  are states on  $\mathcal{C}$  such that  $\rho_1|_{\mathcal{D}} = \rho_2|_{\mathcal{D}} \in \hat{\mathcal{D}}$ , then

$$\rho_1(\mathbf{v}^*\mathbf{v}) = \rho_2(\mathbf{v}^*\mathbf{v}) \quad \& \quad \rho_1(\mathbf{v}\mathbf{v}^*) = \rho_2(\mathbf{v}\mathbf{v}^*).$$

I'll sketch the proof, then give a number of consequences. Proof uses only standard operator theory.

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WLOG assume  $C \subseteq B(H)$  & let  $h = v^* v$ . Since  $h \in N(C, D)$ ,  $\forall d \in D$ ,

## $(d^*hd)^2 = d^*hd \ d^*hd \in \mathcal{D} \Rightarrow d^*hd \in \mathcal{D}.$

For  $(u_{\lambda})$  an approx unit for  $\mathcal{D}$ ,

 $Q := \text{sot-lim } u_{\lambda} = \text{proj } \overline{\mathcal{DH}} \& Qd = dQ = d.$ 

Gives  $QhQ = \lim u_{\lambda}hu_{\lambda} \in \mathcal{D}'$ . Also  $QhQ^{\perp} = 0$  b/c  $Q^{\perp}hQhQ^{\perp} = \text{sot-}\lim Q^{\perp}(hu_{\lambda}h)Q^{\perp} = 0$ . Thus,  $Qh = hQ = QhQ \in \mathcal{D}'$ . Then dh = d(Qh) = (Qh)d = hd. • For  $0 \le f \in \mathcal{D}$ ,  $(fh)^2 = f^2h^2 = hf^2h \in \mathcal{D}$ , so  $fh \in \mathcal{D}$ . • Last statement follows from an application of C.S. inequality:

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 $p \in \mathbb{S}(\mathbb{C}) \& \rho|_{\mathbb{D}} \in \hat{\mathbb{D}} \Rightarrow \forall d \in \mathbb{D}, \ \rho(dx) = \rho(d)\rho(x).$ 

WLOG assume  $C \subseteq B(H)$  & let  $h = v^* v$ . Since  $h \in N(C, D)$ ,  $\forall d \in D$ ,

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dh = d(Qh) = (Qh)d = hd.

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Last statement follows from an application of C.S. inequality:
 ρ ∈ S(C) & ρ|<sub>D</sub> ∈ D̂ ⇒ ∀ d ∈ D, ρ(dx) = ρ(d)ρ(x).

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# Consequence: "Non-commutative Compatifications"

For  $v \in \mathcal{N}(\mathcal{C}, \mathcal{D})$ , in general  $(v, 0) \notin \mathcal{N}(\tilde{\mathcal{C}}, \tilde{\mathcal{D}})$ . But

## Corollary

Let  $(\mathcal{C}, \mathcal{D})$  be a MASA incl'n.

- For  $v \in \mathcal{N}(\mathcal{C}, \mathcal{D})$ ,  $(v, 0) \in \mathcal{N}(\tilde{\mathcal{C}}, \tilde{\mathcal{D}})$  (b/c  $v^*v \in \mathcal{D}$ )
- Suppose further (C, D) has AUP, B unital and C ≤ B is essential. Let D<sub>B</sub> := M(D) ∩ B. Then (B, D<sub>B</sub>) is a MASA incl'n and N(C, D) ⊂ N(B, D<sub>B</sub>).

A method for constructing singular MASA inclusions:

Fact (Unital case is in Exel-P-Zarikian, non-unital case uses part (1) of Corollary.)

Suppose  $(\mathbb{C}, \mathbb{D})$  a MASA inclusion &  $J \leq \mathbb{C}$  with  $J \cap \mathbb{D} = (0)$ . Then  $(\mathbb{D} + J, \mathbb{D})$  is a singular MASA inclusion.

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# Consequence: Dynamical Objects—partial automorphism

Let  $(\mathcal{C}, \mathcal{D})$  be any inclusion and fix  $v \in \mathcal{N}(\mathcal{C}, \mathcal{D})$ .

