

# Hilbert modules, TRO's and C\*-correspondences

(rough notes by A.K.)

## 1 Hilbert modules and TRO's

### 1.1 Reminders

Recall<sup>1</sup> the definition of a Hilbert module

**Definition 1** *Let  $A$  be a C\*-algebra. An Hilbert C\*-module over  $A$  is a complex vector space  $E$  which is a right  $A$ -module and is equipped with an  $A$ -valued scalar product satisfying*

1.  $\langle x, \lambda y + z \rangle = \lambda \langle x, y \rangle + \langle x, z \rangle$
2.  $\langle x, y \cdot a \rangle = \langle x, y \rangle a$
3.  $\langle x, y \rangle^* = \langle y, x \rangle$
4.  $\langle x, x \rangle \in A_+$
5.  $\langle x, x \rangle = 0 \Rightarrow x = 0 \quad (x, y, z \in E, a \in A, \lambda \in \mathbb{C})$

and which is complete with respect to the norm

$$\|x\|_E := \|\langle x, x \rangle\|_A^{1/2} \quad (x \in E).$$

An operator  $T : E \rightarrow F$  between Hilbert C\*-modules over  $A$  is said to be *adjointable* (written  $T \in \mathcal{L}(E, F)$ ) if there is a linear map  $T^* : F \rightarrow E$  such that

$$\langle T^* f, e \rangle_E = \langle f, Te \rangle_F \quad \text{for all } e \in E, f \in F.$$

When  $E = F$ , the space  $\mathcal{L}(E) := \mathcal{L}(E, E)$  is a C\*-algebra. Recall also the definition of the 'compact' operators: For  $x \in F, y \in E$  we define the map  $\theta_{x,y} : E \rightarrow F$  by:

$$\theta_{x,y}(z) = x \langle y, z \rangle.$$

This map has the properties

$$\theta_{x,y}^* = \theta_{y,x}, \quad T\theta_{x,y} = \theta_{Tx,y}, \quad \theta_{x,y}S = \theta_{x,S^*y}, \quad \theta_{x,y}\theta_{u,v} = \theta_{x\langle y,u \rangle, v} = \theta_{x,v\langle u,y \rangle}.$$

The closed linear span of the set  $\{\theta_{x,y} : x \in F, y \in E\}$  is a closed subspace of  $\mathcal{L}(E, F)$ . We call it the space of 'compact' operators and denote it by  $\mathcal{K}(E, F)$ . When  $E = F$  then  $\mathcal{K}(E) := \mathcal{K}(E, E)$  is an ideal in the C\*-algebra  $\mathcal{L}(E)$ .

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<sup>1</sup>katsnotes, 15 April 2013

## 1.2 Representing Hilbert modules as TRO's

If  $E$  is a Hilbert module over  $A$  and  $x \in E$  we define two maps

$$L_x : A \rightarrow E : a \rightarrow xa \quad D_x : E \rightarrow A : y \rightarrow \langle x, y \rangle .$$

Then we have

$$(L_x)^* = D_x$$

so that both maps are adjointable ( $A$  is a Hilbert module over itself with  $\langle x, y \rangle = xy^*$ ). Clearly,  $\|D_x\| = \|x\|$ ; thus the map  $x \rightarrow D_x$  is an antilinear isometry into  $\mathcal{L}(E, A)$ . Moreover, the easily verified identity

$$D_{xa^*} = \theta_{a,x}$$

shows that  $\mathcal{K}(E, A)$  is contained in the (closed) range of  $D$ . The same identity, together with an approximate unit argument, shows that in fact  $D$  maps  $E$  onto  $\mathcal{K}(E, A)$ . Taking adjoints, we conclude that

**Proposition 1** *The map  $x \rightarrow L_x : E \rightarrow \mathcal{K}(A, E)$  is a linear isometric bijection, and the map  $x \rightarrow D_x : E \rightarrow \mathcal{K}(E, A)$  is an antilinear isometric bijection.*

In particular,  $a \rightarrow L_a$  is a linear bijection between  $A$  and  $\mathcal{K}(A)$  and it is easily verified that it is a \*-homomorphism. <sup>2</sup>

Thus we may identify  $E$  with the linear space  $\mathcal{K}(E, A)$  and define its ‘adjoint’ (or ‘conjugate’) space  $\bar{E}$  to be  $\mathcal{K}(A, E)$  (so that  $\bar{E}$  is antilinearly isometric to  $E$ ).

