

# An operator system approach to quantum correlations

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Organization of talk:

- Quantum correlations
- Correlations from abstract structures
- Quantum commuting operator systems
- Matricial AOU spaces
- Correlations from operator systems

# Quantum correlations

# Correlations

Throughout, we'll let  $[n] = \{1, 2, \dots, n\}$ .

Let  $n, m \in \mathbb{N}$ . We call a tuple

$$p = \{p(a, b|x, y)\}_{a, b \in [m], x, y \in [n]}$$

a **correlation** if each  $p(a, b|x, y) \geq 0$  and for every  $x, y \in [n]$

$$\sum_{a, b \in [m]} p(a, b|x, y) = 1.$$

A correlation models a scenario where Alice & Bob each get questions from a set of  $n$  questions and must each give answers from a set of  $m$  answers. We interpret  $p(a, b|x, y)$  to be the probability that (Alice, Bob) return answers  $(a, b)$  given that they received questions  $(x, y)$ .

# Nonsignalling correlations

We call a correlation  $p$  **nonsignalling** if the **marginal densities** given by

$$p_A(a|x) := \sum_{c \in [m]} p(a, c|x, w) \quad \text{and} \quad p_B(b|y) := \sum_{c \in [m]} p(c, b|z, y)$$

are well-defined.

We call these relations the **nonsignalling conditions** and they ensure Alice and Bob provide answers independently.

We let  $C_{ns}(n, m)$  denote the set of all nonsignalling correlations in the  $n$ -question  $m$ -answer scenario. We have that  $C_{ns}(n, m)$  is a convex polytope in  $\mathbb{R}^{n^2 m^2}$ .

A correlation is **deterministic** if it is nonsignalling and if every  $p_A(a|x), p_B(b|y) \in \{0, 1\}$ .

A convex combination of deterministic correlations is called a **local correlation**. We let  $C_{loc}(n, m)$  denote the set of all local correlations.

The set  $C_{loc}(n, m)$  is a convex polytope by its definition.

# Quantum correlations

A correlation  $p$  is called a **quantum correlation** if there exist finite dimensional Hilbert spaces  $H_A, H_B$ , projections  $\{E_{x,a}\} \subseteq B(H_A)$  and  $\{F_{y,b}\} \subseteq B(H_B)$  satisfying

- $\sum_a E_{x,a} = I_A$  for all  $x$ ,
- $\sum_b F_{y,b} = I_B$  for all  $y$ ,

and a unit vector  $\phi \in H_A \otimes H_B$  such that  $p(a, b|x, y) = \langle E_{x,a} \otimes F_{y,b} \phi, \phi \rangle$ .

We let  $C_q(n, m)$  denote the set of all quantum correlations

If the state  $\phi$  is separable, then  $p$  is a local correlation. Hence, correlations in  $C_q(n, m) \setminus C_{loc}(n, m)$  arise from entangled states.

# Quantum commuting correlations

A correlation  $p$  is called a **quantum commuting correlation** if there exists a Hilbert space  $H$ , projections  $\{E_{x,a}, F_{y,b}\} \subseteq B(H)$  satisfying

- $\sum_a E_{x,a} = I$  for all  $x$ ,
- $\sum_b F_{y,b} = I$  for all  $y$ ,
- $E_{x,a}F_{y,b} = F_{y,b}E_{x,a}$ ,

and a unit vector  $\phi \in H$  such that  $p(a, b|x, y) = \langle E_{x,a}F_{y,b}\phi, \phi \rangle$ .

We let  $C_{qc}(n, m)$  denote the set of all quantum commuting correlations

The definition of a quantum commuting correlation is based on the Haag-Kastler axioms of quantum mechanics.



# Hierarchy of correlation sets

We have the following inclusions:

$$C_{loc}(n, m) \subseteq C_q(n, m) \subseteq C_{qc}(n, m) \subseteq C_{ns}(n, m).$$

Each inclusion has been demonstrated to be proper.

