

# Introduction to $C^*$ Algebras II

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## Definition

**(a)** A Banach algebra  $\mathcal{A}$  is a complex algebra equipped with a complete norm which is sub-multiplicative:

$$\|ab\| \leq \|a\| \|b\| \quad \text{for all } a, b \in \mathcal{A}.$$

**(b)** An involution is a map on  $\mathcal{A}$  such that

$$(a + \lambda b)^* = a^* + \bar{\lambda}b^*, (ab)^* = b^*a^*, a^{**} = a \text{ for all } a, b \in \mathcal{A} \text{ and } \lambda \in \mathbb{C}.$$

**(c)** A  $C^*$ -algebra  $\mathcal{A}$  is a Banach algebra equipped with an involution  $a \rightarrow a^*$  satisfying the  $C^*$ -condition

$$\|a^*a\| = \|a\|^2 \quad \text{for all } a \in \mathcal{A}.$$

A **morphism**  $\Phi$  between  $C^*$ -algebras  $\mathcal{A}$  and  $\mathcal{B}$  is a linear map  $\Phi : \mathcal{A} \rightarrow \mathcal{B}$  which preserves multiplication and involution.

A **representation**  $\pi$  of  $\mathcal{A}$  on a Hilbert space  $H$  is a morphism  $\pi : \mathcal{A} \rightarrow \mathcal{B}(H)$ .

# Gelfand theory for commutative $C^*$ -algebras

## Theorem (Gelfand-Naimark 1)

*Every commutative  $C^*$ -algebra  $\mathcal{A}$  is isometrically  $*$ -isomorphic to  $C_0(\hat{\mathcal{A}})$  where  $\hat{\mathcal{A}}$  is the set of nonzero morphisms  $\phi : \mathcal{A} \rightarrow \mathbb{C}$  which, equipped with the topology of pointwise convergence, is a locally compact Hausdorff space. For each  $a \in \mathcal{A}$  the function  $\hat{a} : \hat{\mathcal{A}} \rightarrow \mathbb{C} : \phi \rightarrow \phi(a)$  is in  $C_0(\hat{\mathcal{A}})$ . The Gelfand transform:*

$$\mathcal{A} \rightarrow C_0(\hat{\mathcal{A}}) : a \rightarrow \hat{a}$$

*is an isometric  $*$ -isomorphism. The space  $\hat{\mathcal{A}}$  is compact if and only if  $\mathcal{A}$  is unital.*

**Recall** The spectrum  $\sigma(a)$  of an element  $a$  of a  $C^*$ -algebra  $\mathcal{A}$  is

$$\sigma(a) := \{\lambda \in \mathbb{C} : \lambda \mathbf{1} - a \text{ not invertible in } \mathcal{A}\}$$

(calculated in the unitisation for non-unital  $\mathcal{A}$ .)

## Definition

An element  $a \in \mathcal{A}$  is **positive** if  $a = a^*$  and  $\sigma(a) \subseteq \mathbb{R}_+$ .

We write  $\mathcal{A}_+ = \{a \in \mathcal{A} : a \geq 0\}$ .

If  $a, b$  are selfadjoint, we define  $a \leq b$  by  $b - a \in \mathcal{A}_+$ .

## Examples

- In  $C(X)$ :  $f \geq 0$  iff  $f(t) \in \mathbb{R}_+$  for all  $t \in X$  because  $\sigma(f) = f(X)$ .
- In  $M_n(\mathbb{C})$ :  $T \geq 0$  iff  $T$  is diagonalisable and has nonnegative e-values, equivalently iff it is positive semidefinite, i.e.  $\langle T\xi, \xi \rangle \geq 0$  for all  $\xi \in \mathbb{C}^n$ .
- In  $\mathcal{B}(\mathcal{H})$ :  $T \geq 0$  iff  $\langle T\xi, \xi \rangle \geq 0$  for all  $\xi \in H$ .

## Proposition

*Every positive element has a unique positive square root. In fact*

$$a \in \mathcal{A}_+ \iff \text{there exists } b \in \mathcal{A}_+ \text{ such that } a = b^2 \\ (= b^*b).$$

## Theorem

*In any  $C^*$ -algebra, any element of the form  $b^*b$  is positive.*

For the proof of the Theorem, we need

## Proposition

*For any  $C^*$ -algebra, the set  $\mathcal{A}_+$  is a cone:*

$$a, b \in \mathcal{A}_+, \lambda \geq 0 \quad \Rightarrow \quad \lambda a \in \mathcal{A}_+, a + b \in \mathcal{A}_+.$$

## Lemma

*In a unital  $C^*$ -algebra, if  $x = x^*$  and  $\|x\| \leq 1$ , then*

$$x \geq 0 \quad \Leftrightarrow \quad \|1 - x\| \leq 1.$$

## Definition

A linear map  $\Phi : \mathcal{A} \rightarrow \mathcal{B}$  between  $C^*$ -algebras is **positive** if  $a \in \mathcal{A}_+ \Rightarrow \Phi(a) \in \mathcal{B}_+$ .