**Definition 2** *Given  $T \in \mathcal{K}(E)$ ,  $x, y \in E$  and  $a \in A$  define the following operator on the Hilbert  $A$ -module <sup>3</sup>  $E \oplus A$ :*

$$\begin{bmatrix} T & x \\ \bar{y} & a \end{bmatrix} : \begin{bmatrix} \xi \\ b \end{bmatrix} \rightarrow \begin{bmatrix} T\xi + L_x b \\ D_y(\xi) + L_a b \end{bmatrix} = \begin{bmatrix} T\xi + xb \\ \langle y, \xi \rangle + ab \end{bmatrix}$$

*The set of all such operators,*

$$\mathbb{L}(E) := \begin{bmatrix} \mathcal{K}(E) & \mathcal{K}(A, E) \\ \mathcal{K}(E, A) & \mathcal{K}(A) \end{bmatrix} \simeq \begin{bmatrix} \mathcal{K}(E) & E \\ \bar{E} & A \end{bmatrix} \subseteq \mathcal{L}(E \oplus A)$$

*is a  $C^*$ -subalgebra of  $\mathcal{L}(E \oplus A)$ , called the linking algebra of  $E$ .*

Note that  $\mathbb{L}(E)$  is in fact a  $C^*$ -subalgebra of  $\mathcal{K}(E \oplus A)$ . In fact,  $\mathbb{L}(E) = \mathcal{K}(E \oplus A)$ . Indeed, it is clear that the four ‘corners’ of  $\mathbb{L}(E)$  are bimodules over the appropriate algebras. For instance if  $A \in \mathcal{L}(E)$  and  $B \in \mathcal{L}(A)$  then for all  $\theta_{x,a}$  with  $a \in A$  and  $x \in E$  we have  $A\theta_{x,a}B = \theta_{Ax, B^*a} \in \mathcal{K}(E, A)$ . Thus if  $p_1, p_2 \in \mathcal{L}(E \oplus A)$  denote the canonical orthogonal projections onto  $E$  and  $A$  respectively <sup>4</sup> then given  $T \in \mathcal{K}(E \oplus A)$  we see for example that  $p_1 T p_2|_A \in \mathcal{K}(A, E) \simeq E$ , etc.

Thus, if one represents  $\mathbb{L}(E)$  faithfully as operators on some Hilbert space  $H$ , then  $E$  can be identified as a closed linear space of operators on  $H$ . Of course  $E$  is not in general a subalgebra of  $B(H)$ , however it is a ‘ternary ring of operators’ in the following sense

<sup>2</sup>  $L_{ab}c = (ab)c = a(bc) = L_a(L_b(c))$  and  $(L_a)^*(c) = D_a(c) = \langle a, c \rangle_A = a^*c = L_{a^*}(c)$ .

<sup>3</sup> with scalar product  $\langle (x, a), (y, b) \rangle = \langle x, y \rangle_E + a^*b$

<sup>4</sup> the easily verified fact that  $\langle p_i(x), y \rangle = \langle x, p_i(x) \rangle$  shows that the  $p_i$  are adjointable

**Definition 3** A ternary ring of operators (TRO) is a linear subspace  $\mathcal{X}$  of some  $B(H)$  (or more generally of a  $C^*$ -algebra  $B$ ) such that

$$a, b, c \in X \Rightarrow ab^*c \in \mathcal{X}.$$

Indeed, it is immediate that if  $x, y, z$  are in  $E$ , the corresponding operators  $X, Y, Z$  in  $\mathbb{L}(E)$  satisfy

$$\begin{aligned} XY^*Z &= \begin{bmatrix} 0 & x \\ 0 & 0 \end{bmatrix} \left( \begin{bmatrix} 0 & y \\ 0 & 0 \end{bmatrix} \right)^* \begin{bmatrix} 0 & z \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & x \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ \bar{y} & 0 \end{bmatrix} \begin{bmatrix} 0 & z \\ 0 & 0 \end{bmatrix} \\ &= \begin{bmatrix} 0 & x \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & \langle y, z \rangle \end{bmatrix} = \begin{bmatrix} 0 & x \langle y, z \rangle \\ 0 & 0 \end{bmatrix} \end{aligned}$$

and  $x \langle y, z \rangle$  is in  $E$ . Sometimes it is useful to think of the scalar product  $\langle y, z \rangle$  as a product of operators,  $Y^*Z$ , remembering that this product is not in  $E \simeq \mathcal{K}(E, A)$ , but in  $A \simeq \mathcal{K}(A)$ .