- $C_{loc}(n, m) \subseteq C_q(n, m)$ : John Bell, 1960s.
- $C_q(n, m) \subseteq C_{qc}(n, m)$ : William Slofstra, 2017.
- $C_{qc}(n, m) \subseteq C_{ns}(n, m)$ : Boris Tsirelson, 1980s.

The inclusion  $\overline{C_q(n, m)} \subseteq C_{qc}(n, m)$  is proper by work of Ji-Natarajan-Vidick-Wright-Yuen from early 2020. This result solved a 50-year-old open problem in operator algebras, *Connes' embedding problem* (due to work of Fritz and Junge-Navascues-Palazuelos-Perez-Garcia-Scholz-Werner).

## Correlations from abstract structures

The correlation definition

$$p(a, b|x, y) = \langle E_{x,a} F_{y,b} \phi, \phi \rangle$$

is *physical* or *operator theoretic* in nature:

- Hilbert space  $H \simeq$  a physical system.
- State  $\phi \simeq$  the state of that system.
- Operators  $E_{x,a}, F_{y,b} \simeq$  measurements performed in labs.

Is there an essentially *algebraic* framework for generating correlations?

## Theorem

A correlation  $p$  is quantum commuting if and only if there exists a  $C^*$ -algebra  $\mathcal{A}$ , projection-valued measures  $\{E_{x,a}\}, \{F_{y,b}\} \subseteq \mathcal{A}$  with  $[E_{x,a}, F_{y,b}] = 0$  and a state  $\phi$  such that  $p(a, b|x, y) = \phi(E_{x,a}F_{y,b})$ .  
Moreover,

- $p \in C_q(n, m)$  if and only if the statement holds for a finite-dimensional  $\mathcal{A}$ .
- $p \in C_{loc}(n, m)$  if and only if the statement holds for a commutative  $\mathcal{A}$ .

## Proof.

$\implies$  : Consider  $\mathcal{A} = C^*(E_{x,a}, F_{y,b})$  and  $\phi(x) = \langle xh, h \rangle$ .

$\impliedby$  : GNS theorem. □

An **operator system** is a  $*$ -closed unital subspace of  $B(H)$ .

An **abstract operator system** consists of a  $*$ -vector space  $\mathcal{V}$ , a sequence of cones  $C_n \subseteq M_n(\mathcal{V})_h$  satisfying

$$\alpha^* C_n \alpha \subseteq C_m$$

for every  $\alpha \in M_{n,m}$ , and an element  $e \in \mathcal{V}_h$  such that  $(M_n(\mathcal{V}), C_n, I_n \otimes e)$  is an AOU space for every  $n \in \mathbb{N}$ .

## Theorem (Choi-Effros)

*Let  $\mathcal{V}$  be an abstract operator system. Then there exists a Hilbert space  $H$  and a unital complete order embedding  $\pi : \mathcal{V} \rightarrow B(H)$ .*

## Correlations from operator systems?

Assume  $p \in C_{qc}(n, m)$  with  $p(a, b|x, y) = \langle E_{x,a} F_{y,b} \phi, \phi \rangle$ . Then:

$$\mathcal{V} = \text{span}\{E_{x,a} F_{y,b}\}$$

is an operator system and  $E_{x,a} F_{y,b} \mapsto \langle E_{x,a} F_{y,b} \phi, \phi \rangle$  is a state on  $\mathcal{V}$ .

So correlations only require a finite dimensional operator system and a state.

We can abstractly characterize operator systems, but what about operator systems of the form

$$\mathcal{V} = \text{span}\{E_{x,a} F_{y,b}\}?$$

# Quantum commuting operator systems

# Projections in operator systems

Assume  $p \in \mathcal{V} \subseteq B(H)$ , and  $p$  is a projection,  $\mathcal{V}$  an operator system.

If we forget the concrete structure, then  $p$  remains a positive contraction in the (abstract) operator system  $\mathcal{V}$ .

The Choi-Effros Theorem allows us to recover  $p$  as an operator on  $B(K)$ , but does not guarantee that  $p$  will be a projection.