#### The Partial Automorphism Associated to v

Let *B* be an *AW*<sup>\*</sup>-algebra with  $C \subseteq B$  and let  $v = u|v| = |v^*|u$  be the polar decomposition of *v* in *B*. Then

- $\overline{vv^*\mathcal{D}}$  and  $\overline{v^*v\mathcal{D}}$  are ideals in  $\mathcal{D}$  and
- the map  $vv^*d \mapsto v^*dv$  uniquely extends to a \*-isomorphism  $\theta_v : \overline{vv^*\mathcal{D}} \to \overline{v^*v\mathcal{D}}$  such that for each  $h \in \overline{vv^*\mathcal{D}}$ ,

$$v\theta_v(h) = hv$$
 and  $u^*hu = \theta_v(h)$ .

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# Consequence: Dynamical Objects—partial homeomorphism

Dually, have

## The Partial Homeomorphism Associated to v

The sets dom  $v := \{\sigma \in \hat{\mathbb{D}} : \sigma(v^* v \mathcal{D}) \neq 0\}$  and range  $v := \{\sigma \in \hat{\mathbb{D}} : \sigma(vv^* \mathcal{D}) \neq 0\}$  are open subsets of  $\hat{\mathbb{D}}$  and  $\exists$ a homeomorphism  $\beta_v : \text{dom } v \to \text{range } v$  such that for every  $h \in \overline{vv^* \mathcal{D}}$  and  $\sigma \in \text{dom } v$ ,

$$\beta_{\mathbf{v}}(\sigma)(\mathbf{h}) = \sigma(\theta_{\mathbf{v}}(\mathbf{h})).$$

For  $\sigma \in \text{dom } v$ , define  $\sigma(v^*v) := \rho(v^*v)$ , where  $\rho$  is any extension of  $\sigma$  to a state on  $\mathcal{C}$ . Then  $\sigma(v^*v) \neq 0$  and for  $d \in \mathcal{D}$ ,

$$\beta_{\mathbf{v}}(\sigma)(\mathbf{d}) = \frac{\sigma(\mathbf{v}^*\mathbf{d}\mathbf{v})}{\sigma(\mathbf{v}^*\mathbf{v})}.$$

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# Consequence: Reg. MASA Incl'ns have AUP

## Corollary

If  $(\mathbb{C}, \mathbb{D})$  is a regular MASA inclusion, then  $(\mathbb{C}, \mathbb{D})$  has the AUP.

#### Proof.

For  $v \in \mathcal{N}(\mathcal{C}, \mathcal{D})$ , commutation prop'n gives  $v^*v \in \mathcal{D}' \cap \mathcal{C}$ , so  $v^*v \in \mathcal{D}$  (b/c  $\mathcal{D}$  a MASA). By AUP characterization,  $(\mathcal{C}, \mathcal{D})$  has AUP.

**Remark:** If  $(\mathcal{C}, \mathcal{D})$  is a regular MASA inclusion with  $\mathcal{C}$  unital  $\exists !$ u.c.p.  $\Delta : \mathcal{C} \to I(\mathcal{D})$  with  $\Delta|_{\mathcal{D}} = id|_{\mathcal{D}}$  ( $\Delta$  is pseudo-expectation). When  $\Delta(\mathcal{C}) \subseteq \mathcal{D}$ ,  $\Delta$  is a cond. expectation. For each

 $(\clubsuit, \heartsuit) \in \begin{cases} \text{cond. expectation} \\ \text{not cond. expectation} \end{cases} \times \begin{cases} \text{faithful} \\ \text{not faithful} \end{cases}$  $\exists \text{ a regular MASA inclusion } (\mathcal{C}, \mathcal{D}) \text{ such that } \Delta \text{ has property } \clubsuit \\ \text{and } \heartsuit. \end{cases}$ 

Since regular MASA inclusions have the AUP, we get:

Simplified Definition of Cartan Inclusion

 $(\mathfrak{C}, \mathfrak{D})$  is a Cartan inclusion if

- (𝔅, 𝔅) is a regular MASA inclusion; and
- $\exists$  a faithful cond. expect.  $\mathbb{E} : \mathbb{C} \to \mathcal{D}$ .

