Toeplitz algebras for semigroups: universality and uniqueness results

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joint work with C. F. Sehnem

### Today's menu

1. Neutral words and generalized left-quotients on P

- 2. Constructible right ideals and Xin Li's  $C_s^*(P)$
- 3. Universal Toeplitz algebra  $\mathcal{T}_u(P)$
- 4. Action of  $P^*$  on  $D_{\lambda}$  and faithful representations of  $\mathcal{T}_{\lambda}(P)$
- 5. Uniqueness theorem for general P
- 6. Uniqueness for 'topologically free P'
- 7. (Universal boundary quotient)

Generalized left quotients on a submonoid of a group Suppose  $P \subset G$  is a submonoid of a group and let  $\alpha = (p_1, p_2, \dots, p_{2k})$  with  $p_i \in P$ , a word of even length  $\tilde{\alpha} = (p_{2k}, \ldots, p_2, p_1)$  the reverse word  $\dot{\alpha} = p_1^{-1} p_2 p_3^{-1} \overline{p_4} \cdots \overline{p_{2k-1}^{-1}} p_{2k} \in G$  the generalized left quotient (or multifraction)  $W_{\alpha} := W_{p_1}^* W_{p_2} \overline{W_{p_3}^*} \cdots \overline{W_{p_{2k-1}}^*} W_{p_{2k}}, \ (W_p : p \in P) \text{ in a C*-algebra}$ Define

 $K(\alpha) := P \cap p_{2k}^{-1} p_{2k-1} P \cap p_{2k}^{-1} p_{2k-1} p_{2k-2}^{-1} p_{2k-3} P \cap \dots \cap \dot{\tilde{\alpha}} P$ Last week:

If  $p \mapsto L_p$  denotes the l.r.r. of P and if  $\dot{\alpha} = e$ , then  $\dot{L}_{\alpha} = \mathbb{1}_{\mathcal{K}(\alpha)}$  as an operator on  $\ell^2(P)$ 

### Words, constructible right ideals, and projections

 $\begin{array}{ll} \alpha \in \mathcal{W}; & \dot{\alpha} = e & \text{neutral words of even length under concatenation} \\ \mathcal{K}(\alpha) & \subset P & \text{constructible right ideals under intersection} \\ \dot{L}_{\alpha} = \mathbbm{1}_{\mathcal{K}(\alpha)} \in \mathcal{B}(\ell^2(P)) & \text{operators in l.r.r. under composition} \end{array}$ 

New idea [X. Li, '12]: only consider isometric representations that respect the  $K(\alpha)$ .

#### Definition (X. Li '12)

A representation of P by isometries  $W_p$  is *covariant* (Li-covariant) if  $e_{K(\alpha)} := \dot{W}_{\alpha}$  is a s.a. projection that only depends on  $K(\alpha)$  for each neutral word  $\alpha$ . Automatically,  $e_{K(\alpha)}e_{K(\beta)} = e_{K(\alpha) \cap K(\beta)}$ .

#### Definition (X. Li '12)

The semigroup C\*-algebra  $C_s^*(P)$  is the C\*-algebra generated by a universal covariant isometric representation  $(v_p : p \in P)$ .

# The C\*-algebra $C_s^*(P)$

Since  $L_{\alpha} = \mathbb{1}_{K(\alpha)}$ , the l.r.r.  $L_p$  is Li-covariant, hence  $v_p \mapsto L_p$  extends to a homomorphism  $\pi_L : C_s^*(P) \to \mathcal{T}_{\lambda}(P)$ .

The restriction of  $\pi_L$  to the diagonal  $D_s := C^*(\dot{v}_\alpha : \dot{\alpha} = e)$  sends  $\dot{v}_\alpha \mapsto \mathbb{1}_{\mathcal{K}(\alpha)}$  and maps  $D_s$  onto  $D_\lambda = C^*(\mathbb{1}_{\mathcal{K}(\alpha)} : \dot{\alpha} = e)$ .