## Question

*Can we detect the presence of a projection  $p$  in an abstract operator system  $\mathcal{V}$ ?*



## Proposition

*Let  $p \in \mathcal{V} \subseteq B(H)$ ,  $x \in \mathcal{V}$  with  $x = x^*$ . Then  $pxp \geq 0$  if and only if for every  $\epsilon > 0$  there exists a  $t > 0$  such that*

$$x + \epsilon p + t(I - p) \in \mathcal{V}_+.$$

Thus if  $p \in \mathcal{V}$  is a projection, we can detect when  $pxp \geq 0$  using only the data of the operator system  $(\mathcal{V}, \{C_n\}, e)$ .

# Characterization of projections

Assume  $p \in \mathcal{V} \subseteq B(H)$  and  $p$  is a projection. Let  $q = I - p$ . Then we may decompose each  $x \in \mathcal{V} \subseteq B(H) = B(pH \oplus qH)$  as

$$x = \begin{pmatrix} pxp & pxq \\ qxq & qxq \end{pmatrix}.$$

Consider the compression of

$$\begin{pmatrix} x & x \\ x & x \end{pmatrix} \text{ by } p \oplus q, \text{ i.e. } \left( \begin{pmatrix} pxp & 0 \\ 0 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & pxq \\ 0 & 0 \end{pmatrix} \right).$$

Observe that  $x \geq 0$  if and only if  $\begin{pmatrix} x & x \\ x & x \end{pmatrix}$  has positive compression by  $p \oplus q$ .

# Characterization of projections

## Definition

We call a positive contraction  $p$  in an abstract operator system  $\mathcal{V}$  an **abstract projection** if the set of  $x = x^* \in M_n(\mathcal{V})$  satisfying for every  $\epsilon > 0$  there exists  $t > 0$  such that

$$\begin{pmatrix} x & x \\ x & x \end{pmatrix} + \epsilon I_n \otimes (p \oplus q) + t I_n \otimes (q \oplus p) \geq 0$$

coincides with the positive cone of  $M_n(\mathcal{V})$ .

## Theorem (Araiza, R.)

*A positive contraction  $p$  in an operator system  $(\mathcal{V}, \{C_n\}, e)$  is an abstract projection if and only if there exists a unital complete order embedding  $\pi : \mathcal{V} \rightarrow B(H)$  such that  $\pi(p)$  is a projection.*

# The set of abstract projections

The theorem allows us to build  $\pi : \mathcal{V} \rightarrow B(H)$  mapping a single abstract projection  $p$  to an honest projection  $\pi(p)$ . What if there are many abstract projections?

## Theorem (Araiza, R.)

*Let  $p$  be an abstract projection in an operator system  $\mathcal{V}$ . Then  $p$  is a projection in  $C_e^*(\mathcal{V})$ .*

Thus, if  $p_1, p_2, \dots, p_N \in \mathcal{V}$  are all abstract projections, then  $p_1, p_2, \dots, p_N$  are projections in  $C_e^*(\mathcal{V})$ .

A **quantum commuting operator system** is a finite dimensional operator system with unit  $e$  spanned by positive contractions  $\{Q(a, b|x, y) : a, b \in [m], x, y \in [n]\}$  such that

- For each  $x, y \in [n]$ ,  $\sum_{a, b \in [m]} Q(a, b|x, y) = e$
- For each  $x, y \in [n]$  and  $a, b \in [m]$ , the vectors

$$E(a|x) := \sum_{c \in [m]} Q(a, c|x, w) \quad \text{and} \quad F(b|y) := \sum_{c \in [m]} Q(c, b|z, y)$$

are well-defined

- Each generator  $Q(a, b|x, y)$  is an abstract projection.

## Theorem (Araiza, R.)

*A correlation  $p$  is quantum commuting if and only if there exists a quantum commuting operator system  $\mathcal{V} = \text{span}\{Q(a, b|x, y)\}$  and a state  $\phi : \mathcal{V} \rightarrow \mathbb{C}$  such that*

$$p(a, b|x, y) = \phi(Q(a, b|x, y)).$$

Proof elements:

- The linear relations between  $\{Q(a, b|x, y)\}$  ensure  $p$  is nonsignalling correlation.
- Each  $Q(a, b|x, y)$  is a projection in  $C_e^*(\mathcal{V})$ .
- The relations  $Q(a, b|x, y) = E(a|x)F(y|b) = F(y|b)E(a|x)$  are forced.