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## Definition (Kumjian)

A normalizer  $v \in N(\mathbb{C}, \mathcal{D})$  is free if  $v^2 = 0$ .  $N_f(\mathbb{C}, \mathcal{D}) := \{ \text{free normalizers} \}$ 

## Definition

An inclusion  $(\mathcal{C}, \mathcal{D})$  satisfies Kumjian's Conditions if:

- (I)  $\exists$  a faithful conditional expectation  $\mathbb{E} : \mathbb{C} \to \mathcal{D}$ ; and
- (II) ker  $\mathbb{E} = \overline{\operatorname{span}} N_f(\mathcal{C}, \mathcal{D})$ .

## Definition (Kumjian)

The incl'n  $(\mathcal{C}, \mathcal{D})$  is a *C*<sup>\*</sup>-diagonal if when

 $\mathfrak{C}$  unital,  $(\mathfrak{C}, \mathfrak{D})$  satisfies Kumjian's conditions;

 ${\mathfrak C}$  non-unital, the unitization  $(\tilde{{\mathfrak C}},\tilde{{\mathfrak D}})$  satisfies Kumjian's conditions.

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In unital setting,  $C^*$ -diagonals are Cartan inclusions with extension property:

Fact A ( $\Rightarrow$  due to Kumjian, converse due to ?)

When  $\mathcal{C}$  UNITAL,  $(\mathcal{C}, \mathcal{D})$  a  $C^*$ -diagonal  $\Leftrightarrow$   $(\mathcal{C}, \mathcal{D})$  is Cartan & has extension property, i.e.  $\forall \sigma \in \hat{\mathcal{D}}, \exists! \sigma' \in \mathcal{S}(\mathcal{C})$  with  $\sigma'|_{\mathcal{D}} = \sigma$ .

Having Fact A in the non-unital context would lead to streamlined def'n of  $C^*$ -diagonals.

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# **Consequence:** Free Normalizers

## Corollary

Suppose  $v \in N_f(\mathbb{C}, \mathbb{D})$  and  $\rho$  a state on  $\mathbb{C}$  s.t.  $\rho|_{\mathbb{D}} \in \hat{\mathbb{D}}$ . Then

 $\rho(v) = 0.$ 

#### Proof.

Let  $d \in \mathcal{D}$  such that  $\rho(d) = 1$ . Since  $v^*vd$ ,  $dvv^* \in \mathcal{D}$ 

$$\rho(\mathbf{v}^*\mathbf{v})\rho(\mathbf{v}\mathbf{v}^*)=\rho(d\mathbf{v}^*\mathbf{v})\rho(\mathbf{v}\mathbf{v}^*d)=\rho(d\mathbf{v}^*\mathbf{v}\mathbf{v}\mathbf{v}^*d)=\mathbf{0}.$$

By Cauchy-Schwartz,

$$|\rho(\mathbf{v})|^2 \leq \min\{\rho(\mathbf{v}^*\mathbf{v}), \rho(\mathbf{v}\mathbf{v}^*)\} = \mathbf{0}.$$

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# Consequence: Characterizations of Kumjian's Conditions

## Corollary on $N_f(\mathbb{C}, \mathcal{D})$ leads to:

Proposition

Suppose C not unital. TFAE

- **(** $\mathfrak{C}, \mathfrak{D}$ ) satisfies Kumjian's conditions.
- (C, D) is a Cartan inclusion such that every pure state of D has a unique extension to a state on C.
- (C, D) is a Cartan inclusion such that every pure state of D has a unique extension to a state on C and no pure state of C annihilates D (e.g. has Archbold-Bunce-Gregson's E.P.).
- (C, D) is a Cartan inclusion such that every pure state of D extends uniquely to a state on C.
- **(** $\tilde{\mathbb{C}}, \tilde{\mathbb{D}}$ **)** satisfies Kumjian's conditions.

Fact A for non-unital case is (1) $\Leftrightarrow$  (2) in previous prop'n, so restating, we have:

Streamlined Definition of C\*-Diagonal

Whether unital or not,  $(\mathfrak{C}, \mathfrak{D})$  a C\*-diagonal if

- (C, D) satisfies Kumjian's conditions; or equivalently,
- (C,D) is a Cartan inclusion such that every pure state on D extends uniquely to a state on C.