However,  $D_s \rightarrow D_\lambda$  is injective only if the projections  $\mathbb{1}_{\mathcal{K}(\beta)}$  are linearly independent (X. Li '12).

We say that *P* 'satisfies independence' if the projections  $\{\mathbb{1}_{K(\beta)} : \dot{\beta} = e\}$  form a linearly independent set. Equivalently, *P* satisfies independence if

whenever  $K(\alpha) = \bigcup_{\beta \in F} K(\beta)$  for some finite set F of neutral words, there is  $\beta \in F$  such that  $K(\alpha) = K(\beta)$ .

### Independence can fail

Example (X. Li '17) Consider the (additive) subsemigroup

 $\Sigma = \mathbb{N} \backslash \{1\} = \{0, 2, 3, \ldots\} \ \subset \ \mathbb{Z}.$ 

Principal ideals are of the form  $K(e, n, n, e) = n + \Sigma = \{n, n + 2, n + 3, ...\}.$ The constructible (and nonprincipal) ideal  $K(3, 2, 2, 3) = \Sigma \cap (-3 + 2) + \Sigma = \Sigma \cap (-1) + \Sigma = 2 + \mathbb{N},$ 

satisfies

 $2 + \mathbb{N} = (2 + \Sigma) \cup (3 + \Sigma)$ 

So independence fails on  $\Sigma$ . By a result of Xin Li,  $D_s(\Sigma)$  and  $D_\lambda(\Sigma)$  are not canonically isomorphic. Hence  $C_s^*(\Sigma)$  is not a good 'universal model' for  $\mathcal{T}_\lambda(\Sigma)$ . A universal Toeplitz C\*-algebra

Definition (L-Sehnem '21)

The universal Toeplitz algebra  $\mathcal{T}_u(P)$  is the universal C\*-algebra with generators  $\{t_p : p \in P\}$  subject to the relations

 $(T1) \ t_e = 1;$ 

(T2) 
$$\dot{t}_{lpha}=$$
 0 if  $K(lpha)=arnothing$  with  $\dot{lpha}=$  e;

(T3)  $\dot{t}_{\alpha} = \dot{t}_{\beta}$  if  $\alpha$  and  $\beta$  are neutral words such that  $K(\alpha) = K(\beta)$ ;

(T4)  $\prod_{\beta \in F} (\dot{t}_{\alpha} - \dot{t}_{\beta}) = 0$  if F is a finite set of neutral words such that  $K(\alpha) = \bigcup_{\beta \in F} K(\beta)$  for some neutral word  $\alpha$ .

Surprising fact: (T1), (T2), and (T3) force  $p \mapsto t_p$  to be an isometric representation of P that satisfies Li-covariance! In other words, (T1)–(T3) constitute a presentation of  $C_{\epsilon}^{*}(P)$ .

# (T3), (T4), and independence

Recall the last two relations:

(T3) 
$$\dot{t}_{\alpha} = \dot{t}_{\beta}$$
 if  $K(\alpha) = K(\beta)$ 

(T4)  $\prod_{\beta \in F} (\dot{t}_{\alpha} - \dot{t}_{\beta}) = 0$  if  $K(\alpha) = \bigcup_{\beta \in F} K(\beta)$ 

• For all P, (T4)  $\implies$  (T3).

Reason: simply take  $F = \{\beta\}$ .

• If P satisfies independence, (T3)  $\implies$  (T4).

Reason: by independence,  $K(\alpha) = \bigcup_{\beta \in F} K(\beta)$  can only occur if one of the  $K(\beta)$  equals  $K(\alpha)$ , in which case (T4) holds because the factor  $(\dot{t}_{\alpha} - \dot{t}_{\beta})$  vanishes by (T3).

Corollary: If P satisfies independence, then  $\mathcal{T}_u(P) = C_s^*(P)$ .