## Matricial AOU spaces

By a  $k$ -AOU space, we mean a  $*$ -vector space  $\mathcal{V}$  with a positive cone  $C \subseteq M_k(\mathcal{V})_h$  satisfying  $\alpha^* C \alpha \subseteq C$  for all  $\alpha \in M_k$ , and an element  $e \in \mathcal{V}$  such that  $(M_k(\mathcal{V}), C, I_k \otimes e)$  is an archimedean order unit space.

Given a  $k$ -AOU space  $\mathcal{V}$ , we can define a canonical operator system  $\mathcal{V}_{k\text{-min}}$  by

$$C_n^{k\text{-min}} = \{x \in M_n(\mathcal{V})_h : \alpha^* x \alpha \in C \text{ for all } \alpha \in M_{n,k}\}.$$

If  $\varphi : \mathcal{V} \rightarrow \mathcal{W}$  is  $k$ -positive ( $k$ -order embedding), then  $\varphi : \mathcal{V}_{k\text{-min}} \rightarrow \mathcal{W}_{k\text{-min}}$  is completely positive (complete order embedding).



# $k$ -minimal Operator Systems

If an operator system  $\mathcal{V}$  is completely order isomorphic to  $\mathcal{V}_{k\text{-min}}$ , we call it  **$k$ -minimal**.

**Theorem (Araiza, R., Tomforde)**

*An operator system  $\mathcal{V}$  is  $k$ -minimal if and only if there exists a unital complete order embedding*

$$\pi : \mathcal{V} \rightarrow \bigoplus_{i \in I} M_{d_i}$$

*where each  $d_i \leq k$ .*

Proof idea:

Show that  $\mathcal{V}$  satisfies the property that every  $k$ -positive map  $\phi : \mathcal{W} \rightarrow \mathcal{V}$  is completely positive, then apply a theorem of Xhabli.

## Theorem (Araiza-R.-Tomforde)

For a  $k$ -AOU space  $\mathcal{V}$ ,  $C_e^*(\mathcal{V}_{k\text{-min}})$  is a  $C^*$ -subalgebra of a direct sum  $\bigoplus_{i \in \Omega} M_{d_i}$  where  $d_i \leq k$ .

Elements of proof:

- We show that injective envelopes exist in the category of  $k$ -AOU spaces, and  $I(\mathcal{V})_{k\text{-min}} = I(\mathcal{V}_{k\text{-min}})$ . In particular,  $I(\mathcal{V}_{k\text{-min}})$  is  $k$ -minimal.
- By a result of Hamana,  $C_e^*(\mathcal{V}_{k\text{-min}}) \subseteq I(\mathcal{V}_{k\text{-min}})$ .
- We argue that the irreducible representations of any  $k$ -minimal  $C^*$ -algebra have the form  $\pi : \mathcal{A} \rightarrow M_{d_i}$  with  $d_i \leq k$ .

# Projections in $k$ -AOU spaces

Given a  $k$ -AOU space  $\mathcal{V}$  with positive cone  $C \subseteq M_k(\mathcal{V})$ , we can characterize the abstract projections of  $\mathcal{V}_{k\text{-min}}$ . An element  $p \in \mathcal{V}$ , is called an **abstract projection** in  $\mathcal{V}$  if the set of  $x \in M_k(\mathcal{V})_h$  such that for every  $\epsilon > 0$  there exists  $t > 0$  such that

$$(\alpha + \beta)^* x (\alpha + \beta) + (\epsilon \alpha^* \alpha + t \beta^* \beta) \otimes p + (\epsilon \beta^* \beta + t \alpha^* \alpha) \otimes q \in C$$

for every  $\alpha, \beta \in M_k$  coincides with the cone  $C \subseteq M_k(V)$ .