## Remark

*Any morphism  $\pi : \mathcal{A} \rightarrow \mathcal{B}$  between  $C^*$ -algebras is positive.*

Indeed,  $\pi(a^*a) = \pi(a)^* \pi(a) \geq 0$ .

## Remark

*If  $a = a^*$  then  $-\|a\| 1 \leq a \leq \|a\| 1$ .*

## Proposition

*If  $\phi$  is a positive linear form, then  $\phi(x^*) = \overline{\phi(x)}$  for all  $x \in \mathcal{A}$ .*

*The Cauchy-Schwarz inequality holds:*

$$|\phi(b^*a)|^2 \leq \phi(a^*a)\phi(b^*b) \text{ for all } a, b \in \mathcal{A}.$$

*Hence the map  $\langle \cdot, \cdot \rangle : \mathcal{A} \times \mathcal{A} \rightarrow \mathbb{C} : \langle a, b \rangle = \phi(b^*a)$  is a semi-inner product; it is an inner product iff  $\phi$  is faithful.*

## Proposition

*Every positive linear form is continuous. When  $\mathcal{A}$  is unital and  $\phi$  is positive,  $\|\phi\| = \phi(1)$ .*

## Definition

A **state** on a C\*-algebra  $\mathcal{A}$  is a positive linear map of norm 1, i.e.  $\phi : \mathcal{A} \rightarrow \mathbb{C}$  linear such that  $\phi(a^*a) \geq 0$  for all  $a \in \mathcal{A}$  and  $\|\phi\| = 1$ . A state is called **faithful** if  $\phi(a^*a) > 0$  whenever  $a \neq 0$ .



## Examples

- On  $\mathcal{B}(\mathcal{H})$ ,  $\phi(T) = \langle T\xi, \xi \rangle$  for a unit vector  $\xi \in \mathcal{H}$ ,  
or  $\phi(T) = \sum_i p_i \langle T\xi_i, \xi_i \rangle$  where  $\{\xi_i\}$  orthonormal and  $p_i \geq 0$  with  $\sum p_i = 1$  ('density matrix').
- On  $C(K)$ ,  $\phi(f) = f(t)$  for  $t \in K$ ,  
or  $\phi(f) = \int f d\mu$  for a probability measure  $\mu$ .
- For a C\*-algebra  $\mathcal{A}$ , if  $\pi : \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H})$  is a representation and  $\xi \in \mathcal{H}$  a unit vector,  $\phi(a) = \langle \pi(a)\xi, \xi \rangle$ .

# The GNS construction

Conversely to the last example,

## Theorem (Gelfand, Naimark, Segal)

*For every state  $f$  on a  $C^*$ -algebra  $\mathcal{A}$  there is a triple  $(\pi_f, \mathcal{H}_f, \xi_f)$  where  $\pi_f$  is a representation of  $\mathcal{A}$  on  $\mathcal{H}_f$  and  $\xi_f \in \mathcal{H}_f$  a cyclic<sup>1</sup> unit vector such that*

$$f(a) = \langle \pi_f(a)\xi_f, \xi_f \rangle \quad \text{for all } a \in \mathcal{A}.$$

*The GNS triple  $(\pi_f, \mathcal{H}_f, \xi_f)$  is uniquely determined by this relation up to unitary equivalence.*

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<sup>1</sup>i.e.  $\pi_f(\mathcal{A})\xi_f$  is dense in  $\mathcal{H}_f$ .

# GNS: sketch of proof

(for unital  $\mathcal{A}$ ).

1 Consider the linear space  $\mathcal{A}$ .

2 Equip it with the semi-inner product  $\langle a, b \rangle_0 := \phi(b^*a)$ .

When  $\mathcal{A} = C(X)$  we have  $\langle a, b \rangle_0 = \int_X a(t)\overline{b(t)}d\mu(t)$ .

3 Since  $\phi$  is positive,  $\langle a, a \rangle_0 = \phi(a^*a) \geq 0$ .

By Cauchy-Schwarz the set  $\mathcal{N}_\phi = \mathcal{N} := \{u \in \mathcal{A} : \langle u, u \rangle_0 = 0\}$  is a linear space.

4 Let  $H_{0\phi} := \mathcal{A}/\mathcal{N}$  and define  $H_\phi (= L^2(\mu))$  to be the completion of  $H_{0\phi}$  with respect to  $\|[a]\|_\phi := \sqrt{\langle a, a \rangle_0}$ .  
(here  $[a] = a + \mathcal{N}$ ,  $a \in \mathcal{A}$ ).

# GNS: sketch of proof II

- 5  $\mathcal{A}$  acts on the linear space  $\mathcal{A}$  as:  $\pi_0(a)(b) = ab$ .
- 6 Since  $\pi_0(a)(\mathcal{N}) \subseteq \mathcal{N}$ , the map  $\pi_0(a)$  induces  $\pi_1(a)$  on  $H_{0\phi} = \mathcal{A}/\mathcal{N}$ .

- 7 Show that  $\|\pi_1(a)([b])\|_\phi \leq \|a\| \| [b] \|_\phi$ .

[When  $\mathcal{A} = C(X)$ ,  $\|ab\|_2 \leq \|a\|_\infty \|b\|_2$ .]