#### A Li-covariant representation that fails (T4)

Let W be the obvious representation of  $\Sigma = \mathbb{N} \setminus \{1\} = \{0, 2, 3, ...\}$ on  $\ell^2(\mathbb{N})$ , obtained by taking the l.r.r. of  $\mathbb{N}$  and 'throwing away'  $L_1$ . W is Li-covariant and thus gives a representation of  $C_s^*(P)$ .

Recall the basic failure of independence on  $\Sigma$ :

 $K(3,2,2,3) = 2 + \mathbb{N} = (2 + \Sigma) \cup (3 + \Sigma) = K(e,2,2,e) \cup K(e,3,3,e)$ 

(T4) would require the product

 $(W_3^* W_2 W_2^* W_3 - W_2 W_2^*)(W_3^* W_2 W_2^* W_3 - W_3 W_3^*)$ 

to be zero, but evaluation at  $\delta_1 \in \ell^2(\mathbb{N})$  shows it is not 0. So W fails (T4) and does not give a representation of  $\mathcal{T}_u(P)$ . The computation shows directly that  $C_s^*(P) \ncong \mathcal{T}_u(P)$ and also that  $D_s(\Sigma) \ncong D_u(\Sigma)$ .

### A generalized jointly proper condition

We say that the family  $\{W_p : p \in P\}$  is jointly proper if

 $\prod_{\alpha\in F} (I - \dot{W}_{\alpha}) \neq 0$ 

for every finite collection  $F \subset \mathcal{W}$ .

Lemma [L-Sehnem '21]: Suppose  $\{W_p : p \in P\}$  satisfies (T1)–(T4). Then

 $t_p \mapsto W_p$ 

extends to a C\*-algebra homomorphism

 $\mathcal{T}_u(P) \xrightarrow{\pi_W} C^*(W)$ 

and  $D_u \xrightarrow{\pi_W} D_W$  is an isomorphism iff W is jointly proper.

### universal diagonal = reduced diagonal

The left regular representation  $(L_p : p \in P)$  is jointly proper, to see this just evaluate projections at  $\delta_e$ .

Hence

$$D_u \cong D_\lambda.$$

Why is the Lemma about diagonals and not about isomorphic images of  $\mathcal{T}_{\lambda}(P)$ ?

One reason is the possible failure of amenability (always an issue). But there is something more remarkable associated to the possible presence of invertible elements  $P^* := P \cap P^{-1} \subset P$ . Jointly proper is not enough when  $P^* \neq \{e\}$ 

First recall Theorem [X. Li '17]: If  $P^* = \{e\}$ , then a given representation of  $\mathcal{T}_{\lambda}(P)$  is faithful iff it is faithful on  $D_{\lambda}$ .

Corollary: If  $P^* = \{e\}$ , then a representation of  $\mathcal{T}_{\lambda}(P)$  is faithful iff it is jointly proper.

What if the group  $P^* = P \cap P^{-1}$  of units in P is nontrivial?

Take  $P = P^* = G$ . Then there are no proper constructible right ideals, so every representation is jointly proper (vacuously).

We still have  $D_u = D_\lambda = \mathbb{C}I$ , but e.g. if  $G \neq \{e\}$  is abelian, any character gives a proper quotient of  $\mathcal{T}_\lambda(P) = C^*(G)$ .

Hence we need something extra when  $P^* \neq \{e\}$ .

#### The action of $P^*$ on $D_\lambda$

For each  $u \in P^*$ ,  $L_u$  is unitary and there is an action  $\gamma$  of  $P^*$  on  $D_{\lambda} = C^*(\dot{L}_{\alpha} : \alpha \in W)$  given by

$$\gamma_{u}(\dot{L}_{\alpha}) = \dot{L}_{(e,u,\alpha,u,e)} = L_{u}\dot{L}_{\alpha}L_{u}^{*}.$$

In fact,  $\gamma$  is the restriction of a partial action of G on  $D_\lambda$  and

$$D_{\lambda} \rtimes_{\gamma, r} P^* \subset D_{\lambda} \rtimes_{\gamma, r} G \cong \mathcal{T}_{\lambda}(P)$$

i.e.  $D_{\lambda} \rtimes_{\gamma,r} P^*$  embeds canonically in  $\mathcal{T}_{\lambda}(P)$ .