## 2 $C^*$ -correspondences

**Definition 4** A  $C^*$ -correspondence is a quadruple  $(X, B, A, \phi)$  (sometimes written  ${}_A X_B$  or  $(X, \phi)$ ) where

- (a)  $X$  is a Hilbert  $C^*$ -module over  $B$  (so we have maps  $X \times X \rightarrow B : (\xi, \eta) \rightarrow \langle \xi, \eta \rangle$  and  $X \times B \rightarrow X : (\xi, b) \rightarrow \xi b$ ) but also
- (b) there is a  $*$ -homomorphism  $\phi = \phi_X : A \rightarrow \mathcal{L}_B(X)$ .

The correspondence  ${}_A X_B$  is called injective when  $\phi$  is 1-1 (hence isometric).

It is called non-degenerate when  $\overline{\text{span}\{\phi(A)X\}} = X$ .

It is called full when  $\overline{\langle X, X \rangle} = B$  (i.e. when  $\overline{\text{span}\{\langle \xi, \eta \rangle : \xi, \eta \in X\}} = B$ ).

**Definition 5** A representation of a  $C^*$ -correspondence  ${}_A X_B$  into  $\mathcal{B}(\mathcal{H})$ , or more generally into a  $C^*$ -algebra  $\mathcal{B}$  is a pair  $(\pi, t)$  where

$$\begin{aligned} \pi : A &\rightarrow \mathcal{B} \quad \text{is a } * \text{-homomorphism} \\ t : X &\rightarrow \mathcal{B} \quad \text{is a linear map, and} \\ \pi(a)t(\xi) &= t(\phi(a)\xi) \\ t(\xi)^*t(\eta) &= \pi(\langle \xi, \eta \rangle) \quad a \in A, \xi, \eta \in X. \end{aligned}$$

**Definition 6** The Toeplitz algebra (or Toeplitz-Cuntz-Pimsner algebra)  $\mathcal{T}(X)$  of a  $C^*$ -correspondence  ${}_A X_A$  is defined to be the  $C^*$ -algebra  $C^*(\bar{\pi}, \bar{t})$  generated by the universal representation  $(\bar{\pi}, \bar{t})$ : it has the universal property that whenever  $(\pi, t)$  is a representation of  $X$ , there is a  $*$ -epimorphism  $\rho : \mathcal{T}(X) \rightarrow C^*(\pi, t)$  satisfying  $\pi = \rho \circ \bar{\pi}$  and  $t = \rho \circ \bar{t}$ .

The Tensor algebra  $\mathcal{T}^+(X)$  is the norm-closed (non-selfadjoint) subalgebra of  $\mathcal{T}(X)$  generated by  $\{\bar{\pi}(a) : a \in A\}$  (a selfadjoint subalgebra) together with  $\{\bar{t}(\xi) : \xi \in X\}$  (a non-selfadjoint subspace)

The Toeplitz algebra can be defined as the direct sum of ‘sufficiently many’ representations  $(\pi, t)$  of  $X$ . But there is an explicit representation, which can be shown to possess the required universal property, and to be unique (up to  $*$ -isomorphism) with that property. This is the so called ‘Fock representation’.

To construct it, we need the notion of internal tensor product.