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# Consequence: Unitizations of C\*-Diagonals

 $(1) \Leftrightarrow (5)$  of previous Proposition gives,

## Fact

Let  $(\mathfrak{C}, \mathfrak{D})$  be an inclusion with  $\mathfrak{C}$  non-unital. Then  $(\mathfrak{C}, \mathfrak{D})$  a  $C^*$ -diagonal  $\Leftrightarrow (\tilde{\mathfrak{C}}, \tilde{\mathfrak{D}})$  is a  $C^*$ -diagonal.

What about Cartan inclusions? NOT TRUE!

## Example (( $\tilde{\mathbb{C}}, \tilde{\mathbb{D}}$ ) Cartan $\neq$ ( $\mathbb{C}, \mathbb{D}$ ) Cartan)

Let  $\mathcal{C} = C^*(S)$  (Toeplitz Alg),  $\mathcal{D} = C^*(\{S^n S^{*n} \cup \{I\}) \& q : \mathcal{C} \twoheadrightarrow \mathcal{C}/\mathcal{K} = C(\mathbb{T})$ . For  $\lambda \in \mathbb{T}$ , let  $\tau_{\lambda}(x) = q(x)(\lambda)$ , note  $\tau_{\lambda}$  multiplicative. Put

 $\mathcal{C}_{\lambda} = \ker \tau_{\lambda}, \quad \mathcal{D}_{\lambda} = \ker \tau_{\lambda} \cap \mathcal{D} = \mathcal{C}_{\lambda} \cap \mathcal{D}.$ 

Then  $(\mathcal{C}_{\lambda}, \mathcal{D}_{\lambda})$  a MASA incl'n, but doesn't have AUP (b/c  $S - \lambda I \notin \mathcal{K}$ ), so  $(\mathcal{C}_{\lambda}, \mathcal{D}_{\lambda})$  not regular. Thus  $(\mathcal{C}_{\lambda}, \mathcal{D}_{\lambda})$  not Cartan, but  $(\tilde{\mathcal{C}}_{\lambda}, \tilde{\mathcal{D}}_{\lambda}) \simeq (\mathcal{C}, \mathcal{D})$  is Cartan.

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# Consequence: Unitization of Cartan Inclusions

Adding the hypothesis of regularity we get:

#### Fact

Let  $(\mathfrak{C}, \mathfrak{D})$  be a regular inclusion with  $\mathfrak{C}$  non-unital. Then  $(\mathfrak{C}, \mathfrak{D})$ a Cartan inclusion  $\Leftrightarrow (\tilde{\mathfrak{C}}, \tilde{\mathfrak{D}})$  a Cartan inclusion.

## Sketch of proof.

 $(\Leftarrow): \text{Let } (\tilde{\mathbb{C}}, \tilde{\mathcal{D}}) \text{ be Cartan with cond. expect } \mathbb{E}: \tilde{\mathbb{C}} \to \tilde{D}.$ 

- Then  $(\mathcal{C}, \mathcal{D})$  a regular MASA incl'n, so has AUP
- As  $\mathbb{E}$  is a  $\mathcal{D}$ -module map, AUP gives  $\mathbb{E}(\mathcal{C}) = \mathcal{D}$ .
- Define *E* = 𝔼|<sub>𝔅</sub> to get faithful cond. expect. of 𝔅 onto 𝔅.
- $(\Rightarrow)$  : This is routine.

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Intermediate algebras (maybe nonselfadjoint) have AUP:

Corollary

Suppose  $(\mathcal{C}, \mathcal{D})$  a reg. MASA incl'n, and  $\mathcal{A}$  a norm-closed alg with  $\mathcal{D} \subseteq \mathcal{A} \subseteq \mathcal{C}$ . Then  $(\mathcal{A}, \mathcal{D})$  has AUP.

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#### Question

When is an incl'n  $(\mathcal{C}, \mathcal{D})$  intermediate to a regular MASA inclusion (i.e.  $\mathcal{D} \subseteq \mathcal{C} \subseteq \mathcal{B}$ , where a  $(\mathcal{B}, \mathcal{D})$  reg. MASA incl'n)?