Theorem [L-Sehnem '21]: The subalgebra  $D_{\lambda} \rtimes_{\gamma,r} P^*$  has nontrivial intersection with every nontrivial ideal of  $\mathcal{T}_{\lambda}(P)$ .

Equivalently: a representation of  $\mathcal{T}_{\lambda}(P)$  is faithful iff it is faithful on  $D_{\lambda} \rtimes_{\gamma,r} P^*$ .

#### Idea of the proof

Key step: if  $\rho$  is a representation of  $\mathcal{T}_{\lambda}(P)$  that is faithful on  $D_{\lambda} \rtimes_{\gamma,r} P^*$ , then there is a conditional expectation  $\Phi_{\rho}$  that completes the commutative diagram.

 $\begin{array}{ccc} \mathcal{T}_{\lambda}(P) & \stackrel{\rho}{\longrightarrow} & C^{*}(\rho) \\ & & \downarrow \Phi_{\lambda} & & \downarrow \Phi_{\rho} \\ & & D_{\lambda} & \stackrel{\rho|_{D_{\lambda}}}{\longrightarrow} & D_{\rho} \end{array}$ 

and the bottom horizontal arrow is an isomorphism.

The construction of  $\Phi_{\rho}$  is an adaptation for general submonoids of groups of the direct argument given for QLO groups from [L-Raeburn '96], which, borrows itself heavily from Cuntz's proof of uniqueness of  $\mathcal{O}_n$ .

#### A general uniqueness theorem

If we assume weak containment, the faithfulness result can be reformulated as a universality + uniqueness theorem for  $\mathcal{T}_{\lambda}(P)$ .

Theorem [L-Sehnem]: Suppose the conditional expectation  $\Phi_u : \mathcal{T}_u(P) \to D_u$  is faithful, and let  $(W_p : p \in P)$  be a collection of elements satisfying (T1)–(T4).

Then the map  $L_p \mapsto W_p$  extends to a C\*-algebra homomorphism

 $\mathcal{T}_u(P) \xrightarrow{\pi_W} \overline{C^*(W)}$ 

and  $\pi_W$  is an isomorphism iff its restriction to  $D_\lambda \rtimes_{\gamma,r} P^*$  is an isomorphism.

For a class of monoids P it is possible to decide uniqueness based solely on joint properness of W.

#### Universality and uniqueness for (TF) monoids

We will say that the monoid  $P \subset G$  satisfies condition (TF) if for every  $u \in P^* \setminus \{e\}$  and every finite collection C of proper constructible right ideals, there exists  $t \in P \setminus \bigcup_{R \in C} R$  such that  $ut \notin tP^*$ . (equiv.  $utP \neq tP$ )

Theorem (L-Sehnem '21) Suppose  $P \subset G$  satisfies (TF) and  $\Phi_u : \mathcal{T}_u(P) \to D_u$  is faithful. Let  $(W_p : p \in P)$  be a collection of elements satisfying (T1)–(T4). Then the map  $L_p \mapsto W_p$  extends to homomorphism

 $\mathcal{T}_{\lambda}(P) \xrightarrow{\pi_{W}} C^{*}(W)$ 

and  $\pi_W$  is an isomorphism if and only if W is jointly proper.

Sketch of proof Since  $\Phi_u$  is faithful,

$$\pi_L:\mathcal{T}_u(P)\stackrel{\cong}{\longrightarrow}\mathcal{T}_\lambda(P)$$

so  $\mathcal{T}_{\lambda}(P)$  is universal and  $\pi_W$  exists.

It suffices to show that when P is (TF) and W is jointly proper, then  $\pi_W$  is faithful on  $D_\lambda \rtimes_{\gamma,r} P^*$ .