## 2.1 The internal tensor product

Let  $E_A$  be a Hilbert  $C^*$ -module over  $A$  and let  ${}_A F_B$  be a  $C^*$ -correspondence with  $\phi : A \rightarrow \mathcal{L}_B(F)$ . We construct the internal tensor product  $E \otimes_\phi F$  in three stages:

(i) Let  $E \odot F$  be the algebraic tensor product, and define the  $B$ -valued sesquilinear form  $\langle \cdot, \cdot \rangle$  on  $E \odot F$  as follows

$$\begin{aligned} \langle x \otimes y, u \otimes v \rangle &:= \langle y, \phi(\langle x, u \rangle_E) v \rangle_F, \\ \text{i.e. } \left\langle \sum_i x_i \otimes y_i, \sum_j u_j \otimes v_j \right\rangle &:= \sum_{i,j} \langle y_i, \phi(\langle x_i, u_j \rangle_E) v_j \rangle_F. \end{aligned}$$

This is well defined.<sup>5</sup> In fact  $\langle \cdot, \cdot \rangle$  is positive semidefinite. To see this observe that it may be written

$$\left\langle \sum_i x_i \otimes y_i, \sum_j u_j \otimes v_j \right\rangle := \left\langle \begin{bmatrix} y_1 \\ \vdots \\ y_n \end{bmatrix}, [\phi(\langle x_i, u_j \rangle_E)]_{M_n(A)} \begin{bmatrix} v_1 \\ \vdots \\ v_n \end{bmatrix} \right\rangle_F.$$

and so, if  $[a_{i,j}]$  is in  $M_n(A)_+$ , then  $[\phi(a_{i,j})]$  is positive because  $\phi$ , being a  $*$ -homomorphism, is completely positive.<sup>6</sup>

(ii) The quotient  $E \otimes_A F = (E \odot F)/N$  is *balanced* over  $A$ : thus in  $E \otimes_A F$  we have

$$ua \otimes v = u \otimes \phi(a)v.$$

Indeed,  $\langle x \otimes y, ua \otimes v \rangle = \langle y, \phi(\langle x, ua \rangle_E) v \rangle_F = \langle y, \phi(\langle x, u \rangle_E a) v \rangle_F = \langle y, \phi(\langle x, u \rangle_E) \phi(a) v \rangle_F = \langle x \otimes y, u \otimes \phi(a)v \rangle_F$  so  $\langle x \otimes y, ua \otimes v - u \otimes \phi(a)v \rangle = 0$  for all  $x \otimes y$ .

Moreover  $E \otimes_A F$  becomes a right  $B$ -module by defining  $(x \otimes y) \cdot b = x \otimes (yb)$  and  $\langle \cdot, \cdot \rangle$  induces a scalar product on  $E \otimes_A F$  satisfying  $\langle z, w \cdot b \rangle = \langle z, w \rangle b$  for  $z, w \in E \otimes_A F$  and  $b \in B$  (recall that the scalar product on  $E \otimes_A F$  is  $B$ -valued).

(iii) The completion of  $E \otimes_A F$  with respect to the norm  $\|z\| := \|\langle z, z \rangle_B\|_B^{1/2}$  ( $z \in E \otimes_A F$ ) is a  $C^*$ -Hilbert module over  $B$  (the right action of  $B$  extends by continuity). This is called *the internal tensor product of  $E_A$  and  ${}_A F_B$*  and we will denote it by  $E \otimes_\phi F$  or  $E \otimes F$ .

<sup>5</sup> For instance if  $\sum_j u_j \otimes v_j = 0$  then for all  $x \in E$ , since  $(u, v) \rightarrow \phi(\langle x, u \rangle_E) v$  is bilinear on  $E \times F$  we have  $\sum_j \phi(\langle x, u_j \rangle_E) v_j = 0$  and so  $\langle x \otimes y, \sum_j u_j \otimes v_j \rangle = 0$  for all  $x \otimes y$ .

<sup>6</sup>in fact whenever  $\phi$  is just a completely positive map the above formula defines a semi-inner product on  $E \odot F$

For  $\xi \in E$ , define

$$T_\xi : F \rightarrow E \otimes_\phi F : \eta \rightarrow \xi \otimes \eta$$

One can check that

$$T_\xi^* : E \otimes_\phi F \rightarrow F : x \otimes y \rightarrow \phi(\langle \xi, x \rangle_E) y.$$