A unital, regular MASA inclusion  $(\mathcal{B}, \mathcal{D})$  has the unique pseudo-expectation property (i.e.  $\exists !$  u.c.p. map  $E : \mathcal{B} \to I(\mathcal{D})$ with  $E|_{\mathcal{D}} = id$ ). This passes to intermediate inclusions, so we get

## **Necessary Conditions**

- (C, D) has the AUP
- $(\tilde{\mathbb{C}}, \tilde{\mathbb{D}})$  has the unique pseudo-expectation property

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## Example (Based on an example in Exel-P-Zarikian)

Fix  $n \ge 3$ ,  $\Gamma = SL_n(\mathbb{Z})$  acting on  $\mathbb{T}^n = \mathbb{R}^n/\mathbb{Z}^n$  by matrix multiplication,  $\mu$  normalized Haar meas on  $\mathbb{T}^n$ . On  $\mathcal{H} = L^2(\mathbb{T}^n, \mu)$ , let  $(U_s f)(t) = f(s^{-1} \cdot t)$  & let

 $\mathcal{D} := \{ \text{multiplication op's by } f \in C(\mathbb{T}^n) \} \& \mathbb{C} := C^*(\mathcal{D}, \{U_s\}_{s \in \Gamma}).$ Then

- (C, D) a reg. MASA incl'n (mostly b/c action of Γ on T<sup>n</sup> is top. free);
- $\Gamma$  has prop. (T)  $\Rightarrow \mathcal{K}(\mathcal{H}) \subseteq \mathcal{C}$  (Chau-Lau-Rosenblatt).

So  $\mathcal{D} \subseteq \mathcal{D} + \mathcal{K}(\mathcal{H}) \subseteq \mathcal{C}$ , i.e.  $(\mathcal{D} + \mathcal{K}(\mathcal{H}), \mathcal{D})$  a singular MASA incl'n intermediate to a reg. MASA incl'n.

Also,  $\exists$  free action of  $\Gamma$  on Cantor set admitting inv. measure (Elek, '21)  $\Rightarrow \exists$  incl'n ( $\mathfrak{C}_{\kappa}, \mathfrak{D}_{\kappa}$ ) having ext. property &  $\mathfrak{K} \subseteq \ker \mathbb{E}$ .

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Minor modifications to previous example give:

## Example (E-P-Z)

Let  $\mathfrak{D} \subseteq \mathfrak{B}(\mathfrak{H})$  be a non-atomic MASA. Set

- $\mathfrak{C}_0=\text{span}\,\mathbb{N}(\mathbb{B}(\mathbb{H}),\mathfrak{D})\text{ and }\mathfrak{C}=\overline{\text{span}}\,\mathbb{N}(\mathbb{B}(\mathbb{H}),\mathfrak{D}).$  Then
  - $(\mathfrak{C},\mathfrak{D})$  has the pure state extension property;

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$$\mathfrak{C}_0 \cap \mathfrak{K}(\mathfrak{H}) = (0);$$
 but

Thus:  $(\mathfrak{D} + \mathfrak{K}(\mathfrak{H}), \mathfrak{D})$  is a singular MASA inclusion intermediate to a regular MASA inclusion  $(\mathfrak{C}, \mathfrak{D})$  having extension prop.

**Remark:** Item (3) affirmatively answers a question raised by Paulsen & Katavolos in *On ranges of bimodule projections* Canad. Math. Bull. 2005.

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Do these kinds of examples work more generally?

### **Test Question**

If  $D \subseteq B(H)$  & D'' a non-atomic MASA, when is (D + K(H), D) an intermediate inclusion? (D not assumed unital.)

A Concrete Case: If  $C(\mathbb{T})$  acts on  $L^2(\mathbb{T})$  as multiplication op's, and  $\mathbb{D} \trianglelefteq C(\mathbb{T})$  is an essential ideal, is  $(\mathbb{D} + \mathcal{K}(L^2(\mathbb{T})), \mathbb{D})$  an intermediate incl'n?

Note: The necessary conditions hold in test question setting.

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# No MASA in $\mathcal{B}(\mathcal{H})$ is Intermediate

## Theorem

Suppose dim  $\mathcal{H} = \aleph_0 \& \mathcal{D}$  is a MASA in  $\mathcal{B}(\mathcal{H})$ . Then  $(\mathcal{B}(\mathcal{H}), \mathcal{D})$  is not intermediate to a regular MASA inclusion.