By Archbold–Spielberg, building upon work of Tomiyama: representations of  $D_{\lambda} \rtimes_{\gamma,r} P^*$  are faithful whenever they are faithful on  $D_r \iff P^* \subset D_r$  is topologically free

Condition (TF) precisely characterizes semigroups P for which  $P^* \oplus D_r$  is topologically free.

And from before,  $\pi_W$  is faithful on  $D_r$  iff W is jointly proper.

### Relative orthogonal complements

Emerging Moral:

The relevant information about families isometries modeling the l.r.r. seems to reside in the interrelations among the relative orthogonal complements of their range projections and of the projections associated to constructible right ideals.

We have seen two such instances: (T4) and the joint properness condition.

Next we'll briefly sketch another construction that relies on these complements to give a presentation of Sehnem's covariance algebra and show that it constitutes an appropriate universal version of the boundary quotient for  $T_u(P)$ .

## The (reduced) boundary quotient of $\mathcal{T}_{\lambda}(P)$

Recall first Xin Li's definition of the boundary quotient of  $\mathcal{T}_{\lambda}(P)$ , generalizing that for QLO from [Crisp-L '07].

For  $P \subset G$ , Xin Li realized  $\mathcal{T}_{\lambda}(P)$  as the reduced crossed product

 $\mathcal{T}_{\lambda}(P) = C(\Omega) \rtimes_{r} G$ 

of a partial action of G on  $\Omega = \sigma(D_{\lambda})$ .

As it turns out,  $\Omega$  always has a smallest closed invariant subset  $\partial \Omega$  and the (reduced) boundary quotient is

 $\partial \mathcal{T}_{\lambda}(P) := \mathcal{C}(\partial \Omega) \rtimes_{r} \mathcal{G}.$ 

### Strong covariance and universal boundaries

For a boundary quotient (defined in terms of a universal property) we may take

1. The full partial crossed product  $C(\partial \Omega) \rtimes G$ .

This is a special case of a more general construction

2. Sehnem's strong covariance algebra for the canonical product system with one dimensional fibers over  $P \subset G$ ,

These ought to be quotients of  $\mathcal{T}_u(P)$ .

Indeed, it is possible to write extra relations which when added to (T1)-(T4) give Sehnem's *strong covariance ideal* and providing a simplified presentation of the strong covariance algebra in terms of generators and relations.

#### Foundation sets and the covariance algebra

Definition: Let S be a constructible right ideal of P. We say that a finite collection C of constructible ideals is a *foundation set* for S, if  $R \subset S$  for all  $R \in C$  and for all  $p \in S$ , one has

$$pP \cap \left(\bigcup_{R\in\mathcal{C}}R\right) \neq \emptyset.$$

*C* is proper if  $S \setminus (\bigcup_{R \in C} R) \neq \emptyset$ . So that (T4) does not apply at S! Boundary relations:

$$\prod_{\beta \in A} (\dot{w}_{\alpha} - \dot{w}_{\beta}) = 0$$

for neutral  $\alpha$  and proper foundation set  $\{K(\beta) \mid \beta \in A\}$  for  $K(\alpha)$ . Note: The relations corresponding to foundation sets that are not proper already fall within the original (T1)-(T4).

Theorem: The covariance algebra is the quotient of  $\mathcal{T}_u(P)$  by the boundary relations. (several other characterizations are also given)

#### Purely infinite simple boundary quotients

We say that  $P \subset G$  satisfies condition (PI) provided that for all  $p, t \in P$  with  $p \neq t$  there is  $s \in P$  such that  $psP \cap tsP = \emptyset$ .

#### Theorem (L-Sehnem '21)

Let  $\{e\} \neq P \subset G$ . If P satisfies (PI) then  $\partial T_{\lambda}(P)$  is purely infinite simple. The converse implication also holds if the boundary action is amenable, in the sense that  $\mathbb{C} \rtimes_{\mathbb{C}^{P}} P \cong \partial T_{\lambda}(P)$  via the canonical map  $\Lambda_{\partial}$ .

#### Thanks once again!