Indeed,

$$\begin{aligned} \langle T_\xi^*(x \otimes y), \eta \rangle_F &= \langle x \otimes y, T_\xi(\eta) \rangle_{E \otimes F} = \langle x \otimes y, \xi \otimes \eta \rangle_{E \otimes F} \\ &= \langle y, \phi(\langle x, \xi \rangle) \eta \rangle_F = \langle \phi(\langle x, \xi \rangle)^* y, \eta \rangle_F \\ &= \langle \phi(\langle x, \xi \rangle^*) y, \eta \rangle_F = \langle \phi(\langle \xi, x \rangle) y, \eta \rangle_F. \end{aligned}$$

It follows that

$$T_\xi T_\eta^* : x \otimes y \rightarrow \phi(\langle \eta, x \rangle) y \rightarrow \xi \otimes \phi(\langle \eta, x \rangle) y = \theta_{\xi, \eta}(x) \otimes y$$

because, if we write  $a$  for  $\langle \eta, x \rangle$ ,

$$\xi \otimes \phi(\langle \eta, x \rangle) y = \xi \otimes \phi(a) y = \xi a \otimes y = \xi \langle \eta, x \rangle \otimes y = \theta_{\xi, \eta}(x) \otimes y.$$

Thus

$$T_\xi T_\eta^* = \theta_{\xi, \eta} \otimes I \in \mathcal{L}(E \otimes_\phi F).$$

Also,

$$\begin{aligned} T_\eta^* T_\xi &: x \rightarrow \xi \otimes x \rightarrow \phi(\langle \eta, \xi \rangle) x \\ \text{so } T_\eta^* T_\xi &= \phi(\langle \eta, \xi \rangle) \in \mathcal{L}(E). \end{aligned}$$

**Definition 7** If  ${}_A E_A$  and  ${}_A F_A$  are both  $C^*$ -correspondences via  $\phi$ , then  $E \otimes_\phi F$  becomes a  $C^*$ -correspondence over  $A$  via  $\tilde{\phi}$  defined by

$$\tilde{\phi}(a) = \phi(a) \otimes I, \quad a \in A.$$

## 2.2 The Fock representation

Let  ${}_A E_A$  be a  $C^*$ -correspondence and define a sequence of  $C^*$ -correspondences over  $A$  as follows

$$E^{\otimes 1} = E, \quad E^{\otimes n+1} = E \otimes_{\phi_n} E^{\otimes n}.$$

Thus each  $E^{\otimes n}$  is a  $C^*$ -correspondence over  $A$  with

$$\begin{aligned} \langle \xi_1 \otimes \xi_2 \otimes \dots \otimes \xi_n, \eta_1 \otimes \eta_2 \otimes \dots \otimes \eta_n \rangle &= \langle \xi_2 \otimes \dots \otimes \xi_n, \phi(\langle \xi_1, \eta_1 \rangle)(\eta_2 \otimes \dots \otimes \eta_n) \rangle \\ &= (\xi_1 \otimes \xi_2 \otimes \dots \otimes \xi_n) \cdot a = \xi_1 \otimes \xi_2 \otimes \dots \otimes (\xi_n a) \\ \text{and } \phi_n(a)(\xi_1 \otimes \xi_2 \otimes \dots \otimes \xi_n) &= (\phi(a) \xi_1) \otimes \xi_2 \otimes \dots \otimes \xi_n \quad \text{i.e. } \phi_n = \tilde{\phi} \end{aligned}$$

**Definition 8 (The Fock space  $\mathcal{F}(E)$ )** This is defined to be the direct sum  $\bigoplus_k E^{\otimes k}$  of the sequence of Hilbert  $C^*$ -modules over  $A$  where  $E^{\otimes 0} := A$ . Thus

$$\begin{aligned}\mathcal{F}(E) &= A \oplus E \oplus (E \otimes_\phi E) \oplus \dots \\ &:= \{x = (x(k)) \in \prod_k E^{\otimes k} : \sum_k \langle x(k), x(k) \rangle_{E^{\otimes k}} \text{ converges in the norm of } A\}.\end{aligned}$$

For  $\xi \in E$ , recall the map  $T_\xi : F \rightarrow E \otimes_\phi F : \eta \rightarrow \xi \otimes \eta$ . When  $F = E^{\otimes n}$ , we denote this by  $t_n(\xi)$ . Thus

$$t_n(\xi)(\xi_1 \otimes \dots \otimes \xi_n) = \xi \otimes \xi_1 \otimes \dots \otimes \xi_n \in E^{\otimes n+1}.$$