When  $\mathcal{D}$  HAS A CONTINUOUS PART, there are multiple cond. expectations (= psuedo-expectations b/c  $\mathcal{D}$  is injective) of  $\mathcal{B}(\mathcal{H})$  onto  $\mathcal{D}$ , so  $(\mathcal{B}(\mathcal{H}), \mathcal{D})$  not intermediate. When  $\mathcal{D}$  an ATOMIC MASA, one shows:

- ( $\mathfrak{B}(\mathfrak{H}), \mathfrak{D}$ ) is not regular;
- If (B(H), D) is intermediate to a reg. MASA incl'n, then it is intermediate to a C\*-diagonal;
- If (B(H), D) intermediate to a C\*-diag (C, D), then K(H) an essential ideal of C, which forces
   B(H) ⊆ C ⊆ M(K(H)) = B(H).

So  $(\mathcal{B}(\mathcal{H}), \mathcal{D})$  intermediate to a reg. MASA incl'n  $\Rightarrow (\mathcal{B}(\mathcal{H}), \mathcal{D})$ regular, contradicting  $\blacklozenge$ .

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Turns out that A follows from work of Katavolos-Paulsen.

Here's my very different alternate proof: let  $q: \mathcal{B}(\mathcal{H}) \to \mathcal{B}(\mathcal{H})/\mathcal{K}(\mathcal{H})$  be quotient map. Assuming  $(\mathcal{B}(\mathcal{H}), \mathcal{D})$  is regular, then

- $(\mathcal{B}(\mathcal{H})/\mathcal{K}(\mathcal{H}), q(\mathcal{D}))$  is a regular MASA inclusion;
- the cond. expect.  $ilde{\mathbb{E}}: \mathbb{B}(\mathcal{H})/\mathcal{K}(\mathcal{H}) o q(\mathcal{D})$  is not faithful (if

$$P = \bigoplus_n \xi_n \xi_n^*$$
 where  $\xi_n = \left(\sum_{j=1}^n e_n\right)/n$ , then  $\mathbb{E}(P) = 0$ ).

So  $\mathcal{L} := \{ \dot{\mathcal{T}} \in \mathcal{B}(\mathcal{H}) / \mathcal{K}(\mathcal{H}) : \tilde{\mathbb{E}}(\dot{\mathcal{T}}^* \dot{\mathcal{T}}) = 0 \}$  is a non-zero ideal, contradicting simplicity of Calkin alg.

### Problem

Find  $T \in \mathcal{B}(\mathcal{H}) \setminus \overline{\text{span}} \mathcal{N}(\mathcal{B}(\mathcal{H}), \mathcal{D})$ .

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# Some Behavior of Non-Commutative Compatifications

Desirable properties (e.g. extension property, regularity) may or may not be preserved under non-comm. compactification.

## Example

Let  $(e_n)$  be usual o.n. basis for  $\mathcal{H} := \ell^2(\mathbb{N})$ . Put

$$\mathcal{D} = \overline{\text{span}} \{ e_n e_n^* : n \in \mathbb{N} \} \quad \& \quad \mathcal{C} := \mathcal{K}(\mathcal{H}),$$

so  $(\mathcal{C}, \mathcal{D})$  is a  $C^*$ -diagonal. The following "compacifications" of  $(\mathcal{C}, \mathcal{D})$  exhibit differing behaviors:

- $(\tilde{\mathbb{C}}, \tilde{\mathbb{D}})$  is a  $C^*$ -diagonal.
- 2 Let S be unilat. shift. Then  $\mathbb{C} \trianglelefteq C^*(S)$  is essential, and  $(C^*(S), \tilde{D})$  is Cartan, but not a  $C^*$ -diagonal (EP fails)

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③  $(M(\mathcal{C}), M(\mathcal{D})) = (\mathcal{B}(\mathcal{H}), \ell^{\infty})$  has EP (by recent spectacular solution of Kadison-Singer), but is not regular.

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The previous example suggests the following

## Question

Suppose  $(\mathcal{C}, \mathcal{D})$  is a C<sup>\*</sup>-diagonal, with  $\mathcal{C}$  not unital.

• When is  $(M(\mathcal{C}), M(\mathcal{D}))$  regular?

2 Must  $(M(\mathcal{C}), M(\mathcal{D}))$  have the extension property?

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## THANK YOU!