## Theorem (Araiza-R.-Tomforde)

*For a  $k$ -AOU space  $\mathcal{V}$ , the following statements are equivalent:*

- *$p$  is an abstract projection in  $\mathcal{V}$ .*
- *$p$  is an abstract projection in  $\mathcal{V}_{k\text{-min}}$ .*
- *$p$  is a projection in  $C_e^*(\mathcal{V}_{K\text{-min}})$ .*

*When  $p$  satisfies any (hence all) of these statements, we call  $p$  an abstract projection in  $\mathcal{V}$ .*

A **quantum  $k$ -AOU space** is a finite dimensional  $k$ -AOU space with unit  $e$  spanned by positive contractions  $\{Q(a, b|x, y) : a, b \in [m], x, y \in [n]\}$  such that

- For each  $x, y \in [n]$ ,  $\sum_{a, b \in [m]} Q(a, b|x, y) = e$
- For each  $x, y \in [n]$  and  $a, b \in [m]$ , the vectors

$$E(a|x) := \sum_{c \in [m]} Q(a, c|x, w) \quad \text{and} \quad F(b|y) := \sum_{c \in [m]} Q(c, b|z, y)$$

are well-defined

- Each generator  $Q(a, b|x, y)$  is an abstract projection.

## Theorem (Araiza-R.-Tomforde)

A correlation  $p$  is quantum if and only if there exists a quantum  $k$ -AOU space  $\mathcal{V} = \text{span}\{Q(a, b|x, y)\}$  and a state  $\phi : \mathcal{V} \rightarrow \mathbb{C}$  such that

$$p(a, b|x, y) = \phi(Q(a, b|x, y)).$$

Proof elements:

- The definitions ensure  $\mathcal{V}_{k\text{-min}}$  is a quantum commuting operator system, so  $p$  is quantum commuting.
- Each  $Q(a, b|x, y)$  is a projection in  $C_e^*(\mathcal{V}_{k\text{-min}})$ , which is a  $C^*$ -subalgebra of  $\bigoplus M_{d_i}$  with each  $d_i \leq k$ .
- The resulting correlation is a convex combination of quantum correlations. Apply Caratheodory's theorem.

## Correlations from Operator Systems

The paper  $MIP^* = RE$  implies that  $C_{qc}(n, m) \setminus \overline{C_q(n, m)}$  is non-empty for some  $n, m \in \mathbb{N}$ .

### Theorem (Araiza-R.-Tomforde)

*A correlation  $p \in C_{qc}(n, m) \setminus \overline{C_q(n, m)}$  if and only if there exists a qc operator system  $\mathcal{V}$  with generators  $Q(a, b|x, y)$ , a state  $\varphi$  on  $\mathcal{V}$ , and an  $\epsilon > 0$  such that whenever  $\mathcal{W}$  is a q k-AOU space with generators  $R(a, b|x, y)$  and  $\psi$  is a state on  $\mathcal{W}$  we have*

$$|\varphi(Q(a', b'|x', y')) - \psi(R(a', b'|x', y'))| > \epsilon$$

*for some  $a', b' \in [m]$  and  $x', y' \in [n]$ .*

An equivalent statement is something like the following...

## Theorem

*There exists a quantum commuting operator system  $\mathcal{V}$  which cannot be approximated by any quantum  $k$ -AOU space at its first matrix level.*

## Question

*What obstructions prevent the cone  $C_1$  in a QC operator system from being approximated by  $C_1^{k-\min}$  of a quantum  $k$ -AOU space?*



Thanks!

## References (on arXiv):

- "An abstract characterization for projections in operator systems", Roy Araiza and Travis Russell *To appear, Journal of Operator Theory*.
- "A universal representation for quantum commuting correlations", Roy Araiza, Travis Russell and Mark Tomforde *Preprint*.
- "Matricial Archimedean order unit spaces and quantum correlations" Roy Araiza, Travis Russell and Mark Tomforde *To appear, Indiana University Journal of Mathematics*.