Note that since  $t_n(\xi)^* t_n(\xi) = \phi_n(\langle \xi, \xi \rangle)$ , we have  $\|t_n(\xi)\|^2 = \|\phi(\langle \xi, \xi \rangle)\| \leq \|\xi\|^2$ . We define the *creation operator* on  $\mathcal{F}(E)$  by

$$t_\infty(\xi)(a, x_1, x_2, \dots) = (0, \xi a, \xi \otimes x_1, \xi \otimes x_2, \dots)$$

(here  $a \in A$  and  $x_k \in E^{\otimes k}$ ) and we observe that the map

$$t_\infty : E \rightarrow \mathcal{L}(\mathcal{F}(E))$$

is linear and contractive. We also define the  $*$ -homomorphism

$$\phi_\infty : A \rightarrow \mathcal{L}(\mathcal{F}(E)) : a \rightarrow \text{diag}(a, \phi(a), \phi_2(a), \dots).$$

We verify the conditions for the pair  $(\phi_\infty, t_\infty)$  to be a representation of the  $C^*$ -correspondence  $E$ :

$$\begin{aligned}t_\infty^*(\eta)t_\infty(\xi) &= \phi_\infty(\langle \eta, \xi \rangle) \\ \phi_\infty(a)t_\infty(\xi) &= t_\infty(\phi(a)\xi).\end{aligned}$$

The first relation is immediate from  $t_n^*(\eta)t_n(\xi) = \phi_n(\langle \eta, \xi \rangle)$ . We verify the second:

$$\begin{aligned}\phi_\infty(a)t_\infty(\xi) &: (b, x_1, x_2, \dots) \rightarrow (0, \xi b, \xi \otimes x_1, \xi \otimes x_2, \dots) \rightarrow (0, \phi(a)\xi b, \phi(a)\xi \otimes x_1, \phi_2(a)(\xi \otimes x_2), \dots) \\ &= (0, \phi(a)\xi b, \phi(a)\xi \otimes x_1, \phi(a)\xi \otimes x_2, \dots) = (0, (\phi(a)\xi)b, (\phi(a)\xi) \otimes x_1, (\phi(a)\xi) \otimes x_2, \dots) \\ &= t_\infty(\phi(a)\xi)(b, x_1, x_2, \dots)\end{aligned}$$

Thus the pair  $(\phi_\infty, t_\infty)$  is a representation of the  $C^*$ -correspondence  $E$ . Moreover, it is injective, since  $\phi_\infty$  is 1-1. This is obvious since  $\phi_\infty(a) = \text{diag}(a, \phi(a), \phi_2(a), \dots)$ .

**Theorem 2** The  $C^*$ -algebra generated by the Fock representation  $(\phi_\infty, t_\infty)$  is  $*$ -isomorphic to the Toeplitz algebra  $\mathcal{T}(X)$ .

## 2.3 The Cuntz-Pimsner algebra

**Definition 9 (The Katsura ideal)** For a  $C^*$ -correspondence  ${}_A E_A$ , we define an ideal of  $A$  by

$$J_E = \{a \in A : \phi(a) \in \mathcal{K}(E) \text{ and } ab = 0 \forall b \in \ker \phi\}$$

Note that since  $\mathcal{F}(E)$  is a right  $A$ -module, it is also a right  $J_E$ -module, i.e.  $\mathcal{F}(E)J_E \subseteq \mathcal{F}(E)$ . Consider the ideal of  $\mathcal{L}(\mathcal{F}(E))$

$$\mathcal{K}(\mathcal{F}(E)J_E) = \overline{\text{span}}\{\theta_{xa,y} \in \mathcal{K}(\mathcal{F}(E)) : x, y \in \mathcal{F}(E), a \in J_E\}.$$

**Definition 10 (The Cuntz-Pimsner algebra)** This is the quotient

$$\mathcal{O}(E) = \mathcal{T}(E)/\mathcal{K}(\mathcal{F}(E)J_E).$$

This also has a universal property ...

(... to be continued)