It follows that  $\pi_1(a)$  extends to a bounded operator  $\pi_\phi(a)$  on  $H_\phi$ .

Easy to verify:  $\pi_\phi : a \rightarrow \pi_\phi(a) : \mathcal{A} \rightarrow \mathcal{B}(H_\phi)$  is a \*-representation.

[When  $\mathcal{A} = C(X)$ , the map  $\pi_\phi(a)$  is a multiplication operator on  $L^2(\mu)$ , i.e.  $(\pi_\phi(a)b)(t) = a(t)b(t)$  for  $\mu$ -almost all  $t \in X$ .]

- 8 Let  $\xi_\phi = [\mathbf{1}_\mathcal{A}]$ . Then

$$\begin{aligned} \langle \pi_\phi(a)\xi_\phi, \xi_\phi \rangle_{H_\phi} &= \langle \pi_\phi(a)[\mathbf{1}], [\mathbf{1}] \rangle_{H_\phi} \\ &= \langle a, \mathbf{1} \rangle_{H_\phi} = \phi(\mathbf{1}^* a) = \phi(a). \quad \square \end{aligned}$$

# The universal representation

## Theorem (Gelfand, Naimark)

*For every  $C^*$ -algebra  $\mathcal{A}$  there exists a representation  $(\pi, \mathcal{H})$  which is one to one (called faithful).*

**Idea of proof** Enough to assume  $\mathcal{A}$  unital. Let  $\mathcal{S}(\mathcal{A})$  be the set of all states. For each  $f \in \mathcal{S}(\mathcal{A})$  consider  $(\pi_f, \mathcal{H}_f)$  and ‘add them up’ to obtain  $(\pi, \mathcal{H})$ . Why is this faithful? Because

## Lemma

*For each nonzero  $a \in \mathcal{A}$  there exists  $f \in \mathcal{S}(\mathcal{A})$  such that  $f(a^*a) > 0$ .*

... and then

$$\|\pi(a)\xi_f\|^2 = \langle \pi(a^*a)\xi_f, \xi_f \rangle = \langle \pi_f(a^*a)\xi_f, \xi_f \rangle = f(a^*a) > 0$$

so  $\pi(a) \neq 0$ .

# Completely positive maps

**Reminder** A linear map  $\Phi : \mathcal{A} \rightarrow \mathcal{B}$  between  $C^*$  algebras is **positive** iff

$$a \geq 0 \Rightarrow \Phi(a) \geq 0.$$

For  $n \in \mathbb{N}$ , let  $\Phi_n : M_n(\mathcal{A}) \rightarrow M_n(\mathcal{B})$  where  $\Phi_n([a_{ij}]) = [\Phi(a_{ij})]$ .

It is NOT always true that  $\Phi_n$  is positive.

**Example** Let  $\Phi(a) = a^\dagger$  (transpose) on  $\mathcal{A} = M_2$ : clearly positive.

However, in  $M_2(\mathcal{A})$ ,

$$A = \begin{bmatrix} 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 \end{bmatrix} \text{ positive, but } \Phi_2(A) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \text{ not positive.}$$

# Completely positive maps

## Definition

A linear map  $\Phi : \mathcal{A} \rightarrow \mathcal{B}$  between  $C^*$  algebras is called **completely positive** if  $\Phi_n$  is positive for all  $n \in \mathbb{N}$ .

## Examples of completely positive (cp) maps:

Every  $*$ -morphism  $\pi$  is positive ( $\pi(a^*a) = \pi(a)^*\pi(a) \geq 0 \forall a$ ).

Hence every  $*$ -morphism is completely positive (because every  $\pi_n$  is a  $*$ -morphism).

Every map of the form  $a \rightarrow V^*aV$  is completely positive (here  $\mathcal{A} \subseteq \mathcal{B}(H)$  and  $V \in \mathcal{B}(H)$ ).

Hence every  $a \rightarrow V^*\pi(a)V : a \rightarrow \pi(a) \rightarrow V^*\pi(a)V$  is completely positive.

There are no others:

# Stinespring's dilation theorem

## Theorem (Stinespring)

If  $\Phi : \mathcal{A} \rightarrow \mathcal{B}(H)$  is a completely positive [unital] map from a [unital]  $C^*$ -algebra  $\mathcal{A}$  to  $\mathcal{B}(H)$ , then

$$\Phi(a) = V^* \pi(a) V \quad \text{for all } a \in \mathcal{A}.$$

where  $\pi$  is a  $*$ -representation of  $\mathcal{A}$  on the Hilbert space  $H_\pi$  and  $V : H \rightarrow H_\pi$  is bounded.

When  $\mathcal{A}$  and  $\phi$  are unital,  $V$  is an isometry and the representation  $\pi$  is called a **dilation** of  $\Phi$  via the 'embedding'  $V : \mathcal{H} \rightarrow \mathcal{K}$ .

[The dilation is unique under a minimality condition.]

**Remark** When  $H = \mathbb{C}$  this reduces to the GNS construction (with  $V : \mathbb{C} \rightarrow H_\pi : 1 \rightarrow \xi_\Phi